MATERIAL TRANSFER AGREEMENT

Material Transfer Agreements (MTAs)

A Material Transfer Agreement (MTA) is a contract that governs the transfer of tangible research materials between two organizations, when the recipient intends to use it for his or her own research purposes, and when no research collaboration between scientists is planned. MTAs are used to transfer a broad array of materials, including biological materials (such as plant samples, reagents, cell lines, plasmids, and vectors), chemical compounds, engineering components, and even software and data. MTAs are considered "Incoming MTA" when materials are being provided to a UCSB researcher from another organization, and "Outgoing MTAs", when UCSB researchers wish to provide the materials to others.

The typical terms of an MTA are designed to protect the provider's property interests in the material and, since most materials are experimental, to protect the provider from liabilities that result from the recipient's use. While many MTAs are relatively straightforward, particularly those between two universities, MTAs can contain terms that can negatively impact a research program. It is not uncommon to find embedded in the a draft MTA publication restrictions, onerous intellectual property terms, or terms that conflict with the grants or contracts that fund the project. Thus, it is critical that all MTAs be carefully reviewed by TIA.

Frequently, investigators are asked to sign the MTA by companies or research institutions. However, since the MTA is a contract, the official signature can only come from those at UCSB who are charged with the task of reviewing them and who have been delegated authority to bind the Regents of the University of California.
contractually. The Office of Research is the office with authority and responsibility for the negotiation and execution of material transfer agreements.

If you would like to provide or receive materials, please complete either the **Incoming** or **Outgoing MTA Request Form**, which provides the MTA Officer with the critical information needed to review and negotiate appropriate MTA terms.

**More Information about MTAs**
- **The UCSB MTA QuickGuide**
- **Materials Transfer in Academia: 20 Questions and Answers, by the Council on Government Relations** ([http://www.cogr.edu/docs/MTA_Final.pdf](http://www.cogr.edu/docs/MTA_Final.pdf))

**Select Policies Regarding MTAs**
- **UC Guidelines on University-Industry Relations** ([http://www.ucop.edu/ott/genresources/unindrel.html](http://www.ucop.edu/ott/genresources/unindrel.html)) (see Section 10)
- **UC Principles Regarding Rights to Future Research Results in University Agreements with External Parties** ([http://www.ucop.edu/ott/genresources/principles.html](http://www.ucop.edu/ott/genresources/principles.html))
- **NIH/Public Health Service Policy Relating to Distribution of Unique Research Resources Produced with NIH/PHS Funding** ([http://www.ucop.edu/ott/faculty/nih0794.html](http://www.ucop.edu/ott/faculty/nih0794.html))

**MTA Forms**
- **MTA Incoming Form**
- **MTA Outgoing Form**
- **MTA (Unilateral) Outgoing Form**

**UCSB MTA Contact**

**Carol Wyzinski**
**Material Transfer Officer**
**wyzinski@tia.ucsb.edu**
(805) 893-2367
MATERIAL TRANSFER AGREEMENTS
INCOMING

**Principal Investigator Information**

Principal Investigator & Department:

Telephone number:        UCSB Mail Code:        Email:

UCSB Address where actual sample Material should be sent:

**Provider Contact Information**

Material is being sent by (“Provider”):

Authorized Provider Official/Contact Person:

Provider address:

Telephone number:        Fax number:        Email:

What type of organization is the Provider?                For-Profit [ ]  Not-for-Profit [ ]  Unsure [ ]

**Details Regarding Use Of Material**

Scientific description of Material and quantity to be received:

What funds will you be using to support this research? (If a grant, please provide sponsor(s) name(s) and ORBiT number or Agency award number.)

Are you receiving any funds, from the provider (gift, contract or otherwise)?

Yes [ ]  No [ ]

Proposed use for the Material:

How long will you be using this Material?

Was the decision to undertake this research based on receiving access to the material by the Provider Organization?

Yes [ ]  No [ ]

If yes, does a financial relationship exist between the Principal Investigator and the Provider Organization (if not a federal agency)?

Yes [ ]  No [ ]

--If yes, then you must complete a CA Statement of Economic Interest (Form 700U) to accompany this document (http://research.ucsb.edu/connect/spo/forms/Disclosure_Form_700U.pdf)

Will you be modifying this Material in any way?         Yes [ ]  No [ ]  Unsure [ ]

Does this Material contain biological matter?         Yes [ ]  No [ ]  Unsure [ ]

Will this Material be used in humans?         Yes [ ]  No [ ]

Have you received Material before from this Provider?         Yes [ ]  No [ ]  Unsure [ ]

Do you expect to pay any costs associated with transferring the Material to you?         Yes [ ]  No [ ]

Are there any confidentiality or nondisclosure requirements imposed by Provider?         Yes [ ]  No [ ]  Unsure [ ]

*If your answer is “Yes” or “Unsure” to any of the above questions, the Office of Technology & Industry Alliances will contact you to obtain additional information to make sure we have the legal right to transfer/receive the above listed Material.*

**Please sign and date this form and send to:** Carol Wyzinski, MTA Officer, Office of Technology & Industry Alliances, 342 Lagoon Rd., Mail Code 2055, University of California, Santa Barbara, Santa Barbara, CA 93106-2055 voice: 805-893-2367, fax: 805-893-5236, e-mail: wyzinski@tia.ucsb.edu

I certify that the information I have provided above is an accurate reflection of my understanding:

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Recipient Scientist Signature

Date

UCSB Office of Technology & Industry Alliances

26MAR2009
MATERIAL TRANSFER AGREEMENTS
OUTGOING

UCSB Provider Information
Provider Scientist & Department:
Telephone number:       UCSB Mail Code:         Email:

Recipient Contact Information
Material is being sent to (“Recipient”):
Authorized Recipient Official/Contact Person:
Recipient address:
Telephone number:        Fax number:         Email:
What type of organization is the Recipient?            For-Profit ☐   Not-for-Profit ☐   Unsure ☐

Details Regarding Material
Scientific description of Material and quantity to be sent:
Are you, receiving any funds from the recipient?            Yes ☐   No ☐
Does this MTA relate to any invention disclosure, patent application, or patent made to or filed by UC?
Yes ☐   No ☐   Unsure ☐
If you are sending this Material, did you receive the Material from others and therefore this is a re-transfer?
Yes ☐   No ☐   Unsure ☐
Does the Material contain materials received from others?
Yes ☐   No ☐   Unsure ☐
Is the Material derived from materials received from others?
Yes ☐   No ☐   Unsure ☐
Was the Material developed with federal funding that is currently active?
Yes ☐   No ☐   Unsure ☐
If Yes, please specify the relevant Agreement and grant number:
Do you want to charge Recipient a fee for transfer of the Material?            Yes ☐   No ☐

If your answer is “Yes” or “Unsure” to any of the above questions, the Office of Technology & Industry Alliances will contact you to obtain additional information to make sure we have the legal right to transfer/receive the above listed Material.

Please sign and date this form and send to:

Carol Wyzinski
Material Transfer Agreement Officer
Office of Technology & Industry Alliances
342 Lagoon Rd. Mail Code 2055
University of California, Santa Barbara
Santa Barbara, CA  93106-2055
voice: 805-893-2367, fax: 805-893-5236, e-mail: wyzinski@tia.ucsb.edu

I certify that the information I have provided above is an accurate reflection of my understanding:

<table>
<thead>
<tr>
<th>Provider Scientist Signature</th>
<th>Date</th>
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