

UC SANTA BARBARA Office of Research



Research Integrity Newsletter - May 2022

News & Announcements

Responsible Conduct of Research Online Training

Are you or your students supported by NIH, NSF, or USDA-NIFA grants? If so, then this article is for you!

Responsible conduct of research (RCR) is defined as "the practice of scientific investigation with integrity." It involves the awareness and application of established professional norms and ethical principles in the performance of all activities related to scientific research.

All students supported by NSF, and USDA_NIFA awards, along with certain NIH awards, are required to complete mandatory RCR training. Individuals required to complete this training are notified by email.

In addition to this training, one-on-one mentoring from faculty advisors is an important component of responsible conduct of research training. Certain NIH awards require completion of in-person training, which is coordinated directly with those individuals.

For graduate students and post docs, the UC Santa Barbara RCR training program involves three components: 1) mandatory online RCR training, 2) an ethics seminar series, and 3) one-on-one mentoring from your faculty advisor.

The online course is available through the CITI homepage at <https://www.citiprogram.org/default.asp>.

For more information on ethics training, please visit the Research Integrity website or feel free to reach out to rcr@research.ucsb.edu.

Human Subjects Research

Clinical Trials Registration and Reporting Reminder

Is your study funded by NIH or another federal agency and meets the criteria for a “clinical trial”? If so, then your study may be subject to the NIH and Common Rule requirements for registering and reporting clinical trial results and posting of consent forms. Please ensure your clinical trial is publicly posted on a federally approved website, such as [ClinicalTrials.gov](https://clinicaltrials.gov).



Export Control

Duo blocking access to OFAC sanctioned countries or regions

Beginning May 5, 2022, Duo, the University's 2-factor authentication tool, will block authentication attempts from countries or regions subject to economic and trade sanctions enforced by the U.S. Office of Foreign Assets Control (OFAC). **Anyone affiliated with UCSB and based in or traveling to these countries or regions will not be able to access University systems that require Duo authentication.**

UCSB personnel attempting to authenticate from the following OFAC-sanctioned countries or regions will receive an error message: "Access denied. Duo Security does not provide services in your current location."

- Crimea region
- Cuba
- Donetsk region
- Iran
- Luhansk region
- North Korea
- Sevastopol region
- Sudan
- Syria

Please contact the exportcontrol@research.ucsb.edu if you have any questions.

Export Control

Governor's Executive Order in response to invasion of Ukraine

On March 4, 2022, the Governor of California issued Executive Order N-6-22. The Executive Order outlines California's alignment with the Federal Government in its ongoing response to the invasion of Ukraine. A portion of the Executive Order that has a direct impact on UCSB is the requirement for any grantee and/or contractor who receives \$5 million dollars or more from the State of California to ensure their compliance with the economic sanctions imposed on Russia by the Federal Government. There are several administrative mechanisms in place at UCSB to identify and address potential violations of the economic sanctions imposed upon Russia. This situation is still developing and additional sanctions may be imposed at any time. Therefore, if you are engaged in any UCSB activity involving Russia, we ask that you consult with the Export Control Office at exportcontrol@research.ucsb.edu for further guidance.

Please alert the Export Control Office prior to engaging in any of the following activities.

- Financial transactions with Russia or the Russian banking system.
- Travel to Russia.
- Shipments of research supplies or commodities to Russia.

Details on these sanctions may be found at the following links:

- [Executive Order 14065](#)
- [Office of Foreign Asset Control sanctions](#)
- [Department of Commerce & Bureau of Industry and Security fact sheet](#)

Institutional Animal Care and Use Committee

Change to USDA-APHIS Review Requirements

USDA-APHIS regulates the use of all warm-blooded animals, excluding mice and rats bred specifically for research. In an effort to reduce duplicative requirements and administrative burden, USDA-APHIS will no longer require annual reviews of protocols that use species regulated under the Animal Welfare Act. Rather, they now only require a complete review of the project once every three years, the same as the NIH Office of Lab Animal Welfare (OLAW). The UCSB IACUC has already implemented this change.



Human Subjects Research

Human Subjects Website Updates and More!

Department of Defense Guidance - Researchers who are funded by the Department of Defense or use DOD personnel as research participants are required to adhere to an additional set of regulatory standards. [Read more](#) for DOD human subjects research requirements.

MRI ORahs Protocol Guidance - Researchers who routinely conduct fMRI studies can utilize this [guidance](#) to assist when developing their ORahs protocol, procedures, risks and safeguards.

Upcoming Events

Interested in hosting a human subjects webinar for your department, class, or research team? We can present on a variety of topics from recruitment to informed consent. Contact us at hsc@research.ucsb.edu to schedule a webinar today!

Stay in Touch!

Questions? Contact us at:

Animal Subjects @ iacuc@lifesci.ucsb.edu

Human Subjects @ hsc@research.ucsb.edu

Conflict of Interest @ coi@research.ucsb.edu

Export Control @ exportcontrol@research.ucsb.edu

Stem Cell and Responsible Conduct of Research @ blakemore@research.ucsb.edu

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to researchintegrity@research.ucsb.edu

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