

UCSB Office of Research - Sponsored Projects

Certification for National Institutes of Health (NIH)

Disclosure of Foreign Involvement

This form is required for all NIH (and NIH flow-through) proposals, when a request for an Other Support document (JIT or similar) has been received.

When submitting NIH Other Support documents, **ALL** Principal Investigator(s) and Senior/Key Personnel are required to disclose foreign activities as described in the box below. In order to assure compliance, all Principal Investigator(s) and Key Personnel are required to review and sign this document **PRIOR TO OTHER SUPPORT DOCUMENT SUBMISSION.**

NIH Other Support

Please confirm that the Other Support document includes all resources that have been made available in support of all of research endeavors, whether such resources are Federal, non-Federal, commercial or institutional, domestic or foreign, and regardless of whether they have monetary value or are in-kind.

Other Support should include research grants, cooperative agreements, contracts, and/or institutional awards, along with other resources, such as:

- financial support for laboratory personnel
- lab or office space
- equipment
- research materials
- staff
- high-value materials that are not freely available (such as biologics, chemical, model systems, technology, etc.).

Such resources must be reported regardless of whether the work is performed inside or outside of a researcher's appointment period. Reporting is required regardless of whether resources are awarded through UCSB, through another institution, or provided directly to the researcher. Training awards, prizes, or gifts do not need to be included.

Other Support must also include all domestic/foreign positions and scientific appointments that are relevant to an application, including affiliations with foreign entities or governments (including academic, professional, or institutional appointments, both paid or unpaid, and regardless of whether full-time, part-time, or voluntary, adjunct, visiting, or honorary).

According to NIH, "selection to a foreign 'talents' or similar-type program" must also be reported. Additionally, Other Support includes all domestic and foreign research collaborations that directly benefit the researcher's research endeavors.

NIH requires reporting not only for the principal investigators, but also for persons designated as senior/key personnel (defined as "other contributors to the project's scientific development

UCSB Office of Research - Sponsored Projects

or execution when their involvement is substantive and measurable, whether or not salaries or compensation is requested.”)

According to NIH, “Applicants are responsible for promptly notifying NIH of any substantive changes to previously submitted Just-in-Time information up to the time of award, including “Other Support” changes that must be assessed for budgetary or scientific overlap. Further, if other support, as described as above, is obtained after the initial NIH award period, from any source either through the institution or directly to senior/key personnel, the details must be disclosed in the annual research performance progress report (RPPR).”

Although Other Support information is used primarily to confirm that the PIs have the capacity to take on the proposed project, NIH may also use this section to ensure all foreign affiliations are reported. NIH has stated that, in the interest of full transparency, researchers should err on the side of disclosure when reporting Other Support.

*Please note that this document reflects NIH requirements as of **November 23, 2020**. PIs and Senior/Key Personnel should check the current requirements frequently to find the most up-to-date information.*

For more information about foreign involvement, please visit Research Integrity’s webpage on this topic: <https://www.research.ucsb.edu/research-integrity/international-engagement>.

For further guidance on foreign involvement disclosure requirements, please visit Sponsored Projects’ webpage: <https://www.research.ucsb.edu/foreign-involvement-disclosure-requirements>

I confirm that I have reviewed the NIH disclosure requirements and I understand that I am responsible for accurately and fully reporting the required information to the sponsor.

Further, I certify, to the best of my knowledge at the time of the submission, that the information included in the NIH Other Support document(s) is complete, accurate, and compliant with NIH policies.

ORBIT Record Number or Award Number _____

PI/Key Person Signature _____

PI/Key Person Typed Name _____

Date _____