Contract and Grant Liaison Meeting
Wednesday, June 25, 2014
9:00am-10:35am

Small Business Subcontracting Plans
Lynn Corrigan, Analyst and Small Business Officer
Business and Financial Services – Procurement Services
In a Land F.A.R. Away!
A PROCLOMATION
What Does F.A.R. Say?

F.A.R. requires an acceptable Subcontracting Plan be submitted with the proposal to the Awarding Agency in order to secure the Contract.
What is a Subcontracting Plan?

A Subcontracting Plan is a document with 11 required elements that set forth how a contractor (UCSB is a contractor when given an award by an Agency) will provide Small Business concerns with opportunity to participate in a contract or subcontract. Subcontracting Plans are required from all awarded Federal contracts or subcontracts exceeding $650,000.
Federal Acquisition Regulations (FARS)

FARS Subpart 19.7 Requires that these types of small businesses be used for federal contracts as defined by the Small Business Administration

- Small Business Enterprise (SBE) (other than the categories below)
- Small Disadvantaged Business (SDB)
- Woman-Owned Small Business (WOSB)
- Historically Black Colleges and Universities and Minority Institution) (HBCU/MI)
- HUB ZONE Small Business (HUBZone SB)
- Veteran-Owned Small Business (VOSB)
- Service-Disabled Veteran-Owned Small Business (SDVOSB)
- Alaska Native Corporations and Indian Tribes that have not been certified by the Small Business Administration (SBA) as small disadvantaged business (ANC)
Reasonable Percentages

Small Business Enterprise – Total of 20% (leaving 80% to Large Business Enterprise)

- Small Disadvantaged Business (SDB) - 5%
- Woman-Owned Small Business (WOSB) - 5%
- Veteran-Owned Small Business (VOSB) – 4%
- Service-Disabled Veteran-Owned Small Business (SDVOSB) – 4%
- HUB ZONE Small Business (HUBZone SB) – 2%
Categories in which Small Business Participates

• Travel

• Equipment

• Materials and Supplies
What are the Types of Subcontracting Plans?

• Individual Subcontracting Plan covers the entire contract period (including options) applicable to a specific contract and includes goals that are based on the offeror’s planned subcontracting in support of the contract
What are the Types of Subcontracting Plans? (Continued)

• Master Subcontracting Plan contains all the required elements of an individual plan, except goals. As the company receives government contracts requiring subcontracting plans, it develops goals specific for each plan.

• Two other types: Commercial and Department of Defense Test Program – UCSB has never participated in situations that would allow for utilization of these two types.
The Master Plan
## Attachment A

### Small Business Program Individual Subcontracting Plan

**Title:**

**Principal Investigator:**

**Awarding Agency:**

**Date:**

<table>
<thead>
<tr>
<th>Category</th>
<th>Total</th>
<th>SBE</th>
<th>SDBE</th>
<th>WBE</th>
<th>VBE</th>
<th>DVBE</th>
<th>HUBZone</th>
<th>LBE</th>
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### GOALS

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### Narrative

Travel to conferences is not a subcontract consideration on the University of California Santa Barbara Campus. The campus utilizes a University-Wide site to secure traveling accommodations; generally the hotels are chosen by the host/committee of an event, so there is little to no flexibility in using small business concerns for travel or hotel arrangements. UCB faculty, the typical traveler in the subcontracting plans, handle their own arrangements and consistently pay the expenses out of pocket, requesting reimbursement after the fact, making it impossible to track small business concern use. Publishing costs are also not included in this subcontract as they must be published by specialized publications in each field. There is also a considerable amount that will be internally recharged on the campus that is also not available for subcontracting to small business concerns.

### Small Business Concern Key:

- WBE = Women-Owned Business Enterprise
- DVBE = (Service) Disabled Veteran-Owned Business Enterprise
- LBE = Large Business Enterprise
- SBE = Small Business Enterprise
- HUBZone = Historically Underutilized Business Zone
- HBCU = Historically Black College and Universities
- VBE = Veteran-Owned Business
- MI = Minority Institutions
- SDBE = Small Disadvantaged-Owned Business Enterprise
- V = Minority Institutions
Utilizing Gateway to Locate Small Business Concerns

Subcontracting Plan Vendor
A New Proclamation from F.A.R Away Land!

“Effective August 15, 2013
In a “covered contract” (a contract for which a small business subcontracting plan is required), a prime contractor must notify the contracting officer in writing whenever the prime contractor does not utilize a small business subcontractor used in preparing its bid or proposal during contract performance.
Welcome To The Future
Questions?