

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/30/2012

Parameters:		Report Totals:	Count:	Amount:
Fiscal Year:	2012	FY 2012:	986	217,357,638
Dates: (Award Date)	7/1/2011 To: 6/30/2012	FY 2011:	1,007	183,163,335
		Percent Change:	-2	19

	FY 2011: Count	Amount	FY 2012: Count	Amount	% Count	% Amount
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FEDERAL

DEPT OF AGRICULTURE

Department Of Agriculture 0470	1	5,500	0	0	-100	-100
Department Of Agriculture 0300	3	110,644	1	3,000	-67	-97
USDA National Institute for Food and Agriculture 0450	1	483,507	0	0	-100	-100
USDA Rocky Mountain Forest and Range Experiment Station 0326	1	62,015	0	0	-100	-100
USDA/Miscellaneous Agencies 0599	0	0	1	99,447	100	100

Total: DEPT OF AGRICULTURE	6	661,666	2	102,447	-67	-85
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DEPT OF COMMERCE

COM Marine Fisheries Service 0790	2	18,000	0	0	-100	-100
COM National Institute Of Standards And Technology (Nist) 0800	0	0	1	80,000	100	100
COM National Oceanic And Atmospheric Administration 0770	4	210,023	1	122,915	-75	-41
Department Of Commerce 0830	0	0	1	96,665	100	100
Department Of Commerce 2535	0	0	1	59,996	100	100

Total: DEPT OF COMMERCE	6	228,023	4	359,576	-33	58
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DEPT OF DEFENSE

DEPT OF AIR FORCE

DAF Air Force Research Laboratory 2544	0	0	1	578,648	100	100
DAF Office of Scientific Research 2416	10	1,842,495	14	6,156,058	40	234
DAF/Air Force Misc Bases & Agencies 2499	2	1,299,212	3	1,121,225	50	-14

Total: DEPT OF AIR FORCE	12	3,141,707	18	7,855,931	50	150
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FEDERAL

DEPT OF DEFENSE

DEPT OF ARMY

Army 2775	27	17,952,382	49	21,897,447	81	22
Army Medical Research and Materiel Command 2735	1	438,686	0	0	-100	-100
DA Army Aviation and Missile Command F865	1	200,000	0	0	-100	-100
DA Army Materiel Command/Hq 2650	2	218,519	2	229,157	0	5
DA Army Research Development and Engineering Command F322	2	183,660	2	205,000	0	12
DA Army Research, Wash D.C. Office 2610	2	3,429,999	3	2,869,998	50	-16
DA/Army Misc Bases And Agencies 2799	3	526,739	6	1,844,051	100	250
Total: DEPT OF ARMY	38	22,949,985	62	27,045,653	63	18

DEPT OF NAVY

DN Naval Postgraduate School 2944	3	102,933	1	100,000	-67	-3
DN Space & Naval Warfare Systems Command 2862	0	0	3	695,000	100	100
Navy 2888	62	7,612,305	48	4,717,404	-23	-38
Total: DEPT OF NAVY	65	7,715,238	52	5,512,404	-20	-29

SEPARATE AGENCIES

DOD Advanced Research Projects Agency (DARPA) 2025	12	8,303,999	6	6,440,149	-50	-22
DOD Defense Threat Reduction Agency 2226	3	956,057	3	649,472	0	-32
DOD National Security Agency 2330	1	29,284	1	25,464	0	-13
Total: SEPARATE AGENCIES	16	9,289,340	10	7,115,085	-38	-23

Total: DEPT OF DEFENSE

	131	43,096,270	142	47,529,073	8	10
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DEPT OF EDUCATION

ED Postsecondary Education (Incl Workstudy, Pell, Seog & Perkins, Etc) 3080	1	219,920	1	213,102	0	-3
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FEDERAL

DEPT OF EDUCATION

ED Special Department Of Education 3090	1	416,379	3	792,512	200	90
ED/Miscellaneous Agencies 3099	6	649,588	5	662,497	-17	2

Total: DEPT OF EDUCATION	8	1,285,887	9	1,668,111	13	30
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DEPT OF ENERGY

DOE Chicago Field Office 5063	3	422,800	2	795,000	-33	88
DOE Idaho Operations Office 5065	1	150,000	0	0	-100	-100
DOE Washington, D.C. 5090	4	577,770	6	4,936,539	50	754
DOE/Miscellaneous Offices and Programs 5099	20	4,641,714	17	4,012,000	-15	-14

Total: DEPT OF ENERGY	28	5,792,284	25	9,743,539	-11	68
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HEALTH & HUMAN SVCS

PHS/CNTR DISEASE CNTL

PHS Centers For Disease Control 3158	1	116,041	1	108,607	0	-6
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Total: PHS/CNTR DISEASE CNTL	1	116,041	1	108,607	0	-6
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PHS/NATL INST HEALTH

NIH Alcohol Abuse And Alcoholism, National Institute Of 3171	1	317,844	2	360,494	100	13
NIH Allergy & Infectious Diseases, National Institute Of 3445	6	1,315,601	5	1,397,693	-17	6
NIH Center For Scientific Review 5013	5	963,826	6	1,375,503	20	43
NIH Child Health & Human Development, National Institute Of 3465	3	1,049,332	1	315,224	-67	-70
NIH Dental And NIH Research, National Institute Of 3475	1	451,046	1	453,463	0	1
NIH Diabetes, Digestive & Kidney Diseases, National Institute Of 3457	4	1,021,038	4	6,614,400	0	548

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HEALTH & HUMAN SVCS

PHS/NATL INST HEALTH

NIH Drug Abuse, National Institute Of 3172	6	1,122,155	2	613,934	-67	-45
NIH General Medical Sciences, National Institute Of 3485	15	5,421,832	12	4,474,342	-20	-17
NIH Heart, Lung & Blood, National Institute Of 3435	1	361,051	0	0	-100	-100
NIH John F. Fogarty International Center 3403	2	29,920	0	0	-100	-100
NIH Mental Health, National Institute Of 3170	4	607,933	0	0	-100	-100
NIH Misc Agencies & Depts 3401	0	0	3	1,028,049	100	100
NIH National Institute On Aging 3440	6	1,311,693	7	2,369,666	17	81
NIH Natl Cancer Institute 3425	1	310,046	2	494,252	100	59
NIH Natl Ctr Research Resources V8VH	0	0	3	749,027	100	100
NIH Natl Eye Institute 3490	3	883,085	4	659,918	33	-25
NIH Neurological Disorders & Stroke, Natl Institute Of 3498	1	1,224,729	1	1,185,955	0	-3
Public Health Service 4319	5	909,284	2	684,380	-60	-25

Total: PHS/NATL INST HEALTH	64	17,300,415	55	22,776,300	-14	32
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Total: HEALTH & HUMAN SVCS	65	17,416,456	56	22,884,907	-14	31
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DEPT OF INTERIOR

Department Of Interior 4120	1	375,000	4	1,449,322	300	286
USDI Bureau of Land Management 4130	5	65,352	1	12,000	-80	-82
USDI FishaAnd Wildlife Service 4132	2	160,090	2	235,118	0	47
USDI Geological Survey (Incl Natl Biological Service) 4190	5	1,671,265	10	2,050,304	100	23
USDI National Park Service 4180	2	301,821	3	77,705	50	-74
USDI Office Of Water Research & Technology 4115	1	15,637	0	0	-100	-100
USDI/Misc Agencies 4199	1	400,000	0	0	-100	-100

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Total: DEPT OF INTERIOR	17	2,989,165	20	3,824,449	18	28
DEPT OF JUSTICE						
USDJ Office Of Justice Programs 4260	0	0	2	297,799	100	100
Total: DEPT OF JUSTICE	0	0	2	297,799	100	100
DEPT OF STATE						
USDS Bureau Of Educational And Cultural Affairs 4293	1	287,288	1	284,813	0	-1
Total: DEPT OF STATE	1	287,288	1	284,813	0	-1
DEPT OF TRANSPORT						
DOT Federal Highway Administration 4660	1	5,000	1	5,000	0	0
Total: DEPT OF TRANSPORT	1	5,000	1	5,000	0	0
NATL ARNATC & SPC AD						
NASA Ames Research Center 5106	1	51,300	4	126,129	300	146
NASA Shared Services Center (NSSC) 5105	23	3,101,617	26	3,809,653	13	23
NASA Washington, D.C. Hq 5136	0	0	1	135,134	100	100
NASA/Miscellaneous Centers 5101	2	188,800	5	296,841	150	57
Total: NATL ARNATC & SPC AD	26	3,341,717	36	4,367,757	38	31
NATL FND ARTS & HUM						

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FEDERAL

NATL FND ARTS & HUM

National Endowment for the Humanities-NEH 5280	2	321,000	7	680,800	250	112
NFAH Arts, National Endowment For The 5260	1	30,000	2	50,000	100	67
NFAH Inst Of Museum And Library Services 3004	0	0	1	80,655	100	100

Total: NATL FND ARTS & HUM	3	351,000	10	811,455	233	131
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EXECUTIVE BRANCH

Director Of Central Intelligence 0150	0	0	1	240,000	100	100
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Total: EXECUTIVE BRANCH	0	0	1	240,000	100	100
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LEGISLATIVE BRANCH

Library Of Congress 0223	0	0	1	83,131	100	100
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Total: LEGISLATIVE BRANCH	0	0	1	83,131	100	100
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OTHER AGENCIES

Environmental Protection Agency 3900	0	0	2	143,826	100	100
FED Homeland Security, Department Of 5854	1	342,862	0	0	-100	-100

Total: OTHER AGENCIES	1	342,862	2	143,826	100	-58
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FEDERAL						
NATL SCIENCE FND						
GEOSCIENCES						
National Science Foundation-NSF 5400	37	8,490,703	35	7,243,434	-5	-15
Total: GEOSCIENCES	37	8,490,703	35	7,243,434	-5	-15
BIOLOGICAL SCIENCES						
National Science Foundation-NSF 5400	31	10,500,797	24	5,188,882	-23	-51
Total: BIOLOGICAL SCIENCES	31	10,500,797	24	5,188,882	-23	-51
ENGINEERING						
National Science Foundation-NSF 5400	14	2,547,846	14	2,323,978	0	-9
Total: ENGINEERING	14	2,547,846	14	2,323,978	0	-9
MATH & PHYSICAL SCI						
National Science Foundation-NSF 5400	66	19,169,150	52	20,486,567	-21	7
Total: MATH & PHYSICAL SCI	66	19,169,150	52	20,486,567	-21	7
EDUC & HUMAN RESOURC						
National Science Foundation-NSF 5400	6	1,486,752	8	3,993,635	33	169
Total: EDUC & HUMAN RESOURC	6	1,486,752	8	3,993,635	33	169
SOCIAL, BEHAV & ECON SCI						
National Science Foundation-NSF 5400	18	2,706,197	13	3,195,734	-28	18
Total: SOCIAL, BEHAV & ECON SCI	18	2,706,197	13	3,195,734	-28	18
COMP & INFO SCI & ENG						
National Science Foundation-NSF 5400	30	4,825,675	27	5,183,403	-10	7
Total: COMP & INFO SCI & ENG	30	4,825,675	27	5,183,403	-10	7

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FEDERAL							
NATL SCIENCE FND							
OFC OF INTEGRATIVE ACTIV							
	National Science Foundation-NSF 5400	1	283,656	3	1,745,291	200	515
Total: OFC OF INTEGRATIVE ACTIV		1	283,656	3	1,745,291	200	515
OFC OF INTL SCI & ENG							
	National Science Foundation-NSF 5400	6	970,090	3	1,165,099	-50	20
Total: OFC OF INTL SCI & ENG		6	970,090	3	1,165,099	-50	20
OFC OF POLAR PROGRAMS							
	National Science Foundation-NSF 5400	4	989,678	3	601,570	-25	-39
Total: OFC OF POLAR PROGRAMS		4	989,678	3	601,570	-25	-39
Total: NATL SCIENCE FND		213	51,970,544	182	51,127,593	-15	-2
Total: FEDERAL		506	127,768,162	494	143,473,476	-2	12

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INDUSTRY						
AECOM USA, Inc. F75C	1	31,525	1	5,450	0	-83
Agile Materials And Technologies, Inc. 3765	0	0	1	40,000	100	100
Alcon Laboratories 8376	0	0	1	399,784	100	100
Aurrion, LLC. F232	0	0	2	1,863,667	100	100
BBN Technologies Corp. FFE7	4	790,012	5	891,369	25	13
Boeing Aerospace, Inc. 8343	1	50,000	1	80,000	0	60
Boston Fusion V992	0	0	1	16,422	100	100
Calera Corporation F4EF	2	86,558	0	0	-100	-100
CALRAM V8SU	0	0	1	5,000	100	100
Cbrite Inc. (Formerly Diode Solutions, Inc.) 6669	1	60,000	0	0	-100	-100
Charles Stark Draper Laboratory, Inc. 7371	1	293,942	1	93,073	0	-68
CODAR Ocean Sensors F3A5	1	31,659	1	199,992	0	532
Collaborative Fisheries Research AE1B	0	0	1	25,000	100	100
Conocophillips Company (Merger Of Conoco & Phillips Petroleum) 8986	1	144,200	1	142,100	0	-1
Consolidated Safety Services F38D	1	40,606	0	0	-100	-100
CONVOY THERAPEUTICS V8ZB	0	0	1	198,945	100	100
Corning Companies (Incl Corning Besselaar Inc.) 9699	0	0	2	120,000	100	100
DCS Corporation F4E4	0	0	4	143,405	100	100
Deployable Space Systems, Inc. V96U	0	0	1	44,593	100	100
Directed Vapor Technologies International, Inc. FAEE	1	112,500	0	0	-100	-100
DOW Chemical Company 8386	2	211,265	5	1,217,744	150	476
Eisai Research Institute 1131	2	2,187,775	1	9,211	-50	-100

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INDUSTRY						

Eli Lilly & Co. (Incl Ivac Corp, Applied Molecular Evolution, Inc.) 8429	0	0	1	228,000	100	100
Entrega F3D0	2	392,435	0	0	-100	-100
Exxon Mobile Corporation 8492	1	112,000	0	0	-100	-100
Eye-Com Corporation F2BC	1	112,742	0	0	-100	-100
Freedom Photonics Llc FD2A	2	301,154	0	0	-100	-100
Fuji Electric America 0086	0	0	1	100,000	100	100
GE Energy F652	2	353,425	0	0	-100	-100
Genentech, Inc. 8485	1	75,000	2	95,000	100	27
General Technical Services Llc FF32	0	0	1	450,000	100	100
Global Strategies Group (North America) Inc. F2BB	1	90,000	0	0	-100	-100
Google Inc. FE36	0	0	1	169,977	100	100
GRT, Inc. 3204	1	30,000	0	0	-100	-100
Hewlett-Packard 8565	1	75,000	0	0	-100	-100
High Precision Devices, Inc. F94C	0	0	1	270,196	100	100
Honeywell, Inc. (Includes Sperry Flight & Tetrattech Data Systems, Inc) 8572	0	0	1	375,721	100	100
HYPERSOLAR V8PM	0	0	1	124,727	100	100
Intel Corporation 8635	8	845,000	7	670,000	-13	-21
Konarka Technologies, Inc. 4870	2	150,000	0	0	-100	-100
LiquiDx Inc. F347	1	124,006	0	0	-100	-100
Lockheed Martin Corporation (Incl Orincon) 8752	3	88,500	3	110,000	0	24
Meat and Livestock Australia Limited V8XZ	0	0	1	418,045	100	100
MedImmune, Inc. 1677	0	0	1	487,597	100	100

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Minerva Biotechnologies Corporation FE66	1	36,000	0	0	-100	-100
Mitsubishi Group (Japan) 7752	8	1,000,000	9	1,310,000	13	31
MPR Associates, Inc. F7F1	2	10,710	0	0	-100	-100
Nascent Water Technologies, Inc. F379	1	549,519	0	0	-100	-100
Nec Corporation (Japan) (Incl Nec Electronics & Nec Usa) 7346	0	0	1	120,000	100	100
Northrop Grumman Corporation (Incl Logicon Inc, Xetron Corporation) 8941	0	0	2	250,000	100	100
Physical Sciences Inc. 3878	0	0	1	60,000	100	100
Pratt & Whitney F688	4	349,000	5	364,500	25	4
Procter & Gamble Company 9004	1	38,331	0	0	-100	-100
Raytheon Applied Signal Technology, Inc. (Sunnyvale, Ca) 7440	1	50,000	1	75,000	0	50
Rockwell Collins, Inc. FFD2	2	225,000	1	100,000	-50	-56
ROLLS-ROYCE F1AF	0	0	1	158,730	100	100
Science Applications Intl Corporation (Saic) (Incl Telcordia Technol) 9094	1	80,000	2	190,001	100	138
SEBACIA V8XC	0	0	1	126,040	100	100
Siemens (Incl U.S. Subsidiaries) (Germany) 7076	0	0	1	50,000	100	100
Simpson And Simpson Business And Personnel Services, Inc. 1811	0	0	3	3,409,092	100	100
Synaptics V8IV	0	0	1	1,567	100	100
Tanner Research, Inc. 0893	1	35,000	0	0	-100	-100
Teledyne (Incl Electronic Tech, Microwave, Adv Materials, Relay, Etc) 9201	7	1,245,000	6	1,199,989	-14	-4
Toyon Research Corporation 2884	1	21,000	2	37,592	100	79

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Toyota Motor Corporation (Incl Toyota Infotech Ctr Usa, Inc.) (Japan) 5382	2	30,000	0	0	-100	-100
Transphorm, Inc. FC9A	2	30,059	1	150,000	-50	399
UES, Inc. 5810	1	70,000	1	25,000	0	-64
Unilever (Incl. Unilever Research U.S.) (Netherlands) 1857	1	212,157	0	0	-100	-100
Ziva Corporation 6954	2	184,000	1	183,750	-50	0
Total: INDUSTRY	82	10,955,080	92	16,806,279	12	53

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Dates: (Award Date)	7/1/2011	To: 6/30/2012	FY 2011:	1,007	183,163,335
			Percent Change:	-2	19

	FY 2011: Count	Amount	FY 2012: Count	Amount	% Count	% Amount
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STATE AND LOCAL

Cal Coastal Conservancy 6524	1	175,000	1	250,000	0	43
CAL Department Of Education 6376	4	435,446	9	416,047	125	-4
Cal Department Of Education 6372	2	44,425	1	3,913	-50	-91
Cal Department Of Health 6485	1	272,582	0	0	-100	-100
Cal Department of Parks and Recreation 6540	2	99,077	0	0	-100	-100
Cal Department Of Transportation 6696	2	800,000	1	350,000	-50	-56
Cal Epa Air Resources Board 6533	1	299,909	1	48,000	0	-84
Cal EPA Water Control Board 6555	1	25,000	1	40,000	0	60
CAL H&W Public Health, Department of (CDPH) FD71	0	0	1	796,013	100	100
Cal Institute For Regenerative Medicine (Cirm) 8530	1	15,000	5	7,219,867	400	48,032
Cal Ra Fish And Game, Department Of 6530	1	960,000	0	0	-100	-100
Cal State Universities Campuses 6536	2	12,000	0	0	-100	-100
Calif De Child Development Division FA35	1	0	1	3,504	0	100
California Department of Boating and Waterways 6525	1	34,988	0	0	-100	-100
California Energy Commission 6521	1	383,787	1	600,000	0	56
Department of Resources Recycling and Recovery (CalRecycle) F454	1	109,599	1	615,934	0	462
SANTA BARBARA COUNTY EDUCATION OFFICE V8Z8	0	0	1	750	100	100
Santa Barbara, City Of 6992	1	15,000	0	0	-100	-100
Santa Barbara, County of 6994	10	252,655	16	672,374	60	166
Southern Calif Association Of Governments 7006	2	578,145	3	610,085	50	6
Southern Calif Coastal Water Research Proj Auth, A Public Agency 7426	1	573,500	1	29,801	0	-95
Southern Calif Wetlands Recovery Project 6568	1	24,000	0	0	-100	-100

**UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR**

REPORT DATE: 7/30/2012

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	FY 2011: Count	Amount	FY 2012: Count	Amount	% Count	% Amount
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STATE AND LOCAL

State of California 6391	1	6,000	1	6,000	0	0
UC Sea Grant College Program 6523	1	102,876	0	0	-100	-100

Total: STATE AND LOCAL	39	5,218,989	45	11,662,288	15	123
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UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
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UC						

UC Accord All Campus Collaborative On Research For Diversity 6757	1	10,000	0	0	-100	-100
UC Berkeley-UCB 6761	2	100,000	2	277,000	0	177
UC California Inst For Energy Efficiency (Ciee) 6741	1	71,769	0	0	-100	-100
UC California Science Project 6740	0	0	2	45,511	100	100
UC California Writing Project 6727	2	70,482	2	67,468	0	-4
UC Cancer Research Coordinating Committee 6728	1	50,000	2	105,000	100	110
UC Davis 6763	5	345,545	2	240,310	-60	-30
UC Directed Research and Development (Ucdrv), Incl Cular & Ucvr 6750	3	149,000	1	200,000	-67	34
UC Discovery Grant F5A4	1	33,616	1	10,000	0	-70
UC DISCOVERY GRANT-BIOTECHNOLOGY 6776	1	70,000	1	99,336	0	42
UC DISCOVERY GRANT-MULTIDISCIPLINARY RESEARCH FFD8	2	85,000	0	0	-100	-100
UC Humanities Research Institute 3955	0	0	1	20,000	100	100
UC Institute Of Geophysics And Planetary Physics 6730	1	57,151	0	0	-100	-100
UC Irvine 6772	7	648,475	10	945,380	43	46
UC Lab Fees Research Program F8F4	4	1,030,730	0	0	-100	-100
UC Links (University-Community Links) 6755	2	22,500	2	23,000	0	2
UC Los Angeles 6764	16	3,178,692	10	2,909,320	-38	-8
UC Merced 6777	2	217,779	1	88,625	-50	-59
UC MESA 6703	5	250,000	7	271,625	40	9
UC MEXUS 6732	17	121,888	11	77,473	-35	-36
UC Office Of The President 6773	8	423,550	5	944,369	-38	123
UC Pacific Rim Research Program 6734	4	53,780	2	68,447	-50	27

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
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UC						

UC Riverside 6765	3	132,192	1	89,615	-67	-32
UC San Diego 6778	8	320,882	5	235,823	-38	-27
UC Santa Cruz 6769	7	734,741	3	357,512	-57	-51
UC Sea Grant College Program 6735	10	130,119	8	1,173,269	-20	802
UC Transportation Center (Berkeley) 6768	6	151,000	8	317,754	33	110

Total: UC	119	8,458,891	87	8,566,837	-27	1
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UNIVERSITY OF CALIFORNIA, SANTA BARBARA
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NOT PROFIT

Albert & Elaine Borchard Foundation, Inc. 7634	1	30,000	0	0	-100	-100
Albert Einstein Healthcare Network F88C	1	119,876	0	0	-100	-100
Alfred P. Sloan Foundation 8020	3	150,000	1	50,000	-67	-67
American Bar Association 7843	1	5,000	0	0	-100	-100
American Chemical Society 8036	1	100,000	3	300,000	200	200
American Cncl Of Learned Societies 8042	4	337,336	1	65,000	-75	-81
American Health Assistance Foundation 8330	0	0	1	100,000	100	100
American Heart Assn-Western States Affiliate (Frmly Cal Affiliate) 8058	1	6,500	0	0	-100	-100
American Psychological Association (Incl Amer Psychological Foundation 7687	0	0	2	2,000	100	100
Andrew W. Mellon Foundation (The) 8107	1	16,000	1	111,000	0	594
Andy Warhol Foundation For Visual Arts, Inc. 7530	0	0	1	60,000	100	100
Arcus Foundation FF3F	1	0	0	0	-100	-100
Argonne National Laboratory F6CB	3	53,727	3	71,985	0	34
Arnold And Mabel Beckman Foundation 1015	2	119,300	0	0	-100	-100
Assn for Asian Studies, Inc. 0355	1	5,000	0	0	-100	-100
Australia, Government Of 5551	2	215,100	2	186,474	0	-13
Autism Science Foundation XXYS	0	0	1	25,000	100	100
Autism Speaks (Frmly Nat'l Alliance For Autism Research) 0736	1	23,975	0	0	-100	-100
Battelle Memorial Inst (Incl Battelle Energy Alliance, Idaho Natl Lab) 8143	4	1,130,232	4	2,199,607	0	95
Bill & Melinda Gates Foundation 3243	1	100,000	1	100,000	0	0

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

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NOT PROFIT						

Burroughs Wellcome Fund (The) (Fdn Of Glaxo Wellcome Inc) (Gr Britain) 8185	0	0	1	200,000	100	100
Cal Department Of Water Resources 6550	1	14,182	0	0	-100	-100
Calif Redwood Assn (Save The Redwoods League, Sf,ca) 8224	0	0	1	14,320	100	100
Calif Wildlife Foundation 5022	0	0	1	25,000	100	100
California Sea Urchin Commission FF3D	2	10,000	0	0	-100	-100
Camille & Henry Dreyfus Foundation 8236	1	75,000	0	0	-100	-100
Cancer Center Of Santa Barbara (Frmly Cancer Fdn Of Santa Barbara) 2362	0	0	1	50,000	100	100
Center For Cultural Judaism, The 5971	0	0	2	51,072	100	100
Centro de Investigación en Materiales Avanzados (Mexico) F3A3	1	62,500	1	62,500	0	0
Chiang Ching-Kuo Fdn For Intl Scholarly Exchange (Taiwan) 7742	0	0	2	35,376	100	100
Clark County, Nevada 6126	1	46,430	0	0	-100	-100
Conservation International Foundation 7907	3	34,000	3	255,655	0	652
Consortium for Ocean Leadership 0458	0	0	2	45,158	100	100
Council On Library Resources 8346	0	0	1	183,500	100	100
David And Lucile Packard Foundation (The) 9072	1	875,000	1	82,000	0	-91
DOHENY EYE INSTITUTE F733	1	100,000	0	0	-100	-100
ECOLOGICAL SOCIETY OF AMERICA (ESA) FD26	0	0	1	149,920	100	100
Ecotrust 5495	1	45,931	0	0	-100	-100
Electric Power Research Institute 8427	1	30,000	4	100,596	300	235
Ellison Medical Foundation, The 2667	1	50,000	0	0	-100	-100
Etant Donnes (French-American Endowment For Contemporary Art) (France) 0291	1	11,756	1	4,500	0	-62

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
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NOT PROFIT						

Fermi Research Alliance, Llc (Fra) (Incl Fermilab-Doe Goco) FEB7	1	81,700	0	0	-100	-100
Fund For Santa Barbara, Inc. 8477	0	0	1	10,000	100	100
Germany, Government Of (Bundesrepublik Deutschland) 0596	1	1,000	0	0	-100	-100
Goleta Valley Land Trust F37D	1	32,000	1	36,000	0	13
Gordon And Betty Moore Foundation 3954	1	846,492	3	1,799,236	200	113
Howard Hughes Medical Institute 9953	1	250,000	1	250,000	0	0
Human Frontier Science Program Organization (Intl) 7823	1	125,000	1	80,000	0	-36
Industrial Technology Research Institute (Taiwan) 3714	1	50,000	1	50,000	0	0
Intl Fdn for Research in Experimental Economics (IFREE) FC09	1	6,782	0	0	-100	-100
Intl Fine Particle Research Institute, Inc. (Ifpri)(Intl) 5967	0	0	1	3,600	100	100
Intl Retinal Research Foundation, Inc. FB01	0	0	1	57,100	100	100
Isla Vista Youth Projects F40C	1	19,743	0	0	-100	-100
Israel, Government Of (Israel) 6007	1	97,115	0	0	-100	-100
J. Paul Getty Trust, The (Incl. The Getty Foundation) 9570	0	0	3	198,647	100	100
JACK KENT COOKE FOUNDATION FF72	0	0	1	148,126	100	100
James Irvine Foundation 1526	1	100,000	0	0	-100	-100
Japan Aerospace Exploration Agency (Jaxa)(Frmly Nasda)(Japan) 0703	1	0	0	0	-100	-100
Japan Foundation (The) 8666	1	54,348	1	118,745	0	118
Jet Propulsion Laboratory-JPL 8668	17	727,843	10	617,465	-41	-15
John Templeton Foundation 0644	0	0	2	2,998,320	100	100
Juvenile Diabetes Research Foundation International 8700	2	96,407	0	0	-100	-100
Kearney Foundation 4487	3	79,133	0	0	-100	-100

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
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NOT PROFIT

Larry L. Hillblom Foundation, The 4160	2	337,145	1	183,787	-50	-45
Los Alamos National Security, Llc FFDD	1	491,134	2	625,000	100	27
Marisla Foundation (Frmly Homeland Foundation) 7849	1	200,000	1	175,000	0	-13
Max-Planck-Institut Fur Extraterrestrische Physik (Germany) 0191	2	207,592	1	20,991	-50	-90
MORGRIDGE INSTITUTE FOR RESEARCH V8Z7	0	0	2	268,134	100	100
Natl Alliance For Research On Schizophrenia & Depression (Narsad) 7298	0	0	1	15,000	100	100
Natl Research Council 8913	1	14,000	2	10,000	100	-29
Natl Writing Project Corporation-Nwp 1126	0	0	2	40,000	100	100
Nature Conservancy 7262	4	99,162	1	0	-75	-100
Oak Ridge Natl Lab 5080	7	322,635	5	294,423	-29	-9
Ocean Conservancy V8JF	0	0	1	355,488	100	100
Ohio Water Development Authority F270	1	103,713	0	0	-100	-100
Organization for Autism Research, Inc. 6130	1	2,000	1	29,296	0	1,365
Pacific Institute For Research & Eval (Incl Prevention Resch Ctr) 1372	0	0	1	29,561	100	100
Pacific Pioneer Fund F1D4	0	0	1	8,000	100	100
Packard Humanities Institute (The) 7395	1	600,000	0	0	-100	-100
Prince William Sound Science Center (Incl Oil Spill Recovery Inst) 2331	0	0	1	407,395	100	100
Research Triangle Institute (Aka Rti International) 9796	3	156,880	1	165,000	-67	5
Resources Legacy Fund Foundation 4951	2	52,001	2	99,989	0	92
Robert W. Deutsch Foundation F159	0	0	1	200,000	100	100
Robert Wood Johnson Foundation (The) 8692	1	790,810	2	1,509,605	100	91

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/30/2012

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NOT PROFIT						

Russell Sage Foundation 9060	0	0	1	60,000	100	100
Sandia National Laboratories 9070	2	69,995	0	0	-100	-100
Sanford-Burnham Medical Research Institute 2168	1	100,000	2	100,000	100	0
Sansum Diabetes Research Institute FE67	2	232,928	2	230,213	0	-1
Santa Barbara Cottage Hospital 7841	5	72,500	4	57,500	-20	-21
Santa Barbara Foundation 0751	6	301,069	5	381,328	-17	27
Schmidt Ocean Institute (formerly Schmidt Research Vessel Institute) F635	1	84,320	0	0	-100	-100
Scholarship Foundation of Santa Barbara F43E	1	30,000	1	30,000	0	0
Semiconductor Research Corporation 9102	7	1,490,281	9	1,573,731	29	6
Shelley And Donald Rubin Foundation (The) 0032	0	0	1	30,000	100	100
Silicon Valley Community Foundation 9007	2	115,191	0	0	-100	-100
Simons Foundation FE2E	0	0	2	2,630,349	100	100
Soc For Progressive Supranuclear Palsy, Inc. FF25	1	113,247	0	0	-100	-100
Society of Photo-Optical Instrumentation Engineers (SPIE) F309	1	2,613	0	0	-100	-100
Sri International (Incl Sarnoff Corporation) 9159	0	0	3	432,115	100	100
Swiss Federal Inst Of Technology-Db a Eth (Switzerland) 7257	0	0	1	71,718	100	100
THE FLEDGLING FUND V8T4	0	0	1	12,500	100	100
The Huntington Library FC4C	0	0	1	50,000	100	100
The Junior League of Santa Barbara, Inc. V8WZ	0	0	1	1,800	100	100
Third Sector New England F1F4	1	66,774	0	0	-100	-100
Tides Foundation, The (Incl Tide Center) 1593	1	150,000	0	0	-100	-100
Truckee River Watershed Council F3BE	1	21,800	1	88,200	0	305

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U.S. Bancorp Foundation F36C	1	5,000	0	0	-100	-100
U.S.-Israel Binat'l Science Foundation (Bsf) (Intl) 7350	4	55,547	3	47,161	-25	-15
UC Lawrence Berkeley Laboratory 6760	2	153,500	2	165,500	0	8
United Negro College Fund 0420	0	0	2	111,500	100	100
Verizon Foundation 9509	0	0	1	50,000	100	100
Waitt Family Foundation 4187	1	400,000	1	500,000	0	25
Walton Family Foundation, Inc. FF5A	0	0	1	217,665	100	100
WaterReuse Association (Incl WaterReuse Foundation) 4401	1	110,875	0	0	-100	-100
Wells Fargo Foundation 9305	1	60,000	0	0	-100	-100
Wested (Frmly Far West Laboratory) 8214	0	0	1	30,300	100	100
William M. Keck Foundation 9323	1	1,000,000	0	0	-100	-100
William T. Grant Foundation 8514	1	453,620	1	305,019	0	-33
Woodrow Wilson National Fellowship Foundation (The) 7591	2	100,810	1	590	-50	-99
Xerox Foundation 9464	1	11,000	0	0	-100	-100

Total: NOT PROFIT	152	14,912,550	147	22,511,760	-3	51
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UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
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HIGHER ED						

Assn Of Univ For Res Astronomy (Aka Space Teles Sci Inst) 9896	11	491,307	7	315,616	-36	-36
Baylor College Of Medicine (Houston,tx) 7275	0	0	1	17,274	100	100
Boston University 8166	1	150,000	1	87,500	0	-42
Calif Institute Of Technology-Cal Tech 8218	3	276,500	3	283,298	0	2
Carnegie Institution 9430	1	6,000	3	16,000	200	167
Carnegie Mellon University 8351	1	86,110	1	89,743	0	4
Case Western Reserve University 1479	2	78,929	1	49,950	-50	-37
Colorado School Of Mines 7516	0	0	1	68,277	100	100
Colorado State University 8304	1	1,049,469	1	321,967	0	-69
Cornell University 8329	3	1,019,943	7	1,462,774	133	43
CSU Channel Islands/California State University Channel Islands F3DB	1	4,000	3	8,000	200	100
CSU EAST BAY/CSU EAST BAY FOUNDATION 2789	0	0	1	58,580	100	100
Duke University (Incl Duke Clinical Research Institute) 8394	1	436,735	2	448,011	100	3
Georgia Institute Of Technology 8513	2	211,172	4	600,842	100	185
Harvard University (The President and Fellows of Harvard College) 8537	3	715,795	1	360,000	-67	-50
Johns Hopkins University 8688	0	0	1	115,831	100	100
Kent State University 0922	1	48,420	0	0	-100	-100
Massachusetts Institute Of Technology-MIT 8794	0	0	2	125,000	100	100
Mcgill Universtiy (Canada) 4915	1	960	0	0	-100	-100
Northeastern University 0984	0	0	1	4,401	100	100
Oklahoma State University 7708	2	214,171	0	0	-100	-100
Oregon State University 8956	5	307,754	1	188,910	-80	-39

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
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HIGHER ED						

Oxford University (Great Britain) 7838	2	9,732	0	0	-100	-100
Penn State University (Incl Penn State Research Foundation) 8979	1	130,621	2	108,126	100	-17
Princeton University 9003	1	165,000	1	165,000	0	0
Purdue University 9010	4	538,838	5	537,772	25	0
Rutgers University 9062	0	0	1	220,625	100	100
San Diego State University Foundation 9076	0	0	2	117,850	100	100
SOCIETY FOR THE STUDY OF SCHOOL PSYCHOLOGY (SSSP) V8V0	0	0	1	16,997	100	100
Stanford University 9162	1	30,000	0	0	-100	-100
UC GLOBAL HEALTH INSITUTE V8X9	0	0	1	8,499	100	100
Universitat ULM (Germany) 6182	1	12,761	0	0	-100	-100
Universities Research Association (Operates Fermilab) 9463	0	0	3	368,226	100	100
University Of Alaska 9374	1	101,735	0	0	-100	-100
University Of Arizona 9256	2	600,567	1	26,453	-50	-96
University Of British Columbia (Canada) 7033	1	57,215	0	0	-100	-100
University Of Georgia (Incl Skidaway Institute Of Oceanography) 9746	1	993,696	0	0	-100	-100
University Of Illinois 9264	1	88,456	2	207,309	100	134
University of Iowa 9279	1	0	0	0	-100	-100
University of Kansas (Incl KU Medical Center) 9266	1	212,407	1	216,425	0	2
UNIVERSITY OF LUXEMBOURG V8M6	0	0	1	3,915	100	100
University Of Maryland 9273	1	88,265	3	200,081	200	127
University Of Miami 9184	1	119,091	1	118,817	0	0
University Of Minnesota 9281	1	100,997	9	847,072	800	739
University Of Mississippi 9404	1	127,743	1	348,497	0	173

**UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
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Parameters:			Report Totals:	Count:	Amount:
Fiscal Year:	2012		FY 2012:	986	217,357,638
Dates: (Award Date)	7/1/2011	To: 6/30/2012	FY 2011:	1,007	183,163,335
			Percent Change:	-2	19

	FY 2011: Count	Amount	FY 2012: Count	Amount	% Count	% Amount
HIGHER ED						

University Of Nebraska 9269	2	94,183	1	61,577	-50	-35
University Of New Mexico 9598	2	968,122	2	504,120	0	-48
University Of North Carolina at Chapel Hill 9285	1	17,515	1	13,688	0	-22
University Of Notre Dame (Incl Gem) 9363	0	0	1	71,425	100	100
University Of Pennsylvania 9293	5	821,439	3	249,548	-40	-70
University Of Pittsburgh 9270	5	1,082,833	2	650,000	-60	-40
University Of South Carolina 0136	1	40,000	1	50,000	0	25
University of Southern California (Incl Kenneth Norris, Jr Cancer Ctr) 9272	17	1,195,168	6	1,057,493	-65	-12
University Of Texas-El Paso 3925	0	0	1	78,779	100	100
UNIVERSITY OF TROMSO 1316	0	0	1	36,000	100	100
University Of Utah 9299	2	992,262	0	0	-100	-100
University Of Virginia 9274	4	605,081	7	586,765	75	-3
University Of Washington 9276	3	428,244	10	816,821	233	91
University Of Wisconsin 9277	1	132,998	1	199,813	0	50
Ventura County Community College District FC9B	0	0	1	1,100,245	100	100
Washington State University (Pullman, Wa) 7314	1	403,484	0	0	-100	-100
Washington University, (St. Louis, Mo) 9419	1	418,426	4	572,180	300	37
Wichita State University 1910	0	0	1	31,243	100	100
Woods Hole Oceanographic Institution 9334	3	175,519	1	123,663	-67	-30

Total: HIGHER ED	109	15,849,663	121	14,336,998	11	-10
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