

UC SANTA BARBARA Office of Research



Research Integrity Newsletter - February 2023

News and Announcements

Export Control Export Control Officer Update

The Research Integrity Office wishes a fond farewell to Brian McCurdy, who is leaving our campus to pursue a new career opportunity. Brian is responsible for building our export compliance program and has ably served as the campus Export Control Officer for many years. His last day is Friday, February 10th and we wish him all the best with his future pursuits. Please continue to send export control-related requests to exportcontrol@research.ucsb.edu.

New European Union shipping requirements

If you ship research samples, equipment, or other items by air to a European Union country, there are new import requirements. These new requirements apply to all goods (except documents) regardless of value and your shipment may be delayed if incomplete or inaccurate information is provided to the shipper.

As of March 1, 2023 your shipper (e.g., FedEx, DHL, etc.) should require the following information for all goods being shipped or transiting through the European Union.

1. A minimum six-digit Harmonization System code for each item in the shipment.
2. An accurate description for each item in the shipment in precise and **plain** language.
3. The receiver's Economic Operator Registration and Identification (EORI) number.

You should obtain the EORI directly from your recipient. Use the following U.S. Census Bureau Schedule B search tool to help identify the proper Harmonization System code if you do not already know the proper code. <https://uscensus.prod.3ceonline.com/>

These new requirements are in addition to the existing requirement to work with Export Control for all international shipments of physical goods. Your department should have a process in place that either uses Gateway for international shipment requests or asks you to complete a short questionnaire, which is emailed to exportcontrol@research.ucsb.edu.



Human Subjects Research NIH Data Management Sharing Policy

The new NIH Data Management & Sharing (DMS) policy went into effect on January 25th. NIH expects that scientific data will be shared to the greatest extent possible. However, special consideration must be given for researchers collecting and sharing data from human participants.

NIH has shared guidance on these considerations and our office has created a new **Data Management & Sharing webpage** which summarizes these considerations. In addition to covering considerations related to writing your Data Management & Sharing Plan, the webpage provides guidance on the information that needs to be included in your IRB protocol and consent process. If you are planning on collecting and sharing human participant data for a new NIH proposal, please review our webpage when drafting your DMS Plan. You may also find additional resources and guidance at the Library's **NIH DMS website**.



Animal Subjects Research Office of Research Animal Management System (ORAMS)

ORams is the Office of Research Animal Management System. Among other animal management features, most importantly, the new protocol module replaces the Word document and email routing workflow with a Google document and workflow that is tightly integrated into ORams Protocol. The integration will provide greater efficiency by automating these manual processes: 1) Creating the protocol document; 2) Assigning and removing persons and permissions; 3) Toggling edit, suggest, and view modes based on the protocol lifecycle; 4) Routing the protocol to the appropriate role for review; and 5) Citiprogram training integration.

Limited rollout will begin in February for the IACUC Administrator and Campus Veterinarian roles, followed by roles for PI, Lab Manager, Researcher, IACUC Board, and ARC manager and staff. In the coming weeks targeted emails with instructions will go out to the different user groups in preparation for full rollout.

Request for Information (RFI) on Guidance on Prompt Reporting of Noncompliances to OLAW

Currently, the UCSB IACUC is obligated to report incidents of noncompliance to

OLAW in accordance with NIH Guide [Notice NOT-OD-05-034](#). This notice provides several examples of situations that must be reported to OLAW, such as a failure to adhere to an IACUC-approved protocol or conditions that jeopardize the health or well-being of animals. OLAW is seeking input on revising the reporting guidance to reduce administrative burden by clarifying the reporting requirements. Please see NIH Guide [Notice NOT-OD-23-063](#) to review the proposed changes and provide comments to OLAW. The UCSB IACUC will be submitting its comments prior to the May 5th deadline.



Other News

New NSF Requirements Related to Research Integrity

In their [2023 Proposal & Award Policies & Procedures Guide](#), the National Science Foundation has enacted multiple new policies that relate to research integrity. The agency has updated their Conflict of Interest policy and has made a significant change to the applicability of the Responsible and Ethical Conduct of Research training requirement.

Conflict of Interest

The definition of a Significant Financial Interest has been revised and is effective

January 30, 2023. As a brief reminder, individuals responsible for the design, conduct, or reporting of proposed or awarded NSF-funded research are required to disclose certain information about potential or actual conflicts of interest to the university. NSF uses the term Significant Financial Interest to define reportable interests.

NSF has updated two sections of their definition of a Significant Financial Interest.

1. In the section on equity interests, “private equity” has been added as an additional example.
2. “Venture or other capital financing” has been added to the list of reportable interests.

Once the National Science Foundation has provided guidance on interpreting these changes, our office will update our website and ORCOI, as necessary.

Responsible and Ethical Conduct of Research

The National Science Foundation considers the responsible and ethical conduct of research to be critical for the science and engineering research it supports. NSF has updated the requirements for training in the responsible and ethical conduct of research, which go into effect on July 31, 2023.

Significant changes to NSF’s requirements include:

- Faculty and other Senior Personnel supported by NSF to conduct research are now required to complete this training; and
- Training topics must now include mentor training and mentorship.

Stay in Touch! **Questions? Contact us**

Animal Subjects @ iacuc@lifesci.ucsb.edu

Human Subjects @ hsc@research.ucsb.edu

Conflict of Interest @ coi@research.ucsb.edu

Export Control @ exportcontrol@research.ucsb.edu

Stem Cell and Responsible Conduct of Research @ blakemore@research.ucsb.edu

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