

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/10/2013

Parameters:		Report Totals:	Count:	Amount:
Fiscal Year:	2013	FY 2013:	881	164,889,989
Dates: (Award Date)	7/1/2012 To: 6/30/2013	FY 2012:	985	216,100,214
		Percent Change:	-11	- 24

	FY 2012: Count	Amount	FY 2013: Count	Amount	% Count	% Amount
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FEDERAL

DEPT OF AGRICULTURE

USDA Department of Agriculture-0350	0	0	1	71,497	100	100
USDA Forest Service-0300	1	3,000	2	405,003	100	13,400
USDA National Institute for Food and Agriculture-0450	0	0	1	99,930	100	100
USDA Rocky Mountain Forest and Range Experiment Station-0326	0	0	2	39,999	100	100
USDA/Miscellaneous Agencies-0599	1	99,447	0	0	-100	-100

Total: DEPT OF AGRICULTURE	2	102,447	6	616,429	200	502
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DEPT OF COMMERCE

COM National Institute Of Standards And Technology (NIST)-0800	1	80,000	0	0	-100	-100
COM National Oceanic And Atmospheric Administration-0770	1	122,915	3	263,972	200	115
Department Of Commerce-0830	1	96,665	1	201,295	0	108
Department Of Commerce-2535	1	59,996	1	50,000	0	-17

Total: DEPT OF COMMERCE	4	359,576	5	515,267	25	43
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DEPT OF DEFENSE

DEPT OF AIR FORCE

ASIAN OFFICE OF AEROSPACE RESEARCH AND DEVELOPMENT-V8LR	0	0	1	269,309	100	100
DAF Air Force Misc Bases & Agencies-2499	3	1,121,225	0	0	-100	-100
DAF Air Force Research Laboratory-2544	1	578,648	1	462,917	0	-20
DAF Office Of Scientific Research-2416	14	6,156,058	6	3,880,626	-57	-37

Total: DEPT OF AIR FORCE	18	7,855,931	8	4,612,852	-56	-41
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FEDERAL						
DEPT OF DEFENSE						
DEPT OF ARMY						
DA ARMY ENGINEERS/VICKSBURG DISTRICT, CORPS OF-FE3B	0	0	1	50,857	100	100
DA Army Materiel Command/Hq-2650	2	229,157	2	87,109	0	-62
DA Army Misc Bases And Agencies-2799	6	1,844,051	2	691,147	-67	-63
DA Army Research Development and Engineering Command-F322	2	205,000	1	125,000	-50	-39
DA Army Research, Durham, N.C. Office (AROD)-2775	49	21,897,447	47	15,292,732	-4	-30
DA Army Research, Wash D.C. Office-2610	3	2,869,998	3	2,660,833	0	-7
DA Natick Contracting Division (Natick Laboratories)-2755	0	0	1	219,958	100	100
Total: DEPT OF ARMY	62	27,045,653	57	19,127,636	-8	-29
DEPT OF NAVY						
DN Naval Postgraduate School-2944	1	100,000	2	129,580	100	30
DN Office Of Naval Research (ONR)-2888	48	4,717,404	54	7,887,503	13	67
DN Space & Naval Warfare Systems Command-2862	3	695,000	2	770,000	-33	11
Total: DEPT OF NAVY	52	5,512,404	58	8,787,083	12	59
SEPARATE AGENCIES						
DOD Advanced Research Projects Agency (DARPA)-2025	6	6,440,149	3	1,031,885	-50	-84
DOD Defense Threat Reduction Agency-2226	3	649,472	1	350,001	-67	-46
DOD National Geospatial Intelligence Agency,nga(Frmly Imagery&Mapping)-2250	0	0	2	134,386	100	100
DOD National Security Agency-2330	1	25,464	0	0	-100	-100
Total: SEPARATE AGENCIES	10	7,115,085	6	1,516,272	-40	-79
Total: DEPT OF DEFENSE	142	47,529,073	129	34,043,843	-9	-28

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FEDERAL

DEPT OF EDUCATION

Ed Miscellaneous Agencies-3099	5	662,497	3	177,688	-40	-73
ED Postsecondary Education (Incl Workstudy, Pell, Seog & Perkins, Etc)-3080	1	213,102	0	0	-100	-100
ED Special Education & Rehabilitation Servs, Assistant Secretary For-3090	3	792,512	3	815,464	0	3

Total: DEPT OF EDUCATION	9	1,668,111	6	993,152	-33	-40
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DEPT OF ENERGY

DOE ADVANCED RESEARCH PROJECTS AGENCY-ENERGY (ARPA-E)-F47D	0	0	1	1,599,974	100	100
DOE Chicago Field Office-5063	2	795,000	3	800,000	50	1
DOE Miscellaneous Offices And Programs-5099	17	4,012,000	15	3,995,504	-12	0
DOE Washington, D.C.-5090	6	4,936,539	3	2,002,000	-50	-59

Total: DEPT OF ENERGY	25	9,743,539	22	8,397,478	-12	-14
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HEALTH & HUMAN SVCS

PHS/CNTR DISEASE CNTL

PHS Centers For Disease Control-3158	1	108,607	0	0	-100	-100
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Total: PHS/CNTR DISEASE CNTL	1	108,607	0	0	-100	-100
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PHS/NATL INST HEALTH

NIH Alcohol Abuse And Alcoholism, National Institute Of-3171	2	360,494	1	304,429	-50	-16
NIH Allergy & Infectious Diseases, National Institute Of-3445	5	1,397,693	5	1,243,295	0	-11

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HEALTH & HUMAN SVCS

PHS/NATL INST HEALTH

NIH Biomedical Imaging And Bioengineering, Natl Institute Of (NIBIB)-4319	2	684,380	1	288,320	-50	-58
NIH Center For Scientific Review-5013	5	1,102,030	0	0	-100	-100
NIH Child Health & Human Development, National Institute Of-3465	1	315,224	7	1,041,382	600	230
NIH Deafness & Other Communication Disorders, Natl Institute On-3470	0	0	1	308,869	100	100
NIH Dental And NIH Research, National Institute Of-3475	1	453,463	0	0	-100	-100
NIH Diabetes, Digestive & Kidney Diseases, National Institute Of-3457	4	6,614,400	3	1,994,237	-25	-70
NIH Drug Abuse, National Institute Of-3172	2	613,934	5	673,421	150	10
NIH General Medical Sciences, National Institute Of-3485	12	4,614,331	17	3,655,242	42	-21
NIH Heart, Lung & Blood, National Institute Of-3435	0	0	1	626,908	100	100
NIH Misc Agencies & Depts-3401	3	1,028,049	0	0	-100	-100
NIH National Institute On Aging-3440	7	2,369,666	4	1,441,436	-43	-39
NIH Natl Cancer Institute-3425	2	494,252	1	158,369	-50	-68
NIH Natl Ctr Research Resources-V8VH	3	749,027	0	0	-100	-100
NIH Natl Eye Institute-3490	4	659,918	7	1,521,837	75	131
NIH Natl Human Genome Research Institute-3102	1	133,484	0	0	-100	-100
NIH Neurological Disorders & Stroke, Natl Institute Of-3498	1	1,185,955	1	1,154,135	0	-3
NIH Office Of The Director-F977	0	0	1	265,054	100	100

Total: PHS/NATL INST HEALTH	55	22,776,300	55	14,676,934	0	-36
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Total: HEALTH & HUMAN SVCS	56	22,884,907	55	14,676,934	-2	-36
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FEDERAL

DEPT OF INTERIOR

USDI Bureau of Land Management-4130	1	12,000	1	9,960	0	-17
USDI FishaAnd Wildlife Service-4132	2	235,118	5	398,240	150	69
USDI Geological Survey (Incl Natl Biological Service)-4190	10	2,050,304	2	837,559	-80	-59
USDI Minerals Management Service-4120	4	1,449,322	2	350,000	-50	-76
USDI National Park Service-4180	3	77,705	5	216,232	67	178

Total: DEPT OF INTERIOR	20	3,824,449	15	1,811,991	-25	-53
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DEPT OF JUSTICE

USDJ Office Of Justice Programs-4260	2	297,799	0	0	-100	-100
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Total: DEPT OF JUSTICE	2	297,799	0	0	-100	-100
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DEPT OF STATE

USDS Bureau Of Educational And Cultural Affairs-4293	1	284,813	1	274,989	0	-3
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OFF OF HUM DEV SRVS

U.S. Department of State Bureau of Democracy, Human Rights and Labor-VAA3	0	0	1	2,325,354	100	100
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Total: OFF OF HUM DEV SRVS	0	0	1	2,325,354	100	100
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Total: DEPT OF STATE	1	284,813	2	2,600,343	100	813
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FEDERAL

DEPT OF TRANSPORT

DOT Federal Highway Administration-4660	1	5,000	0	0	-100	-100
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Total: DEPT OF TRANSPORT	1	5,000	0	0	-100	-100
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NATL ARNATC & SPC AD

NASA Ames Research Center-5106	4	126,129	0	0	-100	-100
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NASA Miscellaneous Centers-5101	5	296,841	8	691,648	60	133
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NASA Shared Services Center (NSSC)-5105	26	3,809,653	22	2,945,692	-15	-23
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NASA Washington, D.C. Hq-5136	1	135,134	1	20,999	0	-84
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Total: NATL ARNATC & SPC AD	36	4,367,757	31	3,658,339	-14	-16
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NATL FND ARTS & HUM

National Endowment for the Humanities-NEH-5280	7	680,800	2	30,000	-71	-96
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NFAH Arts, National Endowment For The-5260	2	50,000	0	0	-100	-100
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NFAH Inst Of Museum And Library Services-3004	1	80,655	0	0	-100	-100
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Total: NATL FND ARTS & HUM	10	811,455	2	30,000	-80	-96
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FEDERAL					
EXECUTIVE BRANCH					
	Director Of Central Intelligence-0150	1	240,000	0	0 -100 -100
Total: EXECUTIVE BRANCH		1	240,000	0	0 -100 -100
LEGISLATIVE BRANCH					
	Library Of Congress-0223	1	83,131	0	0 -100 -100
Total: LEGISLATIVE BRANCH		1	83,131	0	0 -100 -100
OTHER AGENCIES					
	Environmental Protection Agency-3900	2	143,826	0	0 -100 -100
Total: OTHER AGENCIES		2	143,826	0	0 -100 -100
NATL SCIENCE FND					
GEOSCIENCES					
	National Science Foundation-NSF-5400	35	6,644,126	35	7,129,691 0 7
Total: GEOSCIENCES		35	6,644,126	35	7,129,691 0 7
BIOLOGICAL SCIENCES					
	National Science Foundation-NSF-5400	24	5,188,882	22	3,897,116 -8 -25
Total: BIOLOGICAL SCIENCES		24	5,188,882	22	3,897,116 -8 -25

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FEDERAL						
NATL SCIENCE FND						
ENGINEERING						
National Science Foundation-NSF-5400	14	2,323,978	12	2,376,024	-14	2
Total: ENGINEERING	14	2,323,978	12	2,376,024	-14	2
MATH & PHYSICAL SCI						
National Science Foundation-NSF-5400	51	20,346,567	28	11,560,936	-45	-43
Total: MATH & PHYSICAL SCI	51	20,346,567	28	11,560,936	-45	-43
EDUC & HUMAN RESOURCES						
National Science Foundation-NSF-5400	8	3,993,635	4	4,877,941	-50	22
Total: EDUC & HUMAN RESOURCES	8	3,993,635	4	4,877,941	-50	22
SOCIAL, BEHAV & ECON SCI						
National Science Foundation-NSF-5400	13	3,195,734	15	2,634,365	15	-18
Total: SOCIAL, BEHAV & ECON SCI	13	3,195,734	15	2,634,365	15	-18
COMP & INFO SCI & ENG						
National Science Foundation-NSF-5400	28	5,323,403	24	5,448,272	-14	2
Total: COMP & INFO SCI & ENG	28	5,323,403	24	5,448,272	-14	2
OFC OF INTEGRATIVE ACTIV						
National Science Foundation-NSF-5400	3	1,745,291	0	0	-100	-100
Total: OFC OF INTEGRATIVE ACTIV	3	1,745,291	0	0	-100	-100
OFC OF INTL SCI & ENG						
National Science Foundation-NSF-5400	3	1,165,099	3	2,341,192	0	101
Total: OFC OF INTL SCI & ENG	3	1,165,099	3	2,341,192	0	101

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FEDERAL								
NATL SCIENCE FND								
OFC OF POLAR PROGRAMS								
	National Science Foundation-NSF-5400		3	601,570	5	1,487,254	67	147
Total: OFC OF POLAR PROGRAMS			3	601,570	5	1,487,254	67	147
OFC OF CYBER INFRASTRUCTURE								
	National Science Foundation-NSF-5400		0	0	3	1,140,984	100	100
Total: OFC OF CYBER INFRASTRUCTURE			0	0	3	1,140,984	100	100
Total: NATL SCIENCE FND			182	50,528,285	151	42,893,775	-17	-15
Total: FEDERAL			494	142,874,168	424	110,237,551	-14	-23

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INDUSTRY	FY 2012: Count	Amount	FY 2013: Count	Amount	% Count	% Amount
Advanced Scientific Concepts, INC-V95B	0	0	1	23,137	100	100
Aecom USA, Inc.-F75C	1	5,450	0	0	-100	-100
Agile Materials And Technologies, Inc.-3765	1	40,000	0	0	-100	-100
Alcon Laboratories-8376	1	399,784	0	0	-100	-100
Amgen-9841	0	0	1	90,000	100	100
Aurrion, LLC.-F232	2	1,863,667	1	24,000	-50	-99
Bae Systems-4288	0	0	3	116,280	100	100
BBN Technologies Corp.-FFE7	5	891,369	5	975,968	0	9
Boeing Aerospace, Inc.-8343	1	80,000	0	0	-100	-100
Boston Fusion -V992	1	16,422	1	10,540	0	-36
Calram-V8SU	1	5,000	0	0	-100	-100
CFD Research Corporation-1791	0	0	1	39,439	100	100
Charles Stark Draper Laboratory, Inc.-7371	1	93,073	1	62,048	0	-33
Chevron Corporation-8281	0	0	2	150,000	100	100
CODAR Ocean Sensors-F3A5	1	199,992	0	0	-100	-100
Collaborative Fisheries Research-AE1B	1	25,000	0	0	-100	-100
Combined Power Cooperative-V94J	0	0	1	96,506	100	100
Conocophillips Company (Merger Of Conoco & Phillips Petroleum)-8986	1	142,100	0	0	-100	-100
Convoy Therapeutics-V8ZB	1	198,945	1	316,783	0	59
Corning Companies (Incl Corning Besselaar Inc.)-9699	2	120,000	0	0	-100	-100
Cytomx Therapeutics, Inc-F294	0	0	1	100,000	100	100
DCS Corporation-F4E4	4	143,405	5	171,433	25	20

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INDUSTRY

Denso Corporation (Japan)-3366	0	0	1	170,000	100	100
Deployable Space Systems, Inc.-V96U	1	44,593	0	0	-100	-100
Directed Vapor Technologies International, Inc.-FAEE	0	0	2	148,500	100	100
DOW Chemical Company-8386	5	1,217,744	5	2,017,936	0	66
Eisai Companies (Japan) (Incl Eisai America And Eisai London)-1131	1	9,211	1	10,422	0	13
Eli Lilly & Co. (Incl Ivac Corp, Applied Molecular Evolution, Inc.)-8429	1	228,000	1	45,000	0	-80
Endocyte-FB51	0	0	1	191,563	100	100
Exxon Mobile Corporation-8492	0	0	1	7,500	100	100
Fuji Electric America-0086	1	100,000	1	100,000	0	0
Genentech, Inc.-8485	2	95,000	0	0	-100	-100
General Electric Corp.-8498	0	0	3	200,000	100	100
General Technical Services Llc-FF32	1	450,000	0	0	-100	-100
Google Inc.-FE36	1	169,977	0	0	-100	-100
Halliburton-V9WP	0	0	1	180,806	100	100
Hewlett-Packard-8565	0	0	1	2,841,643	100	100
High Precision Devices, Inc.-F94C	1	270,196	0	0	-100	-100
Hitachi, Ltd. (Incl Hitachi America, Ltd.,medical Corp.) (Japan)-7107	0	0	1	56,280	100	100
Honeywell, Inc. (Includes Sperry Flight & Tetrattech Data Systems, Inc)-8572	1	375,721	2	473,599	100	26
Hypersolar-V8PM	1	124,727	0	0	-100	-100
Intel Corporation-8635	7	670,000	3	300,000	-57	-55
Lilly Research Laboratories-8746	0	0	1	226,015	100	100
Lockheed Martin Corporation (Incl Orincon)-8752	3	86,652	0	0	-100	-100

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Meat and Livestock Australia Limited-V8XZ	1	418,045	0	0	-100	-100
MedImmune, Inc.-1677	1	487,597	1	446,426	0	-8
MicroXact, Inc.-F4EA	0	0	1	369,555	100	100
Mitsubishi Group (Japan)-7752	9	1,310,000	7	1,230,000	-22	-6
National Security Technologies, Llc-FF96	0	0	2	30,000	100	100
Nec Corporation (Japan) (Incl Nec Electronics & Nec Usa)-7346	1	120,000	0	0	-100	-100
Northrop Grumman Corporation (Incl Logicon Inc, Xetron Corporation)-8941	2	250,000	5	303,331	150	21
Physical Sciences Inc.-3878	1	60,000	0	0	-100	-100
Pratt & Whitney-F688	5	364,500	4	350,000	-20	-4
Questek Innovations-V8WN	0	0	2	45,000	100	100
Raytheon Applied Signal Technology, Inc. (Sunnyvale, Ca)-7440	1	75,000	1	21,000	0	-72
Rockwell Collins, Inc.-FFD2	1	100,000	0	0	-100	-100
ROLLS-ROYCE-F1AF	1	158,730	1	250,000	0	58
Sanofi-Aventis-1989	0	0	1	969,158	100	100
Science Applications Intl Corporation (Saic) (Incl Telcordia Technol)-9094	2	190,001	0	0	-100	-100
Sebacia-V8XC	1	126,040	1	106,743	0	-15
Siemens (Incl U.S. Subsidiaries) (Germany)-7076	1	50,000	0	0	-100	-100
Simpson And Simpson Business And Personnel Services, Inc.-1811	3	3,409,092	1	44,459	-67	-99
Smart Mobility Inc.-V9G6	0	0	1	50,000	100	100
Southern Calif Gas Company-9978	0	0	1	7,500	100	100
Synaptics-V8IV	1	1,567	0	0	-100	-100

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	FY 2012: Count	Amount	FY 2013: Count	Amount	% Count % Amount
INDUSTRY					
Teledyne (Incl Electronic Tech, Microwave, Adv Materials, Relay, Etc)-9201	6	1,199,989	8	964,932	33 -20
Third Wave Technologies, Inc.-4801	0	0	1	187,007	100 100
Toyon Research Corporation-2884	2	37,592	3	153,638	50 309
Transphorm, Inc.-FC9A	1	150,000	1	188,000	0 25
UES, Inc.-5810	1	25,000	0	0	-100 -100
Vibrant-V9HX	0	0	1	111,341	100 100
Ziva Corporation-6954	1	183,750	1	183,750	0 0
Total: INDUSTRY	92	16,782,931	93	15,157,238	1 -10

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STATE AND LOCAL						

Cal Child Development Division-FA35	1	3,504	0	0	-100	-100
Cal Coastal Conservancy-6524	1	250,000	0	0	-100	-100
Cal Department Of Education-6376	9	407,298	13	391,274	44	-4
Cal Department Of Education-6372	1	3,913	1	7,959	0	103
Cal Department Of Fish and Wildlife-6530	0	0	1	1,412,000	100	100
Cal Department Of Transportation-6696	1	350,000	0	0	-100	-100
Cal EPA Air Resources Board-6533	1	48,000	0	0	-100	-100
Cal EPA Water Control Board-6555	1	40,000	4	547,000	300	1,268
Cal H&W Public Health, Department of (CDPH)-FD71	1	796,013	0	0	-100	-100
Cal H&W Rehabilitation, Department Of-6480	0	0	2	300,000	100	100
Cal Institute For Regenerative Medicine (Cirm)-8530	5	7,219,867	0	0	-100	-100
California Energy Commission-6521	1	600,000	1	49,898	0	-92
City of Avalon-V98D	0	0	1	21,109	100	100
Department of Resources Recycling and Recovery (CalRecycle)-F454	1	615,934	1	148,734	0	-76
Metropolitan Water Dist Of So Calif-6907	0	0	2	20,000	100	100
Orange County Sanitation District-1190	0	0	1	98,846	100	100
Santa Barbara County Education Office-V8Z8	1	750	1	750	0	0
Santa Barbara, County of-6994	16	672,374	9	482,127	-44	-28
Santa Ynez Band Of Chumash Indians-FFC5	0	0	1	16,628	100	100
Southern Calif Association Of Governments-7006	3	610,085	1	80,000	-67	-87
Southern Calif Coastal Water Research Proj Auth, A Public Agency-7426	1	29,801	0	0	-100	-100
Southern Calif Wetlands Recovery Project-6568	0	0	1	29,996	100	100

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	FY 2012: Count	Amount	FY 2013: Count	Amount	% Count	% Amount
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STATE AND LOCAL

State of California-6391	1	6,000	1	9,000	0	50
Yucaipa Valley Water District-V9P9	0	0	1	20,115	100	100

Total: STATE AND LOCAL	45	11,653,539	42	3,635,436	-7	-69
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UC						

UC Berkeley-UCB-6761	2	277,000	2	547,665	0	98
UC California Science Project-6740	3	60,976	1	24,298	-67	-60
UC California Writing Project-6727	2	67,468	2	56,535	0	-16
UC Cancer Research Coordinating Committee-6728	2	105,000	2	110,000	0	5
UC Center For Water Resources-4803	0	0	1	459,276	100	100
UC Davis-6763	2	240,310	3	233,181	50	-3
UC Directed Research and Development-6750	1	200,000	0	0	-100	-100
UC DISCOVERY GRANT-BIOTECHNOLOGY-F5A4	2	109,336	0	0	-100	-100
UC GLOBAL HEALTH INSITUTE-V800	1	8,499	0	0	-100	-100
UC Humanities Research Institute-3955	1	20,000	4	35,000	300	75
UC Inst On Global Conflict & Cooperation-6731	0	0	1	20,000	100	100
UC Irvine-6772	9	610,376	10	1,891,864	11	210
UC Lab Fees Research Program-F8F4	0	0	1	522,007	100	100
UC Links (University-Community Links)-6755	2	23,000	2	22,000	0	-4
UC Los Angeles-6764	10	2,779,779	9	2,023,372	-10	-27
UC Merced-6777	1	88,625	3	353,262	200	299
UC MESA-6703	7	271,625	2	160,000	-71	-41
UC MEXUS-6732	11	77,473	3	41,036	-73	-47
UC Office Of The President-6773	5	944,369	6	930,167	20	-2
UC Pacific Rim Research Program-6734	2	68,447	3	37,840	50	-45
UC Riverside-6765	1	89,615	2	34,000	100	-62
UC San Diego-6778	5	235,823	5	452,853	0	92
UC Santa Cruz-6769	3	336,012	4	182,372	33	-46

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UC					
	9	1,246,329	2	235,252	-78 -81
UC Sea Grant College Program-6735	8	317,754	0	0	-100 -100
UC Transportation Center (Berkeley)-6768					
Total: UC	89	8,177,816	68	8,371,980	-24 2

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NOT PROFIT									

Alfred P. Sloan Foundation-8020	1	50,000	1	50,000	0	0
Alice Tweed Tuohy Foundation-8065	0	0	1	40,000	100	100
American Chemical Society-8036	3	300,000	2	200,000	-33	-33
American Cncl Of Learned Societies-8042	1	65,000	4	142,320	300	119
American Health Assistance Foundation-8330	1	100,000	0	0	-100	-100
American Psychological Association (Incl Amer Psychological Foundation-7687	2	2,000	0	0	-100	-100
Andrew W. Mellon Foundation (The)-8107	1	111,000	3	653,300	200	489
Andy Warhol Foundation For Visual Arts, Inc.-7530	1	60,000	0	0	-100	-100
Arcadia Fund-FE18	0	0	2	45,465	100	100
Argonne National Laboratory-F6CB	3	71,985	2	30,050	-33	-58
Arnold And Mabel Beckman Foundation-1015	0	0	1	57,900	100	100
Assn for Asian Studies, Inc.-0355	0	0	1	5,000	100	100
Australia, Government Of-5551	2	186,474	0	0	-100	-100
Autism Science Foundation-XXYS	1	25,000	0	0	-100	-100
Autism Speaks (Frmly Nat'l Alliance For Autism Research)-0736	0	0	1	29,500	100	100
Battelle Memorial Inst (Incl Battelle Energy Alliance, Idaho Natl Lab)-8143	4	2,199,607	0	0	-100	-100
Bill & Melinda Gates Foundation-3243	1	100,000	0	0	-100	-100
Brain and Behavior Research Foundation-7298	1	15,000	0	0	-100	-100
Burroughs Wellcome Fund (The) (Fdn Of Glaxo Wellcome Inc) (Gr Britain)-8185	1	200,000	1	200,000	0	0
Cal Department Of Water Resources-6550	0	0	1	49,960	100	100
Calif Redwood Assn (Save The Redwoods League, Sf,ca)-8224	1	14,320	0	0	-100	-100

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NOT PROFIT			

Calif Wildlife Foundation-5022	1	25,000	0	0	-100	-100
California Sea Urchin Commission-FF3D	0	0	1	7,000	100	100
Camille & Henry Dreyfus Foundation-8236	0	0	1	75,000	100	100
Cancer Center Of Santa Barbara (Frmly Cancer Fdn Of Santa Barbara)-2362	1	50,000	0	0	-100	-100
Center For Cultural Judaism, The-5971	2	51,072	0	0	-100	-100
Centro de Investigación en Materiales Avanzados (Mexico)-F3A3	1	62,500	1	62,500	0	0
Chiang Ching-Kuo Fdn For Intl Scholarly Exchange (Taiwan)-7742	2	35,376	0	0	-100	-100
Conservation International Foundation-7907	3	255,655	2	994,237	-33	289
Consortium for Ocean Leadership-0458	2	45,158	0	0	-100	-100
Council On Library Resources-8346	1	183,500	0	0	-100	-100
Curepsp-V9GK	0	0	1	75,000	100	100
Curtiss T. Brennan & Mary G. Brennan Foundation, Inc.-2794	0	0	1	5,000	100	100
David And Lucile Packard Foundation (The)-9072	1	82,000	1	875,000	0	967
Doheny Eye Institute-F733	0	0	1	100,000	100	100
Ecological Society Of America (ESA)-FD26	1	149,920	0	0	-100	-100
Electric Power Research Institute-8427	4	100,596	2	46,291	-50	-54
Etant Donnes (French-American Endowment For Contemporary Art) (France)-0291	1	4,500	0	0	-100	-100
Fermi Research Alliance, Llc (Fra) (Incl Fermilab-Doe Goco)-FEB7	0	0	3	129,125	100	100
Foundational Questions In Physics And Cosmology Institute-FF5D	0	0	1	11,500	100	100
Freeman Foundation, (The)-2167	0	0	1	76,950	100	100
Fund For Santa Barbara, Inc.-8477	1	10,000	0	0	-100	-100

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NOT PROFIT						

GE Fund (Frmly General Electric Foundation)-8500	0	0	1	8,000	100	100
Gila Watershed Partnership-V9ZY	0	0	1	83,500	100	100
Goleta Valley Land Trust-F37D	1	36,000	0	0	-100	-100
Good Samaritan Shelter-V9D2	0	0	1	50,650	100	100
Gordon And Betty Moore Foundation-3954	3	1,799,236	3	3,423,783	0	90
Greater Cincinnati Foundation-V9ZO	0	0	1	5,800	100	100
Howard Hughes Medical Institute-9953	1	250,000	1	250,000	0	0
Human Frontier Science Program Organization (Intl)-7823	1	80,000	2	204,970	100	156
Industrial Technology Research Institute (Taiwan)-3714	1	50,000	1	50,000	0	0
Intl Fdn for Research in Experimental Economics (IFREE)-FC09	0	0	1	6,975	100	100
Intl Fine Particle Research Institute, Inc. (Ifpri)(Intl)-5967	1	3,600	0	0	-100	-100
Intl Potato Center (Cip)(Lima, Peru)-3208	0	0	2	300,000	100	100
Intl Retinal Research Foundation, Inc.-FB01	1	57,100	1	10,000	0	-82
J. Paul Getty Trust, The (Incl. The Getty Foundation)-9570	3	178,014	1	125,000	-67	-30
Jack Kent Cooke Foundation-FF72	1	148,126	1	301,862	0	104
James Irvine Foundation-1526	0	0	1	150,000	100	100
Japan Foundation (The)-8666	1	118,745	1	118,976	0	0
Jet Propulsion Laboratory-JPL-8668	10	617,465	13	851,138	30	38
John Templeton Foundation-0644	2	2,998,320	1	200,000	-50	-93
Larry L. Hillblom Foundation, The-4160	1	183,787	2	367,566	100	100
Los Alamos National Security, LLC-FFDD	2	625,000	2	170,000	0	-73
Marisla Foundation (Frmly Homeland Foundation)-7849	1	175,000	1	175,000	0	0
Mathematical Association Of America, Inc.-7258	0	0	1	3,000	100	100

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NOT PROFIT						

Max-Planck-Institut Fur Extraterrestrische Physik (Germany)-0191	1	20,991	0	0	-100	-100
MORGRIDGE INSTITUTE FOR RESEARCH-V8Z7	2	268,134	0	0	-100	-100
National Geographic Society-8902	0	0	1	14,172	100	100
Natl Cncl Of Teachers Of English Researc-8887	0	0	1	9,970	100	100
Natl Research Council-8913	2	10,000	1	12,000	-50	20
Natl Writing Project Corporation-Nwp-1126	2	40,000	2	40,000	0	0
Nature Conservancy-7262	1	0	1	83,120	0	100
Oak Ridge Natl Lab-5080	5	294,423	3	285,705	-40	-3
Ocean Conservancy-V8JF	1	355,488	0	0	-100	-100
Organization for Autism Research, Inc.-6130	1	29,296	0	0	-100	-100
Pacific Institute For Research & Eval (Incl Prevention Resch Ctr)-1372	1	29,561	1	16,124	0	-45
Pacific Pioneer Fund-F1D4	1	8,000	0	0	-100	-100
Prince William Sound Science Center (Incl Oil Spill Recovery Inst)-2331	1	382,409	1	426,339	0	11
Rare-V9LG	0	0	1	35,000	100	100
Research Corporation for Science Advancement-9028	0	0	1	25,000	100	100
Research Triangle Institute (Aka Rti International)-9796	1	165,000	0	0	-100	-100
Resources Legacy Fund Foundation-4951	2	99,989	0	0	-100	-100
Robert W. Deutsch Foundation-F159	1	200,000	1	250,000	0	25
Robert Wood Johnson Foundation (The)-8692	2	1,509,605	0	0	-100	-100
Russell Sage Foundation-9060	1	60,000	0	0	-100	-100
Sanford-Burnham Medical Research Institute-2168	2	100,000	2	100,000	0	0
Sansum Diabetes Research Institute-FE67	2	230,213	5	260,445	150	13

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NOT PROFIT						

Santa Barbara Cottage Hospital-7841	4	57,500	4	57,500	0	0
Santa Barbara Foundation-0751	5	381,328	3	201,842	-40	-47
Scholarship Foundation of Santa Barbara -F43E	1	30,000	0	0	-100	-100
Semiconductor Research Corporation-9102	9	1,573,731	7	1,296,596	-22	-18
Shelley And Donald Rubin Foundation (The)-0032	1	30,000	0	0	-100	-100
Sierra Business Council-V9A2	0	0	1	56,000	100	100
Sigma XI, The Scientific Research Society-1214	0	0	1	2,500	100	100
Simons Foundation-FE2E	2	2,630,349	0	0	-100	-100
Spencer Foundation-9154	0	0	2	59,600	100	100
Sri International (Incl Sarnoff Corporation)-9159	3	432,115	2	53,320	-33	-88
Swiss Federal Inst Of Technology-DbA Eth (Switzerland)-7257	1	71,718	1	29,325	0	-59
The Allergan Foundation-VACB	0	0	1	1,000	100	100
The Coral Reef Alliance (CORAL) -V9F8	0	0	1	173,652	100	100
The Fledgling Fund-V8T4	1	12,500	0	0	-100	-100
The Huntington Library-FC4C	1	50,000	0	0	-100	-100
The Junior League of Santa Barbara, Inc.-V8WZ	1	1,800	0	0	-100	-100
Truckee River Watershed Council-F3BE	1	88,200	0	0	-100	-100
U.S.-Israel Binat'l Science Foundation (Bsf) (Intl)-7350	3	47,161	3	54,015	0	15
UC Lawrence Berkeley Laboratory-6760	2	165,500	3	337,674	50	104
United Negro College Fund-0420	2	72,500	0	0	-100	-100
United Soybean Board/Smith Bucklin & Assoc., L.L.C.-0566	0	0	2	159,165	100	100
Univeristy Industry Research Corporation-V9BA	0	0	2	124,000	100	100
Verizon Foundation-9509	1	50,000	0	0	-100	-100

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NOT PROFIT					

Waitt Family Foundation-4187	1	500,000	1	500,000	0	0
Walton Family Foundation, Inc.-FF5A	1	217,665	0	0	-100	-100
Wested (Frmly Far West Laboratory)-8214	1	30,300	0	0	-100	-100
William T. Grant Foundation-8514	1	305,019	0	0	-100	-100
Woodrow Wilson National Fellowship Foundation (The)-7591	1	590	1	600	0	2

Total: NOT PROFIT	147	22,427,141	131	15,481,982	-11	-31
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HIGHER ED						

Assn Of Univ For Res Astronomy (Aka Space Teles Sci Inst)-9896	6	298,065	8	356,730	33	20
Baylor College Of Medicine (Houston,tx)-7275	1	17,274	1	17,274	0	0
Boston University-8166	1	87,500	1	29,317	0	-66
Calif Institute Of Technology-Cal Tech-8218	3	283,298	2	286,000	-33	1
CALIFORNIA STATE UNIVERSITY, EAST BAY FOUNDATION-2789	1	58,580	0	0	-100	-100
Carnegie Institution-9430	3	16,000	3	33,000	0	106
Carnegie Mellon University-8351	1	89,743	1	396,000	0	341
Case Western Reserve University-1479	1	49,950	0	0	-100	-100
Colorado School Of Mines-7516	1	68,277	1	70,664	0	3
Colorado State University-8304	1	321,967	2	589,126	100	83
Copenhagen University (Incl Copenhagen University Hospital) (Denmark)-3742	0	0	1	250,000	100	100
Cornell University-8329	7	1,462,774	7	749,030	0	-49
CSU Channel Islands/California State University Channel Islands - F3DB	3	8,000	3	12,000	0	50
Duke University (Incl Duke Clinical Research Institute)-8394	2	396,406	1	118,925	-50	-70
Georgia Institute Of Technology-8513	4	600,842	7	645,133	75	7
Harvard University (The President and Fellows of Harvard College)-8537	1	360,000	0	0	-100	-100
Jackson State University-2652	0	0	1	97,464	100	100
Johns Hopkins University-8688	1	115,831	4	328,500	300	184
Massachusetts Institute Of Technology-MIT-8794	2	125,000	1	50,000	-50	-60
McNeese State University-V98Y	0	0	1	41,398	100	100
Northeastern University-0984	1	4,401	4	195,697	300	4,347

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HIGHER ED						

Oregon State University-8956	1	188,910	3	325,944	200	73
Penn State University (Incl Penn State Research Foundation)-8979	2	108,126	1	97,256	-50	-10
Princeton University-9003	1	165,000	1	83,293	0	-50
Purdue University-9010	5	537,772	4	466,076	-20	-13
Rutgers University-9062	1	220,625	0	0	-100	-100
San Diego State University Foundation-9076	2	117,850	0	0	-100	-100
San Francisco State University Foundation, Inc.-1150	0	0	1	51,917	100	100
Society For The Study Of School Psychology (SSSP)-V8V0	1	16,997	0	0	-100	-100
Stanford University-9162	0	0	2	343,529	100	100
Univeristy of St. Thomas-V8MX	0	0	1	77,674	100	100
Universitat ULM (Germany)-6182	0	0	1	177,908	100	100
Universities Research Association (Operates Fermilab)-9463	3	368,226	3	431,039	0	17
University Of Arizona-9256	1	26,453	5	246,964	400	834
University Of Illinois-9264	2	207,309	4	428,659	100	107
University of Kansas (Incl KU Medical Center)-9266	1	216,425	1	224,160	0	4
University Of Luxembourg-V8M6	1	3,915	0	0	-100	-100
University Of Maryland-9273	3	200,081	2	227,748	-33	14
University Of Miami-9184	1	118,817	1	122,863	0	3
University Of Michigan (Incl William Davidson Institute)-9268	0	0	1	48,069	100	100
University Of Minnesota-9281	9	847,072	4	598,557	-56	-29
University Of Mississippi-9404	1	348,497	1	228,649	0	-34
University Of Nebraska-9269	1	61,577	0	0	-100	-100
University Of New Mexico-9598	2	504,120	1	602,694	-50	20

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REPORT DATE: 7/10/2013

Parameters:			Report Totals:	Count:	Amount:
Fiscal Year:	2013		FY 2013:	881	164,889,989
Dates: (Award Date)	7/1/2012	To: 6/30/2013	FY 2012:	985	216,100,214
			Percent Change:	-11	- 24

	FY 2012: Count	Amount	FY 2013: Count	Amount	% Count	% Amount
HIGHER ED						

University Of North Carolina at Chapel Hill-9285	1	13,688	0	0	-100	-100
University Of Notre Dame (Incl Gem)-9363	1	71,425	2	431,448	100	504
University Of Pennsylvania-9293	3	249,548	2	81,905	-33	-67
University Of Pittsburgh-9270	2	650,000	2	575,000	0	-12
University Of South Carolina-0136	1	50,000	2	55,000	100	10
University of Southern California (Incl Kenneth Norris, Jr Cancer Ctr)-9272	6	1,057,493	17	593,565	183	-44
University Of Texas-Austin-9278	0	0	1	91,539	100	100
University Of Texas-El Paso-3925	1	78,779	1	80,650	0	2
University Of Tromso (Norway)-1316	1	36,000	0	0	-100	-100
University Of Virginia-9274	7	586,765	1	209,813	-86	-64
University Of Washington-9276	9	742,097	4	249,900	-56	-66
University Of Wisconsin-9277	1	199,813	0	0	-100	-100
Ventura County Community College District-FC9B	1	1,100,245	0	0	-100	-100
Washington University, (St. Louis, Mo)-9419	4	572,180	3	514,211	-25	-10
Wichita State University-1910	1	31,243	1	20,000	0	-36
Woods Hole Oceanographic Institution-9334	1	123,663	1	53,514	0	-57

Total: HIGHER ED	118	14,184,619	123	12,005,802	4	-15
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Fiscal Year:	2013			FY 2013:	881	164,889,989			
Dates: (Award Date)	7/1/2012	To:	6/30/2013	FY 2012:	985	216,100,214			
				Percent Change:	-11	- 24			
				FY 2012: Count	Amount	FY 2013: Count	Amount	% Count	% Amount