

## NIH/PHS Principal Investigator Assurance Form

This form is designed to comply with the requirements of NIH Notice Number: NOT-OD-06-054, issued April 7, 2006 <http://grants1.nih.gov/grants/guide/notice-files/NOT-OD-06-054.html>

Effective May 10, 2006, NIH requires that the applicant organization secure and retain a written assurance from the Principal Investigators (PI) prior to submitting an *application, post-submission information, progress report, and post-award prior approval request* (“Actions”). NIH/PHS also requires that when multiple PIs are proposed in an application, this assurance must be retained for *all named PIs*.

To comply with the above requirement, all UC Santa Barbara PIs must complete the following certification for NIH/PHS Actions. This form must be signed and submitted to the Sponsored Projects Office for each submitted Action, for each PI. UC Santa Barbara is now required to make this assurance available to NIH/PHS or other authorized HHS or Federal officials upon request.

Project Title:

UCSB Record No.

NIH Award/Application No. (if known):

Application

Post Submission Information

Progress Report

Post Award prior approval request

By signing below, I certify that (1) The information submitted within the application is true, complete and accurate to the best of my knowledge; (2) that any false, fictitious, or fraudulent statements or claims may subject me personally to criminal, civil, or administrative penalties; and (3) that I agree to accept responsibility for the scientific conduct of the project and to provide the required progress reports if a grant is awarded as a result of the application.

Principal Investigator (PI)

Signature:

Date:

### Multiple Principal Investigator Assurance:

Principal Investigator (PI)

Signature:

Date: