

OFFICE OF RESEARCH – SPONSORED PROJECTS
REQUEST FOR APPROVAL TO SPEND FUNDS (RAS)

Principal Investigator(s): Administering Department:

Project Title:

OR Record #: Sponsor:

Contact person: Extension:

1. Anticipated Award type will be: *(check one)*

☐ Grant ☐ Cooperative Agreement ☐ Cost-Reimbursement Contract ☐ Fixed-Price Contract ☐ Other Transaction Agreement

2. Anticipated Award will be: *(check one)*

☐ Renewal ☐ New ☐ Continuation ☐ Supplement

3. Indicate current sponsor award number *(if applicable)*:

4. Current UCSB Account number *(if applicable)*: Fund number *(if applicable)*:

5. Anticipated Award Begin date: End date: Anticipated Award Funds: \$

6. Approval is requested to spend \$ for the period beginning and ending

7. Does this RAS include a request for pre-award spending? ☐ Yes ☐ No

Pre-award Begin date: Pre-award End date:

8. Description of request and justification of need to spend and the firm commitment from Sponsor (attach pages, if necessary):

CERTIFICATIONS AND APPROVALS:

In accordance with University policy, an urgent need exists to expend funds prior to receipt of a fully-executed award.

PRINCIPAL INVESTIGATOR DATE

I concur with this request, and I (check one) ☐ will provide/ ☐ am unable to provide funding for losses incurred as a result of pre-award spending.

DEPT. CHAIR OR ORU DIRECTOR DATE

I approve this request, with the understanding that (check one) ☐ the Department Chair will provide funding for losses incurred/ ☐ I will provide funding for losses incurred.

DEAN OR VICE CHANCELLOR DATE

OFFICE OF RESEARCH USE ONLY:

Confirmation of firm commitment from sponsor:

SPONSORED PROJECTS OFFICER DATE

☐ REQUEST APPROVED
☐ REQUEST DISAPPROVED

CHANCELLOR'S DESIGNEE DATE

OFFICE OF RESEARCH – SPONSORED PROJECTS
REQUEST FOR APPROVAL TO SPEND FUNDS (RAS)

INSTRUCTIONS FOR PREPARATION OF “REQUEST FOR APPROVAL TO SPEND FUNDS” (RAS)
(UCSB/OR Form 203)

This form is to be used to obtain approval to spend funds for an extramurally funded project prior to the receipt of an award. Conditions governing the use of this form are set forth in UCSB Research Circular C.1. Once you have completed the form, please send it to the Sponsored Projects unit in the Office of Research, 3227 Cheadle Hall, Mail Code 2050. If you have any questions, you should contact the Sponsored Projects Team assigned to your department.

Top Section: *Enter general information about the project:* Indicate the name of the principal investigator and the administering department for the anticipated award. Provide the complete title of the project. Provide the OR record number established for the project proposal, and the full name of the sponsor from whom the award is anticipated (e.g. National Science Foundation, Department of Energy, State of California). Provide the name and telephone extension of the individual who may be contacted for questions regarding this request.

Item 1. Indicate whether the anticipated award will be a grant, cooperative agreement, cost-reimbursement contract, fixed-price contract or Other Transaction agreement.

Item 2. Indicate which one of the four types of award is expected: *New*, or an original award; *Continuation*, or an award for a follow-on budget period within an approved project period; *Renewal*, or a new award for continued support of an existing project; *Supplement*, or an augmentation of funds within a budget period.

Item 3. If this request is being submitted for an ongoing project, indicate the current award number assigned by the sponsor.

Item 4. If this request is being submitted for an ongoing project, indicate the current UCSB account and fund number.

Item 5. Indicate the anticipated period of performance for the pending award, as well as the anticipated total amount of funding (direct and indirect costs) to be provided by the sponsor.

Item 6. Indicate the exact amount of funds being requested for spending prior to receipt of the anticipated award, and the exact period during which these funds will be spent.

Item 7. Indicate if this request also includes a request by the principal investigator for pre-award spending from the sponsor (including grantee-approved pre-award spending requests) and provide the requested begin and end date for pre-award spending.

Item 8. A specific justification is required for the funds that are being requested for spending prior to receipt of an anticipated award. This should cover not only the items for which funds will be spent (e.g., salaries, supplies, equipment, etc.), but the “urgent need” that requires spending prior to the receipt of the award. For example, interruption of work on a project may affect its completion, and thus funds must be spent in advance of receiving an award to retain existing staff and acquire laboratory supplies. Also, indicate the nature of the “firm commitment” that the sponsor has given with respect to making an award. Specify the name and title of the individual making the commitment, the date when this occurred, and the UCSB staff to whom the commitment was conveyed. Provide any additional information that would be useful to evaluating this request.

Certifications and Approvals. The principal investigator must sign the certification regarding the existence of an urgent need to spend funds. The principal investigator’s Department Chair or ORU Director will then review the request and indicate, by signing the statement of concurrence, *whether the Department will or will not provide funding to cover costs in the event an award is not received for the amount or period anticipated.* The request should then be forwarded for review to the Dean of the principal investigator’s College or School (or in the case of ORUs the Vice Chancellor for Research); the Dean indicates approval by signing the statement of approval, and *indicating whether the Department Chair or the Dean will be responsible for providing funding in the event the anticipated funds are not received.* All certifications and approvals must be completed before submitting this form to the Office of Research.

Office of Research Use Only. Sponsored Projects staff will then confirm the “firm commitment” of the sponsor and will ensure that any compliance approvals (e.g. human subjects, animal subjects, conflict of interest etc.) have been cleared before submitting the form to the Chancellor’s designee for final review and approval. Once approved, the form will be sent to Extramural Funds Accounting to have an account and fund number assigned.

UNIVERSITY OF CALIFORNIA, SANTA BARBARA

OFFICE OF RESEARCH

AWARD SYNOPSIS

Record # : 20130431

Proposal Submitted : Cooperative Agreement

Award Action : New

Date : 01/04/2013

Dept. : ISBE

Fund # :

Agency : USDA Rocky Mountain Forest and Range Experiment Station

Award # : 13-JV-11221611-027

Mod # : Sponsor Code : 0326

Site of work : N: On-Campus

CFDA Number : 10.000 Category : 1

PIs:

#	First Name	Middle Name	Last Name	Home Dept	Title Code	% Time	Eligible	Exception	
1	Jody	Lee	Shepherd	Jahn	CMST	7 - Other	50.0	No	Yes
2	Linda		Putnam	CMST	1 - Professor	5.0	Yes	No	

Title: Wildland Firefighting and Theories of High Performance

FINANCIAL INFORMATION

Award Funds	:	13,430	IDC Award	:	0	Third Party	:	0
Begin Date	:	12/20/2012	IDC Rate	:	0.00	(formerly Matching		
End Date	:	04/30/2013	IDC Code	:	40 - See Waiver	UC Cash	:	0
						(formerly UC Participation)		
Projected Funds	:	0	RAS Funds	:	0	UC In-kind	:	5,573
Funds Thru Date	:		Begin Date	:		(formerly Cost Share)		
Funds Obligated	:		End Date	:		Pre-Award Begin Date	:	
To Date	:	0				Waiver Type	:	C - Class
						Waiver number	:	81R-006

REPORTS

Final Tech : 07/29/2013

Final Patent :

Final Equip :

APPROVALS

Human Subjects	:	No	HS Log	:	HS Exp Date(s)	:
Use of Animals	:	No	AS Log Number	:	AS Exp Date	:
Use of Stem Cells	:	No	SC Log Number	:	AS Exp Date	:
Research Using SSN	:	No				

CONDITIONS:

Code	Category	Description
19	General Terms and Conditions	See special award terms and/or agency guidelines.
29	Equipment Issues	No equipment allowed on the project.
69	Reporting Requirements	See award terms for additional reporting requirements.

REMARKS:

APPROVAL	:	George S Hopwood	AWARD DATE	:	01/02/2013
PHONE	:	(805)893-5530	OTHER ACTION	:	
			OTHER ACTION DATE	:	
			NO COST EXTENSION DATE	:	

EXPLANATION OF CODES LISTED IN "CONDITIONS" FIELD EFFECTIVE (2/1/2021)

If a code number and/or letter appears in the "CONDITIONS" field on the Award Synopsis, then the condition specified below applies to this award. Please note that the codes listed on the Award Synopsis are not intended to be exhaustive. Principal Investigators and Administering Units are also responsible for reviewing the applicable award terms and conditions. If you have any questions, please do not hesitate to contact your Sponsored Projects or Industry Contracts Team.

GENERAL TERMS AND CONDITIONS

8	Uniform Guidance 2 CFR, Part 200
9	Award includes Federal flow-through funding
10	Research Terms and Conditions, and the appropriate Agency Specific Requirements (see: http://www.nsf.gov/bfa/dias/policy/rtr/index.jsp).
11	Federal Demonstration Partnership, and the appropriate Agency Specific Requirements (see www.thefdp.org).
12	UC Program. See program guidelines and award document.
14	FAR Clause 52.216-7 Alternate II is included in award terms. See FAR Subpart 31.3. The allowability of costs is determined in accordance with the OMB Uniform Guidance at 2 CFR part 200, subpart E appendix III, in effect on the date of the contract.
15	EDGAR (see http://www.ed.gov/policy/fund/reg/edgarReg/edgar.html)
16	2 CFR 220 (OMB Circular A-21)
17	2 CFR 215 (OMB Circular A-110)
18	Federal Acquisition Regulations ("FAR"), and the appropriate Agency FAR Supplements (see https://acquisition.gov/browsefar)
19	See special award terms and/or sponsor guidelines.
20	Federal Funding, Accountability and Transparency Act of 2006 (FFATA) Special Subaward Reporting Applies

EQUIPMENT ISSUES

21	General Purpose Equipment, not in the approved budget, requires the prior written approval of the sponsor if the amount exceeds \$5,000.
22	Special Purpose Equipment, not in the approved budget, requires the prior written approval of the sponsor if the amount exceeds \$5,000.
23	Equipment not in the approved budget requires the prior written approval of the sponsor if the amount exceeds \$5,000.
24	Title to equipment vests in: University.
25	Title to equipment vests in: Government. <i>Note: Equipment must be used exclusively for the conduct of the research project.</i>
26	Title to equipment vests in: See award document and/or Synopsis comments.
27	DIPEC prior approval is required for all equipment purchases over \$10,000 (and Computer Equipment over \$25,000). Form DD1419 must be completed and sent, via Office of Research, to the federal administering agency.
28	If PI wishes to purchase General Purpose Equipment not in the approved budget, the Purchase Requisition must contain assurance from the PI that the equipment will be used primarily or exclusively in the conduct of the research.
29	No equipment allowed on the project.

TRAVEL ISSUES

31	Foreign Travel not in the approved budget requires the prior written approval of the sponsor.
32	Foreign Travel requires prior written approval of the sponsor regardless of its inclusion in the approved budget.
34	Domestic Travel not in the approved budget requires the prior written approval of the sponsor.
35	All Travel requires prior written approval, regardless of its inclusion in the approved budget.

MISCELLANEOUS BUDGET ISSUES

40	Carry forward of funds requires prior approval.
41	Project contributions are cumulative.
42	Salary payments to any individual, excluding benefits, may not exceed a <i>rate</i> of \$16,608 per month. Effective for budget periods beginning 01/03/21. For additional guidance, see NIH Notice: https://grants.nih.gov/grants/guide/notice-files/NOT-OD-21-057.html .
43	All rebudgeting requires prior approval of the agency.
44 (A)	Prior sponsor approval is required for transfers of funds between direct cost categories when the cumulative amount of transfers during the project period exceeds 10% of the total award. % of total award, if different, noted in remarks.
44 (B)	Prior sponsor approval is required for transfers of funds between direct cost categories when the cumulative amount of transfers exceeds 10% of the line item. % of the line item, if different, noted in remarks.
45	Prior sponsor approval is required for transfers of funds among direct cost categories and between direct and indirect cost categories when the cumulative amount of such transfers exceeds the greater of \$10,000 or 5% of the total project budget last approved. Amount and % of total project budget, if different, noted in remarks.
46	Limitation of Funds/Costs: Admin. Unit must notify Agency when it believes that expenditures to date will exceed, in next 60 days, 75% of either, total funds obligated to date (if contract is not fully-funded) or total estimated cost (if contract is fully-funded). No. of days and % of funds, if different, noted in the Remarks.
47	Graduate Students may not receive over \$53,760/year (including tuition, fees and benefits). Effective for budget periods beginning October 1, 2020 (FY 2021). For additional guidance, see NIH Notice: https://grants.nih.gov/grants/guide/notice-files/NOT-OD-21-049.html .
48 (A)	Award includes change in indirect cost rate. Change in rate applies to funds under this record and any unspent/carry forward funds on award.
48 (B)	Award includes change in indirect cost rate. Change in rate applies only to funds awarded under this record number.
49	Award payment is made in foreign currency. Fluctuations in the exchange rate may require adjustments to the US dollar amount awarded.

TYPE OF CONTRACT

51	Cost-Reimbursement Contract.
52	Fixed-Price Contract.
53	Other type of Contract - See remarks
54	Invoicing is required. See contract for invoicing information, amount(s) and due date(s).
55	Invoicing is required. Sponsor is to be billed in arrears as costs are incurred. See contract for invoicing information and frequency.

REPORTING REQUIREMENTS

61	Annual Technical Report/Continuation Request: 1) For NIH awards issued under the Streamlined Non-Competing Award Process (SNAP) the progress report must be submitted electronically through the eRA Commons Research Performance Progress Report (RPPR) module 45 days before the begin date of the next budget period. 2) Progress reports for NIH awards that are not issued under the SNAP are due 60 days before the begin date of the next budget period and must be submitted electronically through the eRA Commons Research Performance Progress Report (RPPR) module. For Non-NIH awards – Annual Technical Report/Continuation request is due 60 days prior to anniversary date.
62	Annual Technical Report/Continuation Request due 90 days prior to anniversary date.
63	Annual Technical Report due 90 days after anniversary date.
64	Biannual Technical Reports due.
65	Quarterly Technical Reports due.
66	Monthly Technical Reports due.
67	Annual Technical Report due on anniversary date.
68	Milestone Reports required.
69	See award terms for additional reporting requirements.

SPONSOR SPECIFIC TERMS & CONDITIONS

71	This award includes federal or federal flow-through funding. Any change in the PI(s) or Senior/Key Personnel specified on the notice of award, including a 25% or more reduction in time devoted to the project, requires prior approval from the sponsor in accordance with the award or sponsor's general terms and conditions. If different, noted in remarks.
72	This award includes NSF or NSF flow-through funding and is subject to the NSF Notification Requirements Regarding Findings of Sexual Harassment, Other forms of Harassment, or Sexual Assault. UCSB's harassment policies must be disseminated to attendees at NSF-sponsored conferences prior to attendance and must be made available at the conference. A list of UCSB's harassment policies and resources for dissemination to attendees can be found here: https://www.research.ucsb.edu/nsf-sexual-harassment-policy .

INTELLECTUAL PROPERTY ISSUES

81	This award contains special intellectual property (IP) terms.
82	A "Notice of Restrictive Patent Provision" (informed consent) must be signed by all project personnel.
83	This project must be isolated – except for federal, no other funding or resources may be used.
84	This project must be isolated – no other funding or resources may be used.

OTHER AWARD REQUIREMENTS

90	This award includes a Subcontracting Plan.
91	This award is subject to the University Policy on Disclosure of Financial Interests Related to Sponsored Projects. As a reminder, if an Investigator's financial interests change, in relation to this project, an updated disclosure must be submitted through O.R.'s Conflict of Interest disclosure system – ORCOI at https://ucsb.coi-smart.com .
92	An "Agreement to Comply with Export Control Terms" (export control informed consent) must be signed by the principal investigator.
93	This award includes FAR Clause 52.222-54 Employment Eligibility Verification (E-Verify).
94	This award is subject to Responsible Conduct of Research (aka RCR) requirements.
95	This project has been classified as a "Major Project" in accordance with A21.
96	This award includes FAR Clause 52.222-50 Combating Trafficking in Persons. PI/Dept. are responsible for notifying all project personnel. For text of the clause and guidance see https://www.acquisition.gov/content/52222-50-combating-trafficking-persons and http://researchmemos.ucop.edu/index.php/site/memoDetail/memo_id/RPAC-13-07 .
97	This award contains special procurement terms. See award terms and/or synopsis remarks.

NSF Prior Approval Matrix
October 1, 2024

	2 CFR 200 Reference	NSF Reference (where applicable)	Required or Waived
Prior Written Approval (prior approval)*	200.407		
Cost sharing	200.407(a)	PAPPG** Chapter VII.C	
Use of unrecovered indirect costs, including indirect costs on cost sharing	200.306(c)		Waived
Use of current fair market value to determine the value of recipient or subrecipient contributions of services and donations of property for the purposes of cost sharing	200.306(d)(2)		Waived
Costs of the fair market value of equipment or other capital assets and fair rental charges for land when the award supports activities that require use of equipment, buildings or land	200.306(h)(2)		Required
Program Income	200.407(b)	PAPPG Chapter VIII.D.4	
Use of program income during the period of performance (additive method)	200.307(b)(2)		Waived
Revision of budget and program plans	200.407(c)		
Change in the scope or the objective of the project or program	200.308(f)(1)	PAPPG Chapter VII.B.1.a	Required
Change in Principal Investigator (PI) and any co-PIs identified by name or position on the project	200.308(f)(2)	PAPPG Chapter VII.B.2	Required
Disengagement from the project for more than three months, or a 25 percent reduction in time and effort devoted to the project over the course of the period of performance, by the approved PI	200.308(f)(3)	PAPPG Chapter VII.B.2.a	Required
Inclusion, unless waived, of costs that require prior approval in accordance with Subpart E as applicable	200.308(f)(4)	GC-1*** Term and Condition No. 11	Waived ¹
Transfer of funds budgeted for participant support costs to other budget categories	200.308(f)(5)	GC-1 Term and Condition No. 3	Required ²
Transfer of funds into the participant support cost category	200.456	PAPPG Chapter X.A.3	Waived ³
Subaward activities not included in the proposal and approved in the award; this requirement does not apply to procurement transactions for goods and services.	200.308(f)(6)	PAPPG Chapter VII.B.4	Required
Changes in the total approved cost-sharing amount	200.308(f)(7)	PAPPG Chapter VII.C	Required
Need arises for additional Federal funds to complete the project	200.308(f)(8)	PAPPG Chapter VI.E.5	Required
Transferring funds between the construction and non-construction work under a Federal award	200.308(f)(9)	GC-1 Term and Condition No. 2	Required
Initiate a one-time extension of the period of performance by up to 12 months	200.308(g)(2)	PAPPG Chapter VI.D.3.c	Waived
A no-cost extension or extension of more than 12 months	200.308(f)(10)	PAPPG Chapter VI.D.3.c	Required
Incur project costs 90 calendar days before the start date of the award	200.308(g)(1)	PAPPG Chapter X.A.2.b	Waived
Incur project costs more than 90 calendar days before the start date of the award	200.308(g)(1)	PAPPG Chapter X.A.2.b	Required
Carry-forward unobligated balances to subsequent budget periods	200.308(g)(3)	PAPPG Chapter VI.D.1.d	Waived

NSF Prior Approval Matrix
October 1, 2024

		2 CFR 200 Reference	NSF Reference (where applicable)	Required or Waived
	Transfer amounts budgeted for indirect costs to absorb increases in direct costs, or vice versa	200.308(h)	PAPPG Chapter X.A.3	Waived
	Restrict the transfer of funds among direct cost categories or programs, functions and activities for awards in which the Federal share of the project exceeds the simplified acquisition threshold and the cumulative amount of such transfers exceeds or is expected to exceed 10 percent of the total budget, including cost share, as last approved by NSF	200.308(i)	PAPPG Chapter X.A.3	Waived
Fixed amount subawards		200.407(d)		
	Subawards based on fixed amounts up to \$500,000; fixed amount subawards must meet the requirements of 200.201.	200.333	GC-1 Term and Condition No. 2	Required
Compensation -- personal services, paragraph (h)		200.407(e)	PAPPG Chapter X.B.2	
	Directly charge payments of incidental activities for which supplemental compensation is allowable under written institutional policy (at a rate not to exceed institutional base salary)	200.430(i)(1)(ii)		Waived ⁴
	Faculty salary in excess of institutional base salary	200.430(i)(2)		Required ⁴
	Intra-IHE faculty consulting on an award that exceeds a faculty member's base salary	200.430(i)(3)		Waived ⁴
Compensation -- fringe benefits		200.407(f)	PAPPG Chapter X.B.1.b	
	Severance payments to foreign nationals employed by the recipient or subrecipient outside the US that exceed the amounts customary in the US	200.431(i)(4)		Required
	Severance payments to foreign nationals employed by the recipient or subrecipient outside the US due to termination of the foreign national as a result of the closing of, or curtailment of activities by, the recipient and subrecipient in that country	200.431(i)(5)		Required
Equipment and other capital expenditures		200.407(g)	PAPPG Chapter IX.E	
	Encumber equipment acquired with Federal funds	200.313(c)(1)		Required
	Transfer of title to NSF or to a third party designated/approved by NSF	200.311(d)(3)		Required
	Direct charge capital expenditures for general purpose equipment	200.439(b)(1)		Waived
	Direct charge capital expenditures for buildings and land use	200.439(b)(1)		Required
	Direct charge capital expenditures for special purpose equipment over \$10,000	200.439(b)(2)		Waived
	Capital expenditures for improvements to land, buildings, or equipment that materially increase their value or useful life	200.439(b)(3)		Required
Exchange rates		200.407(h)		
	Exchange rate fluctuations that result in the need for additional Federal funding, or a reduction in the scope of the project	200.440(a)	PAPPG Chapters VI.E.5 and VII.B.1.a	Required
Fines, penalties, damages and other settlements		200.407(i)		
	Costs resulting from recipient or subrecipient violations of, alleged violations of, or failure to comply with, Federal, State, local, Tribal, or foreign laws and regulations	200.441		Required
Fund raising and investment management costs		200.407(j)		
	Fund raising costs for the purposes of meeting the Federal program objectives	200.442(a)		Required

NSF Prior Approval Matrix
October 1, 2024

	2 CFR 200 Reference	NSF Reference (where applicable)	Required or Waived
Goods or services for personal use	200.407(k)		
Housing costs (e.g. depreciation, maintenance, utilities, furnishings, rent), housing allowances, and personal living expenses	200.445(b)		Required
Insurance and indemnification	200.407(l)		
Costs of insurance or of contributions to any reserve covering the risk of loss of, or damage to, Federal Government property	200.447(b)(2)		Required
Organization costs	200.407(m)		
Costs such as incorporation fees, brokers' fees, fees to promoters, organizers or management consultants, attorneys, accountants, or investment counselors, whether or not employees of the recipient or subrecipient in connection with the establishment or reorganization of an organization	200.455		Required
Rearrangement and reconversion costs	200.407(o)	GC-1 Term and Condition No. 4	
Direct charge special arrangement and alteration costs incurred specifically for an award	200.462(a)		Required ⁵
Travel costs	200.407(p)	PAPPG Chapter X.C.3	
Inclusion of travel costs for officials covered by 200.444	200.475(a)		Required
Travel costs for dependents for travel of duration of six months or more	200.475(c)(2)		Required
* Any of the authorities may be over-ridden by a special term or condition of award.			
** NSF Proposal & Award Policies & Procedures Guide			
*** NSF Grant General Conditions			
¹ Except where specified otherwise in this matrix, the terms and conditions of award, or the applicable program solicitation or award notice.			
² This action requires the prior written approval of the cognizant NSF Program Officer.			
³ Unless funds are being moved into the "Other" category of participant support.			
⁴ Not applicable for State and local governments and for-profit organizations.			



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SANTA BARBARA • SANTA CRUZ

[DEPARTMENTAL LETTERHEAD]

SANTA BARBARA, CALIFORNIA 93106-2050

[Date]

[Addressee]

Reference: [Award number, PI, Title]

Subject: FAR Clause 52.232-22 Limitation of Funds [or appropriate citation for a grant, cooperative agreement, subcontract etc.]

Dear _____:


In accordance with the provisions of Federal Acquisition Regulation (FAR) Clause 52.232-22, the Regents of the University of California, Santa Barbara (hereinafter "UCSB") provides notice that the costs we expect to incur under the above-referenced [contract, subcontract, cooperative agreement, grant] in the next sixty (60) days, when added to all costs previously incurred will exceed 75 percent (75%) of the total amount so far allotted to this referenced [contract, subcontract, cooperative agreement, grant] .

As of [date of last financial statement, ledger etc.], UCSB has expended \$ _____, or _____% of the available subcontract funding for [period of availability of funding].

If you should have any questions, please feel free to contact me at (805) 893-[XXXX] or via e-mail at [PI e-mail address].

Sincerely,

[PI name]

APPROVED FOR THE UNIVERSITY	
 Signature	5/16/07 Date
Kevin S. Stewart Sponsored Projects Officer	
Office of Research University of California Santa Barbara, CA 93106	Tel: (805) 893-4034 Fax: (805) 893-2611 stewart@research.ucsb.edu

Acronym Definition List

ARO – Army Research Office

CFR – Code of Federal Regulations

DEAR – Department of Energy Acquisition Regulation

DFARS – Defense Acquisition Regulation Supplement

FAR – Federal Acquisition Regulation

FDP – Federal Demonstration partnership

IP – Intellectual Property

NCE – No cost extension

OMB – Office of Management and Budget

OR – Office of Research

OTA – Other Transaction Agreement

PI – Principal Investigator

RAS – Request for approval to spend

RFP – Request for Proposal

RFQ – Request for Quotation

RTC – Research Terms & Conditions

SPA – Sponsored Projects Analyst

SPO – Sponsored Projects Office, Sponsored Projects Officer

T&C – Terms & conditions

TIA – Technology & Industry Alliances Office

UCOP – University of CA Office of the President

For a full list of commonly used acronyms please go to this link:

<http://www.research.ucsb.edu/toolbox/definitions-and-acronyms/>