

UC SANTA BARBARA Office of Research



Research Integrity Newsletter - April 2024

New Office of Research Staff!

Conflict of Interest Coordinator

We are thrilled to welcome Nicole Foley to our team as the Conflict of Interest Specialist and her first day was Monday, March 18th. Nicole comes from the University of Colorado, Denver where she was responsible for coordinating their conflict of interest program and she brings years of experience working in regulatory compliance. In addition to running our conflict of interest program, she will coordinate with other campus units to help researchers navigate federal sponsor reporting requirements. Please welcome Nicole to our campus and contact her with any conflict of interest related questions at coi@research.ucsb.edu.

Human Subjects Specialist

Please join us in welcoming Monica Solorzano to Research Integrity as our newest Human Subjects Staff member. Monica started in early December 2023 and has been

working with us ever since. She has been on campus for more than 17 years and has lots of campus experience, which includes Government Relations and Academic Senate. We are excited to have her join our staff! Please reach out to her at hsc@research.ucsb.edu.

Conflict of Interest

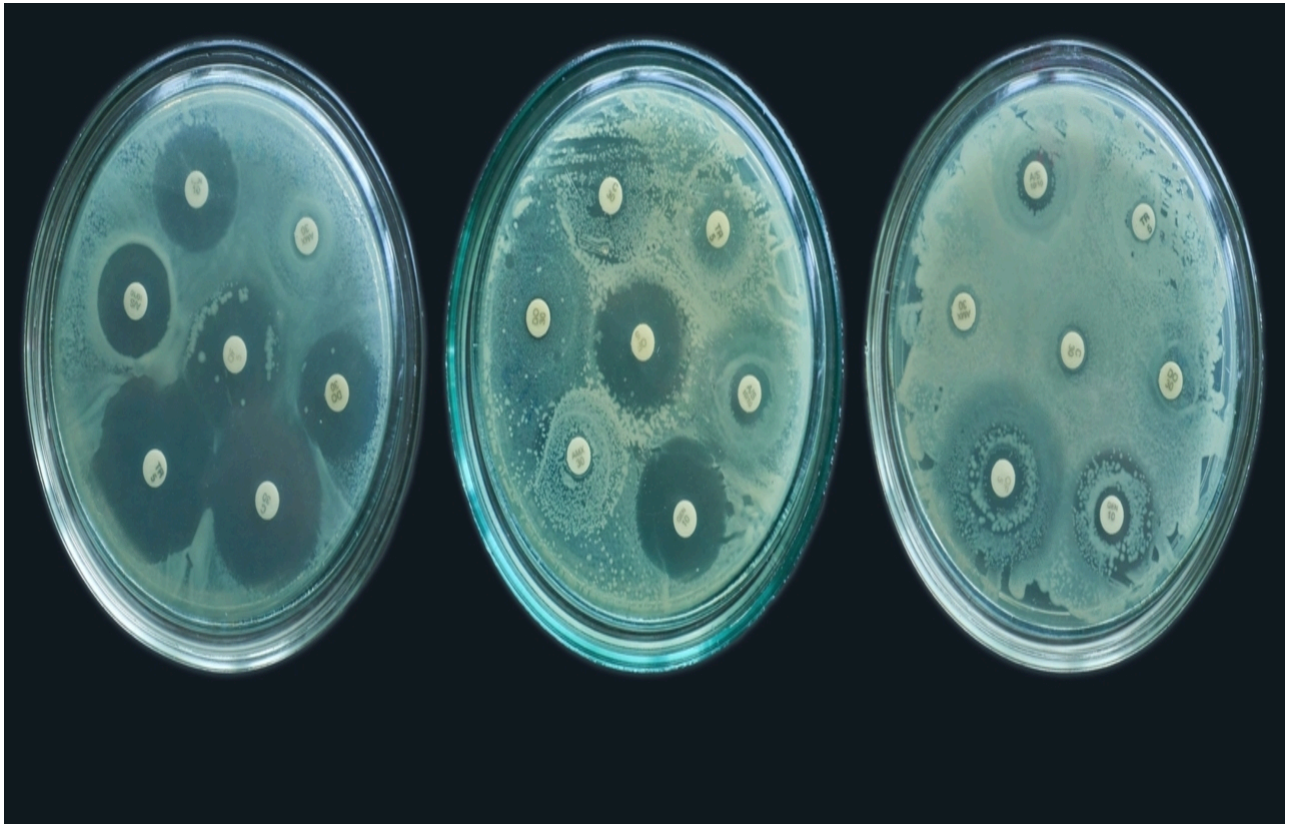
New Guidance on Conflicts of Interest Involving SBIR/STTR Funding

We recently published new guidance to help PIs and researchers navigate common conflict of interest situations related to SBIR/STTR funding. These federal programs provide funding to domestic small businesses who often collaborate with research institutions through the issuance of a subcontract. Potential conflict of interest concerns exist when a UCSB employee has a financial interest in the small business.

This guidance may be found at the following URL:

<https://www.research.ucsb.edu/coi/sbir-and-sttr-coi-guidance>

Please reach out to coi@research.ucsb.edu with any conflict of interest related questions.



Human Subjects Research

UC IRB Reliance Registry Closing June 30, 2024

The University of California IRB Reliance Registry will be retired on June 30, 2024.

No new reliances between UC campuses may be entered into the system. Please ensure any reliances currently in process are fully executed no later than May 31, 2024.

New reliance requests will be documented via SMART IRB or another mechanism (e.g., IRB Authorization Agreement). Please contact hsc@research.ucsb.edu for any questions.



Export Control

New Guidance on International Collaborations and Export Controls

Our office has created new guidance to help researchers better understand how export control regulations could impact their international collaborations. The U.S. government has continued to expand their use of export control regulations, with increased enforcement and significant potential penalties. A recent example of the government's attention to this issue is a March 20, 2024 announcement of enhanced coordination between the Department of Treasury and the Department of Commerce.

Given the complexity of these regulations, we strongly encourage researchers to proactively review their international collaborations and consult with the Export Control Officer (exportcontrol@research.ucsb.edu) prior to engaging in activities involving sanctioned countries/regions or restricted entities. Federal funding agencies are also looking more closely at international appointments, affiliations, and collaborations and may require risk mitigation plans or may decline to fund projects where risks can't be mitigated. Please find our new guidance at the following link.

International Collaborations and Export Control Guidance

Anyone planning research activities involving Russia should pay particular attention to this guidance. The U.S. government has now imposed significant sanctions on over 4,000 individuals and entities in Russia, making this the Office of Foreign Assets Control's largest sanctions program. Several major Russian universities and research vessels are included in these sanctions and U.S. persons are prohibited from providing services to these individuals/entities, which may include research and publishing activities.

Before proceeding with such an activity, please reach out to the Export Control Officer for specific guidance at exportcontrol@research.ucsb.edu.



Animal Subjects

AAALAC Accreditation Site Visit for the Animal Care and Use Program Update

UCSB is currently in the process of having our vertebrate Animal Care and Use Program reaccredited by **AAALAC**. Our Institutional Animal Care and Use Committee recently submitted the documentation to begin its 4th reaccreditation process! We anticipate AAALAC will conduct in-person facility visits in late Spring or early Summer. Research groups involved with animal care and use program will be contacted by the IACUC Coordinator in mid-Spring to begin planning for the site visit.

Responsible Conduct of Research

NSF Training Requirement

As of January 31, 2024, the Office of Research has been notifying individuals who need to complete the RCR training through an automated email process.

As a reminder, all undergraduates, graduate students, postdoctoral researchers, faculty, and other senior personnel supported by NSF to conduct research must complete the **CITIProgram** online course in Responsible Conduct of Research.

Please contact research-rcr@ucsb.edu for questions on completing this training requirement.

Upcoming Events

Interested in hosting a human subjects webinar for your department, class, or research team? We can present on a variety of topics from recruitment to informed consent. Contact us at hsc@research.ucsb.edu to schedule a webinar today!

Stay in Touch!

Questions? Contact us at:

Animal Subjects @ iacuc@lifesci.ucsb.edu

Human Subjects @ hsc@research.ucsb.edu

Conflict of Interest @ coi@research.ucsb.edu

Export Control @ exportcontrol@research.ucsb.edu

Stem Cell and Responsible Conduct of Research @ blakemore@research.ucsb.edu

If you have news or updates or feedback you'd like to share, please send to researchintegrity@research.ucsb.edu

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