TO: Dennis Aigner, Acting Dean, Bren School of Environmental Science & Management  
William Ashby, Provost, College of Creative Studies  
Ed Donnerstein, Dean, Division of Social Sciences  
Aaron Ettenberg, Provost, College of Letters & Science  
Charles Li, Dean, Graduate Division  
David Marshall, Dean, Humanities & Fine Arts  
Martin Moskovits, Dean, Division of Mathematical, Life & Physical Science  
Matthew Tirrell, Dean, College of Engineering  
Jules Zimmer, Dean, Gevirtz Graduate School of Education

FROM: France A. Córdova, Vice Chancellor for Research

SUBJECT: 2000-01 Sponsored Projects Statistics

DATE: September 5, 2001

It gives me great pleasure to report an all-time high of over $124.3 million in funding from extramural sponsors in support of UCSB’s research, public service, and training projects for the period July 1, 2000 through June 30, 2001. This new milestone represents a 10% increase over the previous year’s total of $112.9 million and a 91% increase in funding over the last 10 years. We received 1,005 contract and grant awards. In addition, 1,583 proposals were submitted to extramural agencies, requesting a total of $628.6 million in funding. As has been our practice in the past, we have attached a number of charts that represent various views of UCSB’s extramural funding data. A few items I would like to point out:

- On Chart 1, the smaller bar chart on the right-hand side reflects total sponsored project dollars for FY 2001 (dark blue), plus those gift funds (bright red) that were leveraged by specific research contracts, in this case, from industry. This additional income to the University reflects a close working relationship between faculty and academic administrators, the Office of Research, and Institutional Advancement.

- On Chart 2, the right-hand pie chart represents a breakout of the “federal” component of the left-hand chart. Percentages on the federal breakout are of total federal dollars (i.e., funds from NSF comprise 41% of the $80.3 million of federal funding). It is interesting to note that $11.3 million of the funds received from non-federal sponsors are actually flow-through from federal sources. Thus, total federal funding exceeds $91.6 million.

- Charts 3 and 4 represent an overview of award data for colleges/schools in two ways: the traditional view of “by Administering Unit,” which assigns award dollars to the unit responsible for a project’s administration; and “by PI Home Department,” which assigns award dollars to the home unit of a project’s first-named principal investigator.

The attached charts, as well as the tabulated data from which they were derived, can be found on the Office of Research web site at the following URL after September 10th:

[http://research.ucsb.edu/reports](http://research.ucsb.edu/reports)

We hope these data are helpful to you in assessing the present strength and trajectory of the research activity in your academic departments and research centers, and in planning for the future. Please be aware that the Office of Research collects award data only - the Budget Office generates expenditure data.
We are forwarding this information to Public Affairs so that they may draft a press release. My staff is currently in the process of preparing a comprehensive annual report about the health of the research climate on campus, including a more detailed look at the diversity and pattern of funding, and we look forward to sharing that with you later in the year.

If you have specific questions about these charts, or the additional data on our web site, please feel free to contact David Mayo (x3890; mayo@omni.ucsb.edu). Of course, I am always available to answer any questions you may have.

cc: Todd Lee, Acting Assistant Chancellor, Budget & Planning
    Joan Magruder, Director, News & Media Relations, Public Affairs
    Ilene Nagel, Executive Vice Chancellor
    Everett Kirkelie, Acting Vice Chancellor, Administrative Services
    John Wiemann, Vice Chancellor, Institutional Advancement
    Alan Wyner, Dean, Undergraduate Studies
    Henry Yang, Chancellor
    Michael Young, Vice Chancellor, Student Affairs