September 18, 2009

To: Henry Yang, Chancellor

From: Michael Witherell, Vice Chancellor for Research

Subject: Statistics on extramural funding for sponsored projects, fiscal year 2009

I am pleased to send you a brief annual report on extramural support for research and other activities at UC Santa Barbara.

The charts showing the extramural funding for the fiscal year 2009, covering the period from July 2008 to June 2009, are now available on the Office of Research web site at http://research.ucsb.edu/pubs/research_statistics.shtml. They show that the total funding awarded to sponsored projects at UCSB in FY 2009 was $175 million. $150 million of this amount came from federal agencies, either directly or through research subawards. Although the total extramural funding was less than last year’s record of $194 million, the amount of federal funding represents an all-time high. Partly as a result of the financial crisis, the amount of new funding from foundations and corporations was down somewhat in FY 2009.

The last chart in the file shows the direct research expenditures at UCSB in the period 2000-2009. This chart tracks the funds as they are spent on research, rather than as they come to campus, and it shows a steady increase in research activity, doubling every ten years.

Funding from the American Research and Reinvestment Act (ARRA) in the amount of $900,000 was given to the Gevirtz Graduate School of Education for the NSF-funded CalTeach program, an important initiative for this campus. The effects of ARRA on our total funding was still small in the 2008-9 academic year, however, providing only about $2 million of the $150 million we received from federal funds. We will see a much larger effect from ARRA funding in the yearly totals reported a year from now. They will include the $15.7 million for the Energy Frontier Research Center, which arrived on campus in August 2009.

The National Science Foundation provided more funding to UCSB than any other extramural agency at $51.4 million—12% more than in FY 2008. New NSF awards include a large award for the Center for Environmental Implications of Nanotechnology (CEIN), a UCLA-led consortium in which we have a large role, and the Integrative Graduate Education and Research Traineeships (IGERT) for ConvEne—Conversion of Energy through Molecular Platforms. The second largest amount came from the Department of Defense, which provided $46.2 million, about 30% more than in FY 2009. The growth in DOD funding was predominantly associated with the growth of the Institute for Collaborative Biotechnologies but we received significant amounts for a wide range of other projects.
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