NIH/PHS Fellowship Certification Assurance Form

This form is designed to comply with the requirements of NIH Notice Number: NOT-OD-09-007, issued October 14th, 2008 http://grants.nih.gov/grants/guide/notice-files/NOT-OD-09-007.

Effective to applications for submission/receipt dates in December 2008 and thereafter, NIH requires that the applicant organization secure and retain a written assurance from the Individual Fellow and Sponsor (s) prior to submitting a PHS 416-1competing application and/or a revised PHS 416-9 annual progress report. *This policy also applies to all requests for NIH prior approval actions and post award information for individual fellowship applications and active awards.*

To comply with the above requirement, all UC Santa Barbara Fellows and Sponsor(s) must complete the following certification for the listed actions. This form must be signed and submitted to the Sponsored Projects Office for each submitted action. UC Santa Barbara is now required to make this assurance available to NIH/PHS or other authorized HHS or Federal officials upon request.

Project Title: _____

UCSB Record No. _____ NIH Award/Application No. (if known): _____

Application

Post Submission Information

Progress Report

Post Award prior approval request

By signing below, I certify that (1) The information submitted within the application is true, complete and accurate to the best my knowledge; (2) that any false, fictitious, or fraudulent statements or claims may subject me personally to criminal, civil, or administrative penalties; (3) that the Sponsor(s) will provide appropriate training, adequate facilities, and supervision if a fellowship is awarded as a result of the application; and (4) that the Fellow has read the Ruth L. Kirschstein National Research Service Award Payback Assurance and will abide by the Assurance if an award is made, and that the award will not support residency training.

Individual Fellow: Signature: Date:

_____ _____ _____

Sponsor (s) Signature: Date:

_____ _____ _____