TO: Henry T. Yang, Chancellor

FROM: Steven D. Gaines, Acting Vice Chancellor for Research

SUBJECT: 2001-02 Sponsored Projects Statistics

DATE: October 14, 2002

It gives me great pleasure to report an all-time high of over $130.4 million in funding from extramural sponsors in support of UCSB’s research, public service, and training projects for the period July 1, 2001 through June 30, 2002. This new milestone represents a 5% increase over the previous year’s total of $124.3 million and a nearly 80% increase in funding over the last 10 years. We received 1,081 contract and grant awards. In addition, 1,562 proposals were submitted to extramural agencies, requesting a total of $487.9 million in funding. As has been our practice in the past, we have attached a number of charts that represent various views of UCSB’s extramural funding data. A few items I would like to point out:

- On Chart 2, the right-hand pie chart represents a breakout of the “federal” component of the left-hand chart. Percentages on the federal breakout are of total federal dollars (i.e., funds from NSF comprise 47% of the $91.5 million of federal funding). It is interesting to note that $12.2 million of the funds received from non-federal sponsors are actually flow-through from federal sources. Thus, total federal funding exceeds 80% ($103.7 million) of the extramural award total.

- Charts 3 and 8 represent an overview of award data for colleges/schools in two ways: the traditional view of “by Administering Unit,” which assigns award dollars to the unit responsible for a project’s administration; and “by PI Home Department,” which assigns award dollars to the home unit of a project’s first-named principal investigator.

The attached charts, as well as the tabulated data from which they were derived, can be found on the Office of Research web site at the following URL after October 15th:

http://research.ucsb.edu/reports

We hope these data are helpful to you in assessing the present strength and trajectory of the research activity in our academic departments and research centers, and in planning for the future. Please be aware that the Office of Research collects award data only - the Budget Office oversees expenditure data.

We are forwarding this information to Paul Desruisseaux in Public Affairs to facilitate his office drafting a press release. My staff is currently in the process of preparing a summary statistical brochure highlighting the vitality of the campus research climate, including a more detailed look at the diversity and pattern of funding. We look forward to sharing that with you in the near future.

If you have specific questions about these charts, or the additional data on our web site, please feel free to contact Chris Sneathen (x3726; sneathen@omni.ucsb.edu). Of course, I am always available to answer any questions you may have.

cc: Paul Desruisseaux, Director, Public Affairs
    Todd Lee, Acting Associate Chancellor, Budget & Planning
    Gene Lucas, Acting Executive Vice Chancellor
    George Kernsteiner, Vice Chancellor, Administrative Services
    John Wiemann, Vice Chancellor, Institutional Advancement
    Michael Young, Vice Chancellor, Student Affairs
    Walter Yuen, Chair, Academic Senate