

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/3/2023

Parameters:		Report Totals:	Count:	Amount:
Fiscal Year:	2023	FY 2023:	1,025	257,590,763
Dates: (Award Date)	7/1/2022 To: 6/30/2023	FY 2022:	943	240,923,559
		Percent Change:	9	7

	FY 2022: Count	Amount	FY 2023: Count	Amount	% Count	% Amount
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FEDERAL

Dept of Agriculture

USDA Forest Service-0300	1	46,500	2	132,000	100	184
USDA National Institute for Food and Agriculture-0450	1	649,958	1	999,979	0	54

Total: Dept of Agriculture	2	696,458	3	1,131,979	50	63
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Dept of Commerce

COM National Institute Of Standards And Technology (NIST)-0800	2	100,000	1	50,000	-50	-50
COM National Oceanic and Atmospheric Administration (NOAA)-0770	2	56,117	4	1,763,904	100	3,043

Total: Dept of Commerce	4	156,117	5	1,813,904	25	1062
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Dept of Defense

Dept of Air Force

DAF AIR FORCE CENTER FOR ENGINEERING AND THE ENVIRONMENT-V8EK	2	300,627	1	155,808	-50	-48
DAF Air Force Misc Bases & Agencies-2499	6	2,374,356	3	1,032,244	-50	-57
DAF Air Force Research Laboratory-2544	1	134,000	2	200,000	100	49
DAF Office Of Scientific Research-2416	10	7,240,580	13	4,219,194	30	-42

Total: Dept of Air Force	19	10,049,563	19	5,607,246	0	-44
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Dept of Army

DA Army Engineer Research And Development Labs.-2725	1	149,959	1	149,820	0	0
DA Army Materiel Command-Hq-2650	2	1,046,434	5	2,769,556	150	165
DA Army Misc Bases And Agencies-2799	15	5,601,842	9	1,743,999	-40	-69
DA Army Research Laboratory-FE39	2	200,000	6	700,750	200	250

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Dept of Defense

Dept of Army

DA Army Research, Durham, N.C. Office (AROD)-2775	10	3,737,003	28	21,948,995	180	487
DA Army Research, Wash D.C. Office-2610	2	875,000	2	500,000	0	-43
DA U.S. ARMY CONTRACTING COMMAND-VI6U	1	5,000	0	0	-100	-100
DA U.S. ARMY ENGINEER RESEARCH AND DEVELOPMENT CENTER-VCJC	1	377,244	3	2,791,216	200	640
Total: Dept of Army	34	11,992,482	54	30,604,336	59	155

Dept of Navy

DN Naval Air Systems Command (incl Naval Air Warfare)-2856	1	53,011	1	67,618	0	28
DN Naval Oceanographic Office-2920	0	0	1	95,056	100	100
DN Naval Surface Warfare Center-2857	2	298,918	1	150,000	-50	-50
DN Navy Misc Bases & Agencies-2999	1	23,845	0	0	-100	-100
DN Office Of Naval Research (ONR)-2888	40	4,696,214	45	7,848,629	13	67
Total: Dept of Navy	44	5,071,988	48	8,161,303	9	61

Separate Agencies

DOD Advanced Research Projects Agency (DARPA)-2025	3	2,577,035	4	1,531,237	33	-41
DOD Defense Research And Engineering-2225	1	697,628	1	965,241	0	38
DOD Defense Threat Reduction Agency-2226	1	140,000	0	0	-100	-100
Total: Separate Agencies	5	3,414,663	5	2,496,478	0	-27

Total: Dept of Defense

	102	30,528,696	126	46,869,363	24	54
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Dept of Education

ED Elementary & Secondary Education, Assistant Secretary For-3006	0	0	1	832,677	100	100
ED Institute of Education Sciences (IES)-F70F	0	0	1	276,431	100	100

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Dept of Education

ED Postsecondary Education (Incl Workstudy, Pell, Seog & Perkins, Etc)-3080	3	1,036,522	3	940,275	0	-9
ED U.S. Department of Education Miscellaneous Agencies-3099	3	5,190,917	2	760,939	-33	-85

Total: Dept of Education	6	6,227,439	7	2,810,322	17	-55
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Dept of Energy

DOE Advanced Research Projects Agency-Energy (ARPA-E)-F47D	0	0	1	2,897,686	100	100
DOE Chicago Field Office-5063	1	500,001	2	514,194	100	3
DOE Department of Energy Office of Science/Advanced Scientific Computing Research-VKHT	6	2,113,198	3	1,523,271	-50	-28
DOE DEPARTMENT OF ENERGY OFFICE OF SCIENCE/OFFICE OF BASIC ENERGY SCIENCES-VBFL	3	1,061,318	2	877,000	-33	-17
DOE Miscellaneous Offices and Programs-5099	19	5,085,929	15	4,270,182	-21	-16
DOE Washington, D.C.-5090	4	3,507,069	6	1,614,948	50	-54
Energy Efficiency and Renewable Energy-VC1A	1	791,190	1	759,318	0	-4

Total: Dept of Energy	34	13,058,705	30	12,456,599	-12	-5
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Health & Human svcs

PHS/Natl Inst Health

NIH Alcohol Abuse And Alcoholism, National Institute Of-3171	0	0	1	235,863	100	100
NIH Allergy & Infectious Diseases, National Institute Of-3445	5	1,657,141	5	2,005,258	0	21
NIH Biomedical Imaging And Bioengineering, Natl Institute Of (NIBIB)-4319	2	970,363	4	2,043,111	100	111

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FEDERAL

Health & Human svcs

PHS/Natl Inst Health

NIH Child Health & Human Development, National Institute Of-3465	5	1,007,506	7	1,476,169	40	47
NIH Deafness & Other Communication Disorders, Natl Institute On-3470	0	0	5	1,401,206	100	100
NIH Diabetes, Digestive & Kidney Diseases, National Institute Of-3457	2	1,139,294	2	1,148,166	0	1
NIH Drug Abuse, National Institute Of-3172	4	989,906	2	795,133	-50	-20
NIH General Medical Sciences, National Institute Of-3485	19	6,476,562	33	8,346,051	74	29
NIH Heart, Lung & Blood, National Institute Of-3435	2	38,982	3	109,726	50	181
NIH Medicine, Natl Library Of-3499	0	0	1	1,250,136	100	100
NIH Natl Eye Institute-3490	5	953,636	5	789,408	0	-17
NIH Natl Human Genome Research Institute-3102	3	974,296	2	934,872	-33	-4
NIH Natl Institute On Aging-3440	4	2,110,623	5	1,990,072	25	-6
NIH Neurological Disorders & Stroke, Natl Institute Of-3498	3	1,420,482	8	3,047,950	167	115
NIH Office Of The Director-F977	2	183,927	1	222,219	-50	21

Total: PHS/Natl Inst Health **56** **17,922,718** **84** **25,795,340** **50** **44**

Total: Health & Human svcs **56** **17,922,718** **84** **25,795,340** **50** **44**

Dept of Interior

USDI Bureau of Land Management-4130	2	117,419	0	0	-100	-100
USDI Bureau of Ocean Energy Management (BOEM)-4120	1	475,000	1	75,000	0	-84
USDI Fish And Wildlife Service-4132	3	162,758	3	218,345	0	34
USDI Geological Survey (Incl Natl Biological Service)-4190	5	581,654	3	658,757	-40	13
USDI National Park Service-4180	1	36,095	3	348,862	200	867

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Total: Dept of Interior	12	1,372,926	10	1,300,964	-17	-5
Dept of State						
U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT-4415	1	2,081,339	1	2,150,483	0	3
Total: Dept of State	1	2,081,339	1	2,150,483	0	3
Natl Arnatc & Spc Ad						
NASA Miscellaneous Centers-5101	40	5,096,968	30	3,757,603	-25	-26
NASA Shared Services Center (NSSC)-5105	2	591,124	2	593,201	0	0
NASA Washington, D.C. HQ-5136	1	20,000	2	1,097,646	100	5,388
Total: Natl Arnatc & Spc Ad	43	5,708,092	34	5,448,450	-21	-5
Natl Fnd Arts & Hum						
NFAH Arts, National Endowment For The-5260	0	0	1	20,000	100	100
NFAH National Endowment for the Humanities-NEH-5280	2	129,500	2	210,000	0	62
Total: Natl Fnd Arts & Hum	2	129,500	3	230,000	50	78
Smithsonian						
Smithsonian Fellowships & Grants, Office Of-5305	0	0	1	27,500	100	100
Total: Smithsonian	0	0	1	27,500	100	100

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FEDERAL

OTHER AGENCIES

FED Small Business Administration-5285	2	1,984,665	0	0	-100	-100
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Total: OTHER AGENCIES	2	1,984,665	0	0	-100	-100
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Natl Science Fnd

GEOSCIENCES

National Science Foundation (NSF)-5400	28	7,128,861	29	8,913,195	4	25
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Total: GEOSCIENCES	28	7,128,861	29	8,913,195	4	25
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BIOLOGICAL SCIENCES

National Science Foundation (NSF)-5400	24	9,828,581	12	3,835,281	-50	-61
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Total: BIOLOGICAL SCIENCES	24	9,828,581	12	3,835,281	-50	-61
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ENGINEERING

National Science Foundation (NSF)-5400	4	1,288,493	7	2,065,014	75	60
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Total: ENGINEERING	4	1,288,493	7	2,065,014	75	60
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MATH & PHYSICAL SCI

National Science Foundation (NSF)-5400	37	19,277,836	38	23,492,512	3	22
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Total: MATH & PHYSICAL SCI	37	19,277,836	38	23,492,512	3	22
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EDUC & HUMAN RESOURCES

National Science Foundation (NSF)-5400	8	7,693,512	11	14,594,462	38	90
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Total: EDUC & HUMAN RESOURCES	8	7,693,512	11	14,594,462	38	90
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FEDERAL

Natl Science Fnd

SOCIAL, BEHAV & ECON SCI

National Science Foundation (NSF)-5400	9	1,008,786	12	2,129,326	33	111
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Total: SOCIAL, BEHAV & ECON SCI	9	1,008,786	12	2,129,326	33	111
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COMP & INFO SCI & ENG

National Science Foundation (NSF)-5400	22	4,892,195	33	11,975,165	50	145
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Total: COMP & INFO SCI & ENG	22	4,892,195	33	11,975,165	50	145
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OFC OF DIRECTOR

National Science Foundation (NSF)-5400	0	0	1	2,670,085	100	100
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Total: OFC OF DIRECTOR	0	0	1	2,670,085	100	100
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OFC OF INTEGRATIVE ACTIV

National Science Foundation (NSF)-5400	2	2,976,675	1	599,200	-50	-80
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Total: OFC OF INTEGRATIVE ACTIV	2	2,976,675	1	599,200	-50	-80
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OFC OF INTL SCI & ENG

National Science Foundation (NSF)-5400	1	350,000	1	350,000	0	0
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Total: OFC OF INTL SCI & ENG	1	350,000	1	350,000	0	0
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OFC OF POLAR PROGRAMS

National Science Foundation (NSF)-5400	1	58,915	1	363,105	0	516
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Total: OFC OF POLAR PROGRAMS	1	58,915	1	363,105	0	516
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OFC OF CYBER INFRASTRUCTURE

National Science Foundation (NSF)-5400	1	1,180,593	1	18,075	0	-98
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Total: OFC OF CYBER INFRASTRUCTURE	1	1,180,593	1	18,075	0	-98
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FEDERAL						
Natl Science Fnd						
TECHNOLOGY, INNOVATION AND PARTNERSHIPS						
National Science Foundation (NSF)-5400	0	0	2	798,674	100	100
Total: TECHNOLOGY, INNOVATION AND PARTNERSHIPS	0	0	2	798,674	100	100
Total: Natl Science Fnd	137	55,684,447	149	71,804,094	9	29
Total: FEDERAL	401	135,551,102	453	171,838,998	13	27

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INDUSTRY	FY 2022: Count	Amount	FY 2023: Count	Amount	% Count	% Amount
Acelot, Inc.-VEEG	2	116,282	1	33,718	-50	-71
Aeluma, Inc.-VPM1	1	24,998	0	0	-100	-100
Agnitron Technology, Inc.-VF3T	3	201,551	1	48,552	-67	-76
ALTOS LABS, INC.-VPW6	0	0	1	125,000	100	100
AMAZON.COM, INC.-VEPY	2	500,000	1	250,000	-50	-50
Amethyst Research, Inc.-VLRX	1	230,000	0	0	-100	-100
Applied Materials, Inc.-7845	1	15,000	1	19,126	0	28
Argonne National Laboratory-F6CB	2	328,490	3	680,000	50	107
Bae Systems-4288	2	224,746	0	0	-100	-100
Bridge12 Technologies, Inc.-VA9K	1	298,421	0	0	-100	-100
Chevron Corporation-8281	1	200,002	0	0	-100	-100
Cloudinary-VQCD	0	0	1	82,204	100	100
Collins Aerospace-3479	0	0	1	600,000	100	100
CZero-VJZQ	2	236,902	2	164,754	0	-30
DCS Corporation-F4E4	1	100,000	1	10,000	0	-90
DOW Chemical Company-8386	1	0	0	0	-100	-100
DOW Inc.-VLJ0	4	1,156,605	3	866,392	-25	-25
Electro Scientific Industries, Inc.-VKFT	1	292,885	1	290,249	0	-1
Establishment Labs-VMUO	2	55,017	0	0	-100	-100
Exxon Mobil Upstream Research Company-VA33	0	0	1	113,427	100	100
Freedom Photonics Llc-FD2A	3	300,000	0	0	-100	-100
GE GLOBAL RESEARCH-VBHJ	1	270,000	2	260,000	100	-4
Geosyntec Consultants-6143	1	16,875	0	0	-100	-100

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Google Inc.-FE36	1	250,000	2	397,249	100	59
Hamilton Sundstrand Corporation-VM16	2	322,500	0	0	-100	-100
HEWLETT PACKARD ENTERPRISE COMPANY-VG4A	0	0	1	200,000	100	100
IHI Corporation-VB3D	2	900,000	2	650,000	0	-28
Inditex-VQKM	0	0	1	47,214	100	100
Intel Corporation-8635	2	248,085	5	521,761	150	110
INTEL FEDERAL LLC-VAMK	0	0	1	250,000	100	100
Jacobs Technology-V98W	1	250,000	0	0	-100	-100
JEFFERSON SCIENCE ASSOCIATES, LLC-F548	1	149,999	2	53,038	100	-65
John Wood Group PLC-VJ68	1	102,739	0	0	-100	-100
Lam Research Corporation-7854	1	100,000	0	0	-100	-100
Lawrence Livermore National Security, Llc-FC57	4	257,000	7	411,317	75	60
Leidos, Inc. (formerly Science Applications Intl Corporation, SAIC)-9094	1	200,000	1	200,000	0	0
Maybell Quantum Industries-VP1U	1	57,750	0	0	-100	-100
Meta Platforms, Inc.-V8YN	1	300,000	2	535,630	100	79
MICROLINK DEVICES, INC.-F70C	0	0	1	45,000	100	100
Mission Support and Test Services-VJ9A	2	95,000	4	75,000	100	-21
Mitsubishi Group (Japan)-7752	13	1,500,000	7	1,000,000	-46	-33
Mitsui & Co. (U.S.A.), Inc.-0207	1	13,995	0	0	-100	-100
MONDE Wireless-VNDL	3	61,335	1	15,257	-67	-75
Nexus Photonics-VIEK	2	792,000	3	139,200	50	-82
Nimbis Services-VABO	1	24,000	0	0	-100	-100

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NOVORON BIOSCIENCE, INC.-VAVC	1	149,384	1	149,403	0	0
Oak Ridge Natl Lab-5080	3	815,000	3	907,475	0	11
Ocean Rainforest, Inc-VP1J	1	53,000	0	0	-100	-100
OCEANIT LABORATORIES, INC.-6518	2	80,000	2	499,558	0	524
OXFORD DEFENSE NORTH CAROLINA LLC-VN2T	1	112,449	1	150,253	0	34
Oxford Policy Management-VE6W	0	0	1	29,720	100	100
Palo Alto Research Center-VBPA	2	45,642	3	225,358	50	394
PERSPECTA LABS INC.-VLP6	1	115,915	0	0	-100	-100
Pi Radio-VNBP	1	90,000	0	0	-100	-100
Pratt & Whitney-F688	2	540,000	2	540,000	0	0
Prossimo Tech-VQPG	0	0	1	10,000	100	100
Quantinuum (Formerly Honeywell Helios LLC)-VPEX	0	0	1	343,750	100	100
Raytheon Applied Signal Technology, Inc. (Sunnyvale, Ca)-7440	0	0	1	16,000	100	100
Rendever-VI3E	1	404,352	1	359,720	0	-11
SAMSUNG ADVANCED INSTITUTE OF TECHNOLOGY-F5C2	1	149,675	0	0	-100	-100
SAMSUNG ELECTRONICS COMPANY, LTD.-VBQ8	0	0	1	132,500	100	100
Samsung Group-0053	1	110,000	0	0	-100	-100
SAMSUNG RESEARCH AMERICA, INC.-VEXO	0	0	1	85,000	100	100
Secor Strategies, LLC-VSHD	0	0	1	1,500	100	100
SelectTech Services Corporation-VPDN	1	30,000	0	0	-100	-100
Sofar Ocean Technologies-VP1Z	0	0	1	63,976	100	100
Sony Corporation of America-VJAE	1	150,000	0	0	-100	-100
Southern Calif Edison Company-9144	0	0	1	130,009	100	100

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Dates: (Award Date)	7/1/2022 To: 6/30/2023	FY 2022:	943	240,923,559
		Percent Change:	9	7

INDUSTRY	FY 2022: Count	Amount	FY 2023: Count	Amount	% Count	% Amount
Still Water Ecosystem, Watershed & Riverine Sciences-0719	1	24,900	0	0	-100	-100
SUSTAINABLE MANUFACTURING INNOVATION ALLIANCE CORP.-VHVG	0	0	1	301,720	100	100
SYSTEMS & TECHNOLOGY RESEARCH LLC-VI00	0	0	3	139,963	100	100
Systems and Processes Engineering Corporation-VQYL	0	0	1	45,000	100	100
TAKEDA DEVELOPMENT CENTER AMERICAS, INC-VBBF	1	207,332	0	0	-100	-100
TELEDYNE SCIENTIFIC & IMAGING LLC-VD1S	2	204,164	4	486,874	100	138
Tokyo Electron Limited (Tel)(Incl Tokyo Electron America, Inc) (Japan)-5513	1	160,000	0	0	-100	-100
TOYOTA MOTOR NORTH AMERICA, INC.-VI7A	1	150,000	1	150,000	0	0
Transphorm, Inc.-FC9A	1	214,563	0	0	-100	-100
TransWave Photonics-VQU0	0	0	1	52,000	100	100
Triad National Security LLC-VJD2	2	210,000	2	220,000	0	5
Ultra Low Loss Technologies-VK9N	0	0	3	333,000	100	100
Umicore SA-VEFB	1	300,000	0	0	-100	-100
Western Digital Corporation-9381	1	75,000	0	0	-100	-100
Total: INDUSTRY	102	14,583,553	98	13,456,867	-4	-8

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	FY 2022: Count	Amount	FY 2023: Count	Amount	% Count	% Amount
STATE AND LOCAL						

Cal Arts Council-6672	0	0	3	117,934	100	100
CAL Bureau of Cannabis Control-VMMP	1	1,999,191	0	0	-100	-100
Cal Commission On Teacher Credentialing-6662	0	0	1	21,000	100	100
Cal Cor Corrections, Department Of-6710	0	0	1	45,180	100	100
CAL Delta Stewardship Council-0539	0	0	1	262,273	100	100
Cal Department of Boating and Waterways-6525	1	32,815	1	24,685	0	-25
Cal Department Of Education-6376	4	1,003,413	1	121,410	-75	-88
Cal Department Of Fish and Wildlife-6530	1	166,760	1	1,773,345	0	963
Cal Department Of Forestry-6522	3	441,451	0	0	-100	-100
Cal Department of Parks and Recreation-6540	0	0	1	126,230	100	100
Cal Dfa Food And Agriculture, Dept. Of-6050	0	0	1	50,000	100	100
Cal EPA Air Resources Board-6533	0	0	2	299,888	100	100
Cal H&W Social Services, Department Of-6506	10	1,321,858	3	80,444	-70	-94
Cal Institute For Regenerative Medicine (CIRM)-8530	1	1,924,497	2	2,796,000	100	45
Cal Ocean Protection Council-AEBE	1	66,965	4	1,492,451	300	2,129
Cal Office Of Traffic Safety-6309	1	179,865	1	185,635	0	3
Cal Ra State Match For Sea Grant Program-6523	0	0	1	62,991	100	100
Cal Ra Water Resources, Department Of(Incl Interagency Ecological Prg)-6550	1	197,645	0	0	-100	-100
Cal State University Chico-FC8C	2	630,148	0	0	-100	-100
Cal Wildlife Conservation Board-F972	2	7,467,450	0	0	-100	-100

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			Percent Change:	9	7			
			FY 2022: Count	Amount	FY 2023: Count	Amount	% Count	% Amount
Total: STATE AND LOCAL			28	15,432,058	24	7,459,466	-14	-52

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	FY 2022: Count	Amount	FY 2023: Count	Amount	% Count	% Amount
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UC

UC Agriculture And Natural Resources-6774	3	234,634	2	25,355	-33	-89
UC Berkeley-UCB-6761	18	2,380,169	16	1,697,437	-11	-29
UC California Science Project-6740	1	21,000	0	0	-100	-100
UC California Subject Matter Project-6727	6	829,019	0	0	-100	-100
UC Cancer Research Coordinating Committee-6728	2	157,416	1	85,000	-50	-46
UC Davis-6763	3	429,015	3	101,831	0	-76
UC Humanities Research Institute-3955	1	1,600	1	5,000	0	213
UC Irvine-6772	3	125,577	5	229,153	67	82
UC Lab Fees Research Program-F8F4	0	0	1	167,400	100	100
UC Lawrence Berkeley Laboratory (LBNL)-6760	12	1,275,556	11	1,089,000	-8	-15
UC Los Angeles-6764	12	1,414,742	7	691,726	-42	-51
UC Merced-6777	2	27,823	0	0	-100	-100
UC Mexus-6732	1	35,678	0	0	-100	-100
UC Multicampus Research Programs and Initiatives-F4A9	0	0	1	299,912	100	100
UC Office Of The President-6773	6	355,717	11	1,608,154	83	352
UC Riverside-6765	3	130,000	9	703,294	200	441
UC San Diego-6778	14	3,067,108	20	4,530,395	43	48
UC San Francisco (UCSF)-6762	3	260,898	6	391,250	100	50
UC Santa Cruz-6769	8	800,137	1	51,123	-88	-94
UC Sea Grant College Program-6735	3	45,877	5	208,310	67	354
UC Tobacco-Related Disease Research Program-Trdrp-6739	2	38,635	1	54,423	-50	41

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Total: UC			103	11,630,601	101	11,938,763	-2	3

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NOT PROFIT

84000-VOV1	1	50,000	0	0	-100	-100
Alfred P. Sloan Foundation-8020	1	163,566	2	150,000	100	-8
Alliance for Sustainable Energy, LLC-F7FD	0	0	1	70,462	100	100
Alzheimer's Assn (Incl Alzheimer's Disease & Rel Disorders Assn, Inc.)-8033	2	360,000	1	180,000	-50	-50
ALZHEIMER'S DRUG DISCOVERY FOUNDATION-FED7	1	300,000	0	0	-100	-100
AMATEUR RADIO DIGITAL COMMUNICATIONS-VNBO	0	0	1	109,648	100	100
American Cancer Soc, Inc.-8032	0	0	1	175,500	100	100
American Chemical Society-8036	3	270,000	3	215,000	0	-20
American Cncl Of Learned Societies-8042	1	65,000	3	125,487	200	93
American Philosophical Society-8082	0	0	1	5,000	100	100
American Psychological Association (Incl Amer Psychological Foundation)-7687	1	1,000	0	0	-100	-100
American Red Cross-8084	0	0	1	50,000	100	100
American Soc For Engineering Education-7636	1	259,200	0	0	-100	-100
American Sociological Association-9969	1	8,909	1	16,000	0	80
Ames Laboratory-V8DH	2	325,000	2	305,000	0	-6
Arnold And Mabel Beckman Foundation-1015	2	456,000	2	1,200,000	0	163
Berkeley Existential Risk Initiative-VOQ5	1	39,758	0	0	-100	-100
Berlin-Brandenburg Academy of Sciences and Humanities (BBAW)-VQH1	0	0	1	5,206	100	100
Bial Foundation (Portugal)-F859	1	15,113	0	0	-100	-100
Bill & Melinda Gates Foundation-3243	1	160,000	2	622,500	100	289
Bioversity International-6989	0	0	1	18,683	100	100

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NOT PROFIT						

Brain and Behavior Research Foundation-7298	3	52,485	2	35,000	-33	-33
Breakthrough Starshot Foundation LLC-VJWX	0	0	1	162,000	100	100
BREAST CANCER ALLIANCE INC-VJ10	1	125,000	0	0	-100	-100
Calif Fire Safe Council, Inc.-FDA6	1	50,000	0	0	-100	-100
California Association For Research In Astronomy-9671	0	0	1	10,625	100	100
California Humanities-8203	1	15,200	2	10,000	100	-34
CALIFORNIA MARINE SANCTUARY FOUNDATION-VMY2	1	6,712,873	0	0	-100	-100
California Sea Urchin Commission-FF3D	1	4,000	2	10,088	100	152
Camille & Henry Dreyfus Foundation-8236	1	100,000	0	0	-100	-100
Carnegie Corporation-8248	0	0	1	275,000	100	100
Cary Institute of Ecosystem Studies-5494	0	0	1	19,295	100	100
Catholic Relief Services-9650	0	0	1	25,000	100	100
Cedars-Sinai Medical Center-8258	1	144,956	1	145,000	0	0
CODE FOR SCIENCE AND SOCIETY INC-VHM1	1	7,500	0	0	-100	-100
Collaborative Research in Engineering, Science & Technology (CREST)-VDLP	1	300,000	0	0	-100	-100
College Advising Corps-VCRJ	1	157,250	1	150,960	0	-4
Community Action Commission of Santa Barbara County-VBLK	0	0	1	177,487	100	100
COMPUTING RESEARCH ASSOCIATION-F833	0	0	1	59,957	100	100
Conservation International Foundation-7907	0	0	2	56,258	100	100
Council On Alcoholism And Drug Abuse, The (Santa Barbara)-0705	1	359,646	0	0	-100	-100
Council On Foreign Relations-8341	1	67,774	0	0	-100	-100
Council On Library Resources-8346	2	71,985	1	27,000	-50	-62

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NOT PROFIT						

Cystic Fibrosis Foundation (Incl Cystic Fibrosis Fdn Therapeutics,inc)-8355	0	0	2	226,177	100	100
David And Lucile Packard Foundation (The)-9072	0	0	1	875,000	100	100
Dr. Miriam And Sheldon G. Adelson Medical Research Foundation-FE7C	1	350,000	0	0	-100	-100
DR. SEUSS FOUNDATION-VP2E	0	0	1	75,000	100	100
EMERALD FOUNDATION INC.-FEA3	1	37,500	0	0	-100	-100
Environmental Defense Fund-8454	2	650,351	2	575,547	0	-12
Esther A. And Joseph Klingenstein Fund, Inc. (The)-8443	1	75,000	0	0	-100	-100
Fahs-Beck Fund For Research And Experimentation-2403	1	3,167	0	0	-100	-100
Family Independence Initiative (FII - NATIONAL)-VGC2	1	375,695	0	0	-100	-100
Fermi Research Alliance, LLC (Fra) (Incl Fermilab-Doe Goco)-FEB7	6	1,218,016	1	9,850	-83	-99
Friends of the Dunes-VNI9	0	0	1	46,684	100	100
Fund For Santa Barbara, Inc.-8477	1	6,000	3	84,278	200	1,305
GEORGIA TECH RESEARCH CORPORATION-VC9L	0	0	1	675,000	100	100
Global Canopy-VJAB	0	0	1	175,000	100	100
Global Fishing Watch-VIOD	1	57,000	0	0	-100	-100
Global Integrity-VJQX	1	14,170	0	0	-100	-100
Gordon And Betty Moore Foundation-3954	4	1,591,482	5	4,225,586	25	166
GRAMMY MUSEUM FOUNDATION, INC.-VIPP	0	0	1	10,000	100	100
Hedco Foundation-8543	0	0	1	91,583	100	100
Heising-Simons Foundation-F1C7	9	2,752,672	5	1,192,325	-44	-57
Helen Frankenthaler Foundation-VQHJ	0	0	1	26,500	100	100

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NOT PROFIT						

Human Frontier Science Program Organization (Intl)-VDTV	2	67,510	2	218,048	0	223
Huntington Medical Research Institutes-FDE5	1	115,136	1	102,427	0	-11
II-VI FOUNDATION-V8RY	1	95,000	1	95,000	0	0
Intel Foundation-2219	1	93,333	0	0	-100	-100
J. Paul Getty Trust, The (Incl. The Getty Foundation)-9570	1	43,000	0	0	-100	-100
Japan Foundation-8666	2	122,266	0	0	-100	-100
Juvenile Diabetes Research Foundation International-8700	0	0	1	527,615	100	100
King Abdulaziz City for Science and Technology-F790	1	901,528	0	0	-100	-100
Kinship Foundation-XXZO	1	100,000	2	200,000	100	100
Krell Institute-4310	1	31,150	0	0	-100	-100
Larry L. Hillblom Foundation, The-4160	1	300,000	0	0	-100	-100
Latin Grammy Cultural Foundation-VP9Z	1	5,000	0	0	-100	-100
LINCOLN LABORATORY-VMCK	1	39,000	0	0	-100	-100
Mammoth Lakes Recreation-VPWI	0	0	1	10,000	100	100
Marine Biological Laboratory (Woods Hole, Massachusetts)-0737	1	37,630	0	0	-100	-100
Mcknight Foundation (The)-8813	1	40,000	1	40,000	0	0
National Geographic Society-8902	1	270,520	4	675,032	300	150
National Philanthropic Trust-V9HL	0	0	1	996,640	100	100
Natl Committee For Citizens In Education-1091	2	17,500	0	0	-100	-100
Natl Fish And Wildlife Foundation-7834	1	48,927	3	742,678	200	1,418
Nature Conservancy-7262	16	906,456	10	539,968	-38	-40
OPENCOLLECTIVE FOUNDATION-VN56	0	0	1	56,100	100	100
OREGON DEPARTMENT OF FISH AND WILDLIFE-VCWA	0	0	1	17,650	100	100

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NOT PROFIT						

Pacific Northwest National Laboratories-8149	4	571,880	4	607,120	0	6
Pew Charitable Trusts (The)(Incl Pew Center On Global Climate Change)-9448	1	55,000	0	0	-100	-100
PSI CHI INTERNATIONAL HONOR SOCIETY OF PSYCHOLOGY-V8QA	1	1,500	0	0	-100	-100
Rainwater Charitable Foundation-VA56	3	260,000	1	200,000	-67	-23
Rand Corp-9016	0	0	2	65,066	100	100
Renaissance Society of America-VQJP	0	0	1	3,000	100	100
Research Institute for Development ("Institut de Recherche pour le Developpement")-VHDF	0	0	1	46,400	100	100
Research Institute Of Innovative Technology For The Earth(Rite) (Japan)-3903	1	37,214	0	0	-100	-100
Resources Legacy Fund Foundation-4951	0	0	1	36,022	100	100
Russell Sage Foundation-9060	0	0	1	29,989	100	100
Sanford Burnham Prebys Medical Discovery Institute-2168	1	321,227	4	970,320	300	202
Santa Barbara Cnty Air Pollution Control District-F892	1	23,250	0	0	-100	-100
Santa Barbara Cottage Hospital-7841	1	41,966	0	0	-100	-100
Santa Barbara County Education Office-V8Z8	0	0	2	1,178,779	100	100
Santa Barbara County Special Education Local Plan Area Office-VCXO	1	73,987	2	149,653	100	102
Santa Barbara Neighborhood Clinics-VMG1	1	140,000	1	90,948	0	-35
Santa Barbara Unified School District-3708	0	0	1	64,059	100	100
Santa Barbara, City Of-6992	3	104,904	0	0	-100	-100
Santa Barbara, County of-6994	2	141,000	2	103,991	0	-26
Santa Cruz, City Of-6988	0	0	1	105,500	100	100
Semiconductor Research Corporation-9102	3	7,578,966	6	1,451,609	100	-81

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NOT PROFIT						

SEQUOIA PARKS CONSERVANCY-VJ37	0	0	1	5,948	100	100
Silicon Valley Community Foundation-9007	0	0	1	57,500	100	100
Simons Foundation-FE2E	11	4,428,835	14	1,771,086	27	-60
Social Science Research Council-9126	1	7,000	0	0	-100	-100
Spencer Foundation-9154	0	0	1	15,000	100	100
Stockholm Environment Institute-FA87	1	150,000	0	0	-100	-100
Tahoe Regional Planning Agency (Trpa)-7389	2	72,500	1	3,500	-50	-95
TEMPLETON RELIGION TRUST-VIR6	1	233,814	0	0	-100	-100
The British Museum-VLLK	0	0	1	17,893	100	100
The Builders Initiative-VKVP	0	0	1	554,387	100	100
The Graduate Institute of International and Development Studies-VP7R	1	4,294	0	0	-100	-100
The Grantham Foundation for the Protection of the Environment-VK4M	0	0	1	600,000	100	100
The Helen Hay Whitney Foundation-8546	2	60,500	2	50,542	0	-16
The National Parks and Wildlife Service (of Ireland)-VP7T	1	16,591	1	15,948	0	-4
The Samy Maroun Center for Space, Time and the Quantum-VQGG	0	0	1	105,860	100	100
The Schmidt Family Foundation-F2BD	1	75,000	2	1,024,200	100	1,266
Tsadra Foundation-VNWD	1	50,000	0	0	-100	-100
U.S.-Israel Binat'I Agricultural Research And Dev Fund (Bard) (Intl)-6798	1	205,000	0	0	-100	-100
U.S.-Israel Binat'I Science Foundation (Bsf) (Intl)-7350	3	75,402	2	75,000	-33	-1
Unite America Institute-VQSK	0	0	1	12,899	100	100
VENTURA COUNTY RESOURCE CONSERVATION DISTRICT-F49C	1	66,061	1	137,892	0	109

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			Amount	% Count	% Amount
NOT PROFIT					

Volkswagen-Stiftung (Volkswagen Foundation) (Germany)-7784	0	0	2	84,169	100	100
Waitt Family Foundation-4187	2	972,928	3	1,549,243	50	59
Whitehall Foundation-9328	2	375,000	0	0	-100	-100
William And Flora Hewlett Foundation-9329	1	615,903	0	0	-100	-100
William M. Keck Foundation-9323	1	1,300,000	1	1,300,000	0	0
WORLD LEARNING FOR INTERNATIONAL DEVELOPMENT-FF2E	0	0	1	28,476	100	100
Zegar Family Foundation-VGBY	3	643,823	5	1,483,464	67	130

Total: NOT PROFIT	160	39,710,769	169	30,917,307	6	-22
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HIGHER ED						

Arizona State University-Tempe-8123	6	1,317,626	2	418,419	-67	-68
Assn Of Univ For Res Astronomy (Aka Space Teles Sci Inst)-9896	14	290,922	23	824,093	64	183
Bermuda Institute of Ocean Sciences-V8RT	1	289,694	1	321,013	0	11
Boston University-8166	1	31,085	1	57,483	0	85
Brandeis University-9522	2	362,321	2	355,559	0	-2
Brigham Young University-9754	1	182,417	0	0	-100	-100
Brookhaven Science Associates, Llc (Brookhaven National Laboratory)-3907	0	0	1	302,000	100	100
Brown University-9961	1	69,121	2	165,496	100	139
California Institute Of Technology-Cal Tech-8218	5	1,773,727	3	573,541	-40	-68
California Polytechnic State University, San Luis Obispo-VC2S	1	50,128	0	0	-100	-100
Carnegie Mellon University-8351	1	28,873	1	30,606	0	6
Chapman University-F229	0	0	1	298,204	100	100
Clemson University (Incl Clemson University Genomics Institute,cugi)-1143	0	0	2	146,121	100	100
Columbia University (Incl Columbia-Presbyterian Medical Center)-9564	2	164,000	5	1,596,004	150	873
Cornell University-8329	3	141,149	3	565,300	0	300
CSU Channel Islands-F3DB	1	42,601	0	0	-100	-100
CSU Northridge; The University Corporation-VAV1	1	220,000	1	220,000	0	0
Dalhousie University-FAB8	1	46,329	0	0	-100	-100
Dartmouth College-9659	1	108,421	0	0	-100	-100
De Montfort University-VNSX	0	0	1	40,793	100	100
Duke University-8394	2	179,523	5	181,956	150	1

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HIGHER ED						

École Pratique des Hautes Études-VL6T	0	0	1	236,286	100	100
Georgia Institute Of Technology-8513	4	298,000	2	195,004	-50	-35
Georgia State University-8529	1	37,381	0	0	-100	-100
Harvard University-8537	4	511,873	3	610,229	-25	19
Indiana University-8602	0	0	1	58,727	100	100
Iowa State University (Incl Iowa Energy Center)-8648	1	1,000,000	0	0	-100	-100
Jackson State University-2652	1	100,000	1	100,000	0	0
Jet Propulsion Laboratory-JPL-8668	3	228,948	5	189,650	67	-17
Johns Hopkins University-8688	5	487,991	5	562,495	0	15
Katholieke Universiteit Leuven (Belgium)-3306	1	108,079	0	0	-100	-100
Korea University (Korea)-4955	0	0	1	82,791	100	100
LEEDS BECKETT UNIVERSITY ENTERPRISES LIMITED-VMPB	0	0	1	11,567	100	100
MACQUARIE UNIVERSITY-VAUH	1	43,099	0	0	-100	-100
Massachusetts Institute Of Technology-MIT-8794	1	63,998	3	678,325	200	960
Michigan State University-8832	0	0	2	316,195	100	100
NORTH DAKOTA STATE UNIVERSITY-VCYM	1	32,332	0	0	-100	-100
Northeastern University-0984	2	98,507	3	150,000	50	52
Oak Ridge Associated Universities-7839	0	0	1	14,000	100	100
Ohio State University (Includes Research Foundation)-7200	0	0	1	185,000	100	100
Oregon State University-8956	1	55,460	2	117,360	100	112
PACE UNIVERSITY-V8U7	0	0	1	12,904	100	100
Princeton University-9003	1	291,413	1	218,073	0	-25
Purdue University-9010	1	15,485	5	511,391	400	3,202

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Rice University-7565	0	0	3	257,324	100	100
Rutgers University-9062	0	0	1	92,978	100	100
San Jose State University Research Foundation-9996	1	190,196	2	312,799	100	64
Santa Barbara City College-0247	0	0	1	43,134	100	100
SLAC National Accelerator Lab (SLAC)-FF33	1	27,740	2	144,940	100	422
Stanford University-9162	9	987,422	7	777,415	-22	-21
State University of New York (SUNY)-9165	2	2,048,539	3	1,241,104	50	-39
Stockholm University-7549	1	19,236	0	0	-100	-100
Syracuse University-9616	0	0	1	72,941	100	100
Texas A&M University-9196	1	891,641	1	200,000	0	-78
Universities Research Association (Operates Fermilab)-9463	0	0	1	11,895	100	100
University Corp For Atmospheric Research-Ucar-9744	1	42,428	1	844,297	0	1,890
University Of Arizona-9256	2	160,261	1	45,446	-50	-72
University Of Central Florida-FC16	2	139,757	1	4,910	-50	-96
University of Colorado Boulder-V8JD	1	199,962	1	66,097	0	-67
University Of Delaware-9261	1	251,160	1	20,000	0	-92
University Of Durham (Great Britain)-4879	0	0	1	107,156	100	100
University Of Georgia (Incl Skidaway Institute Of Oceanography)-9746	1	166,284	1	161,710	0	-3
UNIVERSITY OF GRAZ-VH7A	1	1,099,188	0	0	-100	-100
University Of Hawaii (Incl Research Corporation Of The Univ Of Hawaii)-9263	1	47,241	1	48,049	0	2
UNIVERSITY OF ILLINOIS AT CHICAGO-VCS1	0	0	1	90,816	100	100
University Of Illinois at Urbana-Champaign-9264	1	50,000	2	101,412	100	103

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University of Iowa-9279	1	140,000	1	144,000	0	3
University Of Kentucky Research Foundation-9267	0	0	1	43,521	100	100
University Of London-0181	1	18,677	0	0	-100	-100
University Of Maryland-9273	2	373,248	3	347,949	50	-7
University Of Massachusetts Amherst-7900	1	240,000	1	225,000	0	-6
University of Massachusetts Dartmouth-VO2R	1	31,000	0	0	-100	-100
University Of Michigan (Incl William Davidson Institute)-9268	4	740,430	4	405,722	0	-45
University Of Minnesota-9281	5	653,119	4	877,638	-20	34
UNIVERSITY OF NEVADA, RENO-VA3H	1	8,000	0	0	-100	-100
University Of North Carolina at Chapel Hill-9285	2	16,283	0	0	-100	-100
University Of North Dakota-9311	0	0	2	188,990	100	100
University Of Notre Dame (Incl Gem)-9363	1	250,000	1	50,000	0	-80
University Of Oregon-9271	1	234,995	1	160,226	0	-32
University Of Ottawa (Canada)-7409	1	5,720	0	0	-100	-100
University Of Pennsylvania-9293	1	100,000	1	9,442	0	-91
University Of Pittsburgh-9270	5	2,578,951	2	418,491	-60	-84
University Of Rochester-9294	0	0	2	200,000	100	100
University Of San Diego-1478	0	0	1	40,000	100	100
University Of South Florida-9767	1	33,885	1	35,748	0	5
University of Southern California-9272	3	158,000	4	265,755	33	68
University Of Tennessee-9275	3	154,566	2	401,599	-33	160
University Of Texas-Austin-9278	2	1,053,375	4	1,141,406	100	8
University of Texas-Dallas-9356	1	67,500	0	0	-100	-100

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University Of Texas-El Paso-3925	2	139,752	1	85,000	-50	-39
University Of Texas-San Antonio-9627	0	0	1	5,733	100	100
University Of Utah-9299	2	593,609	0	0	-100	-100
University Of Virginia-9274	1	226,840	1	63,872	0	-72
University Of Washington-9276	1	0	4	421,744	300	100
University Of Wisconsin-9277	2	524,879	1	155,652	-50	-70
USC SEA GRANT-VJ7M	1	16,494	3	69,009	200	318
Utah State University-9283	0	0	1	19,639	100	100
Vanderbilt University (Tennessee)-7666	1	150,000	1	150,000	0	0
VIENNA UNIVERSITY OF TECHNOLOGY-F50B	1	6,110	0	0	-100	-100
Washington University, (St. Louis, MO)-9419	0	0	3	271,677	100	100
Wilfred Laurier University-F6B5	1	3,736	0	0	-100	-100
Woods Hole Oceanographic Institution-9334	1	97,871	2	214,841	100	120
Yale University-9340	1	406,878	1	575,700	0	41

Total: HIGHER ED	149	24,015,476	180	21,979,362	21	-8
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