

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/15/2014

Parameters:	Report Totals:	Count:	Amount:
Fiscal Year: 2014	FY 2014:	934	208,882,613
Dates: (Award Date) 7/1/2013 To: 6/30/2014	FY 2013:	882	164,645,131
	Percent Change:	6	27

	FY 2013: Count	Amount	FY 2014: Count	Amount	% Count	% Amount
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FEDERAL

DEPT OF AGRICULTURE

USDA Department of Agriculture 0350	1	71,497	0	0	-100	-100
USDA Forest Service 0300	2	405,003	0	0	-100	-100
USDA National Institute for Food and Agriculture 0450	1	99,930	0	0	-100	-100
USDA Rocky Mountain Forest and Range Experiment Station 0326	2	39,999	0	0	-100	-100

Total: DEPT OF AGRICULTURE	6	616,429	0	0	-100	-100
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DEPT OF COMMERCE

COM Economic Development Administration 0830	1	201,295	1	178,721	0	-11
COM National Institute Of Standards And Technology (NIST) 0800	0	0	1	51,436	100	100
COM National Oceanic And Atmospheric Administration 0770	1	134,840	1	99,999	0	-26
COM National Telecommunications And Information Administration 2535	1	50,000	0	0	-100	-100

Total: DEPT OF COMMERCE	3	386,135	3	330,156	0	-14
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DEPT OF DEFENSE

DEPT OF AIR FORCE

DAF Air Force Misc Bases & Agencies 2499	0	0	4	538,505	100	100
DAF Air Force Research Laboratory 2544	1	462,917	3	1,025,909	200	122
DAF Asian Office Of Aerospace Research And Development V8LR	1	269,309	0	0	-100	-100
DAF Office Of Scientific Research 2416	6	3,880,626	11	3,206,689	83	-17

Total: DEPT OF AIR FORCE	8	4,612,852	18	4,771,103	125	3
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FEDERAL

DEPT OF DEFENSE

DEPT OF ARMY

DA Army Engineers/Vicksburg District, Corps Of FE3B	1	50,857	1	54,036	0	6
DA Army Materiel Command/Hq 2650	2	87,109	0	0	-100	-100
DA Army Misc Bases And Agencies 2799	2	691,147	5	1,467,257	150	112
DA Army Research Development and Engineering Command F322	1	125,000	1	125,000	0	0
DA Army Research, Durham, N.C. Office (AROD) 2775	47	15,292,732	46	24,948,426	-2	63
DA Army Research, Wash D.C. Office 2610	3	2,660,833	3	2,869,414	0	8
DA Natick Contracting Division (Natick Laboratories) 2755	1	219,958	0	0	-100	-100
Total: DEPT OF ARMY	57	19,127,636	56	29,464,133	-2	54

DEPT OF NAVY

DN Naval Postgraduate School 2944	2	129,580	1	80,000	-50	-38
DN Navy Misc Bases & Agencies 2999	0	0	2	140,482	100	100
DN Office Of Naval Research (ONR) 2888	54	7,887,503	68	9,319,538	26	18
DN Space & Naval Warfare Systems Command 2862	2	770,000	2	1,406,378	0	83
Total: DEPT OF NAVY	58	8,787,083	73	10,946,398	26	25

SEPARATE AGENCIES

DOD Advanced Research Projects Agency (DARPA) 2025	3	1,031,885	3	490,000	0	-53
DOD Defense Threat Reduction Agency 2226	1	350,001	0	0	-100	-100
DOD National Geospatial Intelligence Agency,nga(Frmly Imagery&Mapping) 2250	2	134,386	0	0	-100	-100
DOD National Security Agency 2330	0	0	1	43,870	100	100
Total: SEPARATE AGENCIES	6	1,516,272	4	533,870	-33	-65

Total: DEPT OF DEFENSE	129	34,043,843	151	45,715,504	17	34
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FEDERAL

DEPT OF EDUCATION

ED Miscellaneous Agencies 3099	3	177,688	4	173,926	33	-2
ED Special Education & Rehabilitation Servs, Assistant Secretary For 3090	3	815,464	2	693,498	-33	-15

Total: DEPT OF EDUCATION	6	993,152	6	867,424	0	-13
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DEPT OF ENERGY

DOE ADVANCED RESEARCH PROJECTS AGENCY-ENERGY (ARPA-E) F47D	1	1,599,974	2	2,584,791	100	62
DOE Chicago Field Office 5063	3	800,000	1	500,000	-67	-38
DOE Idaho Operations Office 5065	0	0	1	155,000	100	100
DOE Miscellaneous Offices And Programs 5099	15	3,995,504	16	4,147,000	7	4
DOE Washington, D.C. 5090	3	2,002,000	1	191,000	-67	-90

Total: DEPT OF ENERGY	22	8,397,478	21	7,577,791	-5	-10
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HEALTH & HUMAN SVCS

PHS/NATL INST HEALTH

NIH Alcohol Abuse And Alcoholism, National Institute Of 3171	1	304,429	2	337,590	100	11
NIH Allergy & Infectious Diseases, National Institute Of 3445	5	1,243,295	10	1,715,096	100	38
NIH Biomedical Imaging And Bioengineering, Natl Institute Of (NIBIB) 4319	1	288,320	4	1,277,811	300	343
NIH Child Health & Human Development, National Institute Of 3465	7	1,041,382	7	1,331,417	0	28
NIH Deafness & Other Communication Disorders, Natl Institute On 3470	1	308,869	1	326,188	0	6

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FEDERAL

HEALTH & HUMAN SVCS

PHS/NATL INST HEALTH

NIH Dental And NIH Research, National Institute Of 3475	0	0	1	496,954	100	100
NIH Diabetes, Digestive & Kidney Diseases, National Institute Of 3457	3	1,994,237	6	4,488,379	100	125
NIH Drug Abuse, National Institute Of 3172	5	673,421	2	648,667	-60	-4
NIH General Medical Sciences, National Institute Of 3485	17	3,655,242	21	4,725,918	24	29
NIH Heart, Lung & Blood, National Institute Of 3435	1	626,908	1	571,718	0	-9
NIH National Institute On Aging 3440	4	1,441,436	5	1,975,854	25	37
NIH Natl Cancer Institute 3425	1	158,369	1	34,027	0	-79
NIH Natl Ctr Research Resources V8VH	0	0	2	519,615	100	100
NIH Natl Eye Institute 3490	7	1,521,837	6	1,517,143	-14	0
NIH Neurological Disorders & Stroke, Natl Institute Of 3498	1	1,154,135	1	1,089,219	0	-6
NIH Office Of The Director F977	1	265,054	2	315,606	100	19

Total: PHS/NATL INST HEALTH	55	14,676,934	72	21,371,202	31	46
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Total: HEALTH & HUMAN SVCS	55	14,676,934	72	21,371,202	31	46
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DEPT OF INTERIOR

USDI Bureau of Land Management 4130	1	9,960	0	0	-100	-100
USDI FishaAnd Wildlife Service 4132	5	398,240	3	192,950	-40	-52
USDI Geological Survey (Incl Natl Biological Service) 4190	2	837,559	11	659,624	450	-21
USDI Minerals Management Service 4120	2	350,000	5	847,188	150	142
USDI National Park Service 4180	5	216,262	3	114,337	-40	-47

Total: DEPT OF INTERIOR	15	1,812,021	22	1,814,099	47	0
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FEDERAL

DEPT OF STATE

USDS Bureau Of Educational And Cultural Affairs 4293	1	274,989	1	279,388	0	2
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OFF OF HUM DEV SRVS

U.S. Department of State Bureau of Democracy, Human Rights and Labor VAA3	1	2,325,354	0	0	-100	-100
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Total: OFF OF HUM DEV SRVS	1	2,325,354	0	0	-100	-100
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Total: DEPT OF STATE	2	2,600,343	1	279,388	-50	-89
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NATL ARNATC & SPC AD

NASA George C. Marshall Space Flight Center 5112	0	0	1	50,000	100	100
NASA Goddard Space Flight Center 5118	0	0	2	207,808	100	100
NASA Miscellaneous Centers 5101	8	691,648	16	1,148,914	100	66
NASA Office of the Chief Technologist V8PU	0	0	3	201,000	100	100
NASA Shared Services Center (NSSC) 5105	22	2,945,692	17	2,261,713	-23	-23
NASA Washington, D.C. Hq 5136	1	20,999	2	321,458	100	1,431

Total: NATL ARNATC & SPC AD	31	3,658,339	41	4,190,893	32	15
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NATL FND ARTS & HUM

National Endowment for the Humanities-NEH 5280	2	30,000	3	69,153	50	131
NFAH Arts, National Endowment For The 5260	0	0	3	80,000	100	100
NFAH Inst Of Museum And Library Services 3004	0	0	1	99,374	100	100

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FEDERAL						
Total: NATL FND ARTS & HUM	2	30,000	7	248,527	250	728
LEGISLATIVE BRANCH						
Library Of Congress 0223	0	0	1	80,000	100	100
Total: LEGISLATIVE BRANCH	0	0	1	80,000	100	100
OTHER AGENCIES						
Environmental Protection Agency 3900	0	0	2	4,922,644	100	100
Total: OTHER AGENCIES	0	0	2	4,922,644	100	100
NATL SCIENCE FND						
GEOSCIENCES						
National Science Foundation-NSF 5400	35	7,129,691	26	5,165,723	-26	-28
Total: GEOSCIENCES	35	7,129,691	26	5,165,723	-26	-28
BIOLOGICAL SCIENCES						
National Science Foundation-NSF 5400	22	3,897,116	16	1,977,043	-27	-49
Total: BIOLOGICAL SCIENCES	22	3,897,116	16	1,977,043	-27	-49
ENGINEERING						
National Science Foundation-NSF 5400	12	2,163,645	18	3,395,094	50	57
Total: ENGINEERING	12	2,163,645	18	3,395,094	50	57

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FEDERAL

NATL SCIENCE FND

MATH & PHYSICAL SCI

National Science Foundation-NSF 5400	28	11,560,936	50	22,234,624	79	92
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Total: MATH & PHYSICAL SCI	28	11,560,936	50	22,234,624	79	92
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EDUC & HUMAN RESOURCES

National Science Foundation-NSF 5400	4	4,877,941	4	7,468,673	0	53
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Total: EDUC & HUMAN RESOURCES	4	4,877,941	4	7,468,673	0	53
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SOCIAL, BEHAV & ECON SCI

National Science Foundation-NSF 5400	15	2,633,665	8	2,468,570	-47	-6
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Total: SOCIAL, BEHAV & ECON SCI	15	2,633,665	8	2,468,570	-47	-6
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COMP & INFO SCI & ENG

National Science Foundation-NSF 5400	24	5,448,272	22	4,889,084	-8	-10
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Total: COMP & INFO SCI & ENG	24	5,448,272	22	4,889,084	-8	-10
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OFC OF DIRECTOR

National Science Foundation-NSF 5400	1	58,354	0	0	-100	-100
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Total: OFC OF DIRECTOR	1	58,354	0	0	-100	-100
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OFC OF INTL SCI & ENG

National Science Foundation-NSF 5400	3	2,341,192	1	100,000	-67	-96
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Total: OFC OF INTL SCI & ENG	3	2,341,192	1	100,000	-67	-96
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OFC OF POLAR PROGRAMS

National Science Foundation-NSF 5400	5	1,487,254	4	2,540,109	-20	71
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Total: OFC OF POLAR PROGRAMS	5	1,487,254	4	2,540,109	-20	71
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FEDERAL								
NATL SCIENCE FND								
OFC OF CYBER INFRASTRUCTURE								
	National Science Foundation-NSF 5400		2	1,082,630	0	0	-100	-100
Total: OFC OF CYBER INFRASTRUCTURE			2	1,082,630	0	0	-100	-100
Total: NATL SCIENCE FND			151	42,680,696	149	50,238,920	-1	18
Total: FEDERAL			422	109,895,370	476	137,636,548	13	25

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INDUSTRY

Advanced Scientific Concepts, INC V95B	1	23,137	1	40,000	0	73
Amgen 9841	1	90,000	0	0	-100	-100
Angstrom Designs Engrg Servs V9XZ	0	0	1	37,500	100	100
Aramco Services Company 8108	0	0	1	1,352,888	100	100
Aurora Group (The) 2096	0	0	1	35,000	100	100
Aurrion, LLC. F232	1	24,000	3	832,396	200	3,368
Australian Museum VBQD	0	0	1	9,654	100	100
Bae Systems 4288	3	116,280	1	308,877	-67	166
BBN Technologies Corp. FFE7	5	975,968	5	1,143,092	0	17
Biosolar VC08	0	0	1	139,617	100	100
Boston Fusion V992	1	10,540	0	0	-100	-100
Bristol-Myers Squibb Company (Incl Xomed-Treace, Apothecon) 8420	0	0	1	50,000	100	100
Carbon Sciences, Inc. VC88	0	0	1	387,730	100	100
CFD Research Corporation 1791	1	39,439	1	58,562	0	48
Charles Stark Draper Laboratory, Inc. 7371	1	62,048	0	0	-100	-100
Chevron Corporation 8281	2	150,000	1	100,000	-50	-33
Combined Power Cooperative V94J	1	96,506	0	0	-100	-100
Convoy Therapeutics V8ZB	1	316,783	0	0	-100	-100
Coopervision, Inc. 8335	0	0	1	217,080	100	100
Cytomx Therapeutics, Inc F294	1	100,000	0	0	-100	-100
DCS Corporation F4E4	5	171,433	4	151,082	-20	-12
Denso Corporation (Japan) 3366	1	170,000	1	215,000	0	26
Deployable Space Systems, Inc. V96U	0	0	1	200,000	100	100

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Directed Vapor Technologies International, Inc. FAEE	2	148,500	0	0	-100	-100
DOW Chemical Company 8386	5	2,017,936	5	1,667,972	0	-17
Dynantis Corporation VBRO	0	0	1	50,000	100	100
Eisai Companies (Japan) (Incl Eisai America And Eisai London) 1131	1	10,422	2	1,375,549	100	13,099
Eli Lilly & Co. (Incl Ivac Corp, Applied Molecular Evolution, Inc.) 8429	1	45,000	1	45,000	0	0
Endocyte FB51	1	191,563	0	0	-100	-100
Exxon Mobil Upstream Research Company VA33	0	0	1	200,000	100	100
Exxon Mobile Corporation 8492	1	7,500	0	0	-100	-100
Freedom Photonics Llc FD2A	0	0	2	63,388	100	100
Fuji Electric America 0086	1	100,000	0	0	-100	-100
Genentech, Inc. 8485	0	0	2	128,028	100	100
General Dynamics Corporation (Incl Aerospace,marine,combat,&Info Syst) 8496	0	0	3	90,000	100	100
General Electric Corp. 8498	3	200,000	1	50,000	-67	-75
Google Inc. FE36	0	0	1	170,434	100	100
Great Lakes Exploration VAGH	0	0	1	31,915	100	100
Halliburton V9WP	1	180,806	1	150,797	0	-17
Hewlett-Packard 8565	1	2,841,643	0	0	-100	-100
Hitachi, Ltd. (Incl Hitachi America, Ltd.,medical Corp.) (Japan) 7107	1	56,280	0	0	-100	-100
Honeywell, Inc. (Includes Sperry Flight & Tetratch Data Systems, Inc) 8572	2	473,599	2	537,248	0	13
Hypersolar V8PM	0	0	2	93,504	100	100
IHI Corporation VB3D	0	0	2	200,000	100	100

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InnoSys VA79	0	0	1	29,000	100	100
Intel Corporation 8635	3	300,000	3	205,000	0	-32
Korea Ministry Sci & Tech (Incl Kaist, Kist, Atmc Engy Res-Kaeri,etc) 0510	0	0	2	45,131	100	100
Lg Industrial Systems Co., Ltd.(Korea)(Incl Lg Biomed & Lg Electronic) 0670	0	0	1	120,000	100	100
Lilly Research Laboratories 8746	1	226,015	0	0	-100	-100
Meat and Livestock Australia Limited V8XZ	0	0	3	403,352	100	100
MedImmune, Inc. 1677	1	446,426	1	448,162	0	0
MicroXact, Inc. F4EA	1	369,555	0	0	-100	-100
Mimocloud VA7E	0	0	1	24,000	100	100
Mitsubishi Group (Japan) 7752	7	1,230,000	9	1,260,000	29	2
National Security Technologies, Llc FF96	2	30,000	2	55,000	0	83
Northrop Grumman Corporation (Incl Logicon Inc, Xetron Corporation) 8941	5	303,331	0	0	-100	-100
Novartis Pharmaceutical (Switzerland) (Merger Of Ciba-Geigy & Sandoz) 9077	0	0	1	182,000	100	100
Olympus Corporation of America VAQR	0	0	2	8,200	100	100
Paragon TEC VAW8	0	0	1	2,500	100	100
Pfizer Inc. (Incl Warner-Lamber And Parke-Davis) 8983	0	0	2	133,075	100	100
Pratt & Whitney F688	4	350,000	4	350,000	0	0
Q-Peak VANG	0	0	1	20,000	100	100
Questek Innovations V8WN	2	45,000	1	97,222	-50	116
Raytheon Applied Signal Technology, Inc. (Sunnyvale, Ca) 7440	1	21,000	0	0	-100	-100

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INDUSTRY						

Raytheon Company 9020	0	0	2	141,470	100	100
Rolls-Royce F1AF	1	250,000	1	300,000	0	20
SAFRAN S.A. VAGB	0	0	1	85,095	100	100
SAMSUNG INFORMATION SYSTEMS AMERICA VAS9	0	0	1	117,081	100	100
Sanofi-Aventis 1989	1	969,158	0	0	-100	-100
Sebacia V8XC	1	26,686	1	8,855	0	-67
Simpson And Simpson Business And Personnel Services, Inc. 1811	1	44,459	1	4,715,399	0	10,506
Smart Mobility Inc. V9G6	1	50,000	0	0	-100	-100
Solution Deposition Systems VAIK	0	0	1	86,252	100	100
Southern Calif Gas Company 9978	1	7,500	0	0	-100	-100
Teledyne (Incl Electronic Tech, Microwave, Adv Materials, Relay, Etc) 9201	8	964,932	7	600,000	-13	-38
Third Wave Technologies, Inc. 4801	1	187,007	1	226,175	0	21
Toyon Research Corporation 2884	3	153,638	2	125,000	-33	-19
Transphorm, Inc. FC9A	1	188,000	0	0	-100	-100
Vescent Photonics, Inc. VBR3	0	0	1	56,016	100	100
Vibrant V9HX	1	111,341	2	94,000	100	-16
Ziva Corporation 6954	1	183,750	0	0	-100	-100

Total: INDUSTRY	93	15,077,181	109	20,070,298	17	33
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			Percent Change:	6	27

	FY 2013: Count	Amount	FY 2014: Count	Amount	% Count	% Amount
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STATE AND LOCAL

Cal Coastal Conservancy 6524	0	0	2	894,300	100	100
Cal Department Of Education 6376	13	391,274	17	420,002	31	7
Cal Department Of Education 6372	1	7,959	0	0	-100	-100
Cal Department Of Fish and Wildlife 6530	1	1,412,000	0	0	-100	-100
Cal Epa Toxic Substances Control, Department Of 6325	0	0	1	109,921	100	100
Cal EPA Water Control Board 6555	4	547,000	2	395,200	-50	-28
Cal H&W Public Health, Department of (CDPH) FD71	0	0	1	212,616	100	100
Cal H&W Rehabilitation, Department Of 6480	2	300,000	0	0	-100	-100
California Energy Commission 6521	1	49,898	0	0	-100	-100
Carpinteria, City Of 2121	0	0	1	30,000	100	100
City of Avalon V98D	1	21,109	0	0	-100	-100
Department of Resources Recycling and Recovery (CalRecycle) F454	1	148,734	2	396,896	100	167
Metropolitan Water Dist Of So Calif 6907	2	20,000	0	0	-100	-100
Orange County Sanitation District 1190	1	98,846	0	0	-100	-100
Santa Barbara County Education Office V8Z8	1	750	1	49,007	0	6,434
Santa Barbara, County of 6994	9	482,127	15	688,067	67	43
Santa Ynez Band Of Chumash Indians FFC5	1	16,628	0	0	-100	-100
Southern Calif Association Of Governments 7006	1	80,000	0	0	-100	-100
Southern Calif Coastal Water Research Proj Auth, A Public Agency 7426	0	0	1	194,209	100	100
Southern Calif Wetlands Recovery Project 6568	1	29,996	0	0	-100	-100
State of California 6391	1	9,000	2	41,883	100	365
Yucaipa Valley Water District V9P9	1	20,115	0	0	-100	-100

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					% Amount
Total: STATE AND LOCAL	42	3,635,436	45	3,432,101	7
					-6

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			Percent Change:	6	27

	FY 2013: Count	Amount	FY 2014: Count	Amount	% Count	% Amount
UC						

UC Accord All Campus Collaborative On Research For Diversity 6757	0	0	1	20,000	100	100
UC Berkeley-UCB 6761	2	547,665	2	625,000	0	14
UC California Math Project 6724	0	0	2	38,400	100	100
UC California Science Project 6740	1	24,298	2	41,763	100	72
UC California Writing Project 6727	2	56,535	2	61,035	0	8
UC Cancer Research Coordinating Committee 6728	2	110,000	2	100,000	0	-9
UC Center For Water Resources 4803	1	459,276	1	1,806,985	0	293
UC Davis 6763	3	233,181	2	96,529	-33	-59
UC Humanities Research Institute 3955	4	35,000	0	0	-100	-100
UC Irvine 6772	10	1,877,218	7	2,000,642	-30	7
UC Lab Fees Research Program F8F4	1	522,007	0	0	-100	-100
UC Links (University-Community Links) 6755	2	22,000	1	25,000	-50	14
UC Los Angeles 6764	9	2,023,372	10	1,782,887	11	-12
UC Merced 6777	3	353,262	2	161,426	-33	-54
UC MESA 6703	2	160,000	2	180,000	0	13
UC MEXUS 6732	3	41,036	8	35,378	167	-14
UC Office Of The President 6773	6	930,167	1	75,000	-83	-92
UC Pacific Rim Research Program 6734	3	37,840	1	9,818	-67	-74
UC Riverside 6765	2	34,000	3	498,299	50	1,366
UC San Diego 6778	6	472,763	3	358,100	-50	-24
UC Santa Cruz 6769	4	182,372	5	257,521	25	41
UC Sea Grant College Program 6735	4	364,384	10	735,639	150	102
UC Transportation Center (Berkeley) 6768	0	0	1	76,002	100	100

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				% Amount	
Total: UC	70	8,486,376	68	8,985,424	-3
				6	

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NOT PROFIT						

Alfred P. Sloan Foundation 8020	1	50,000	0	0	-100	-100
Alice Tweed Tuohy Foundation 8065	1	40,000	0	0	-100	-100
American Chemical Society 8036	2	200,000	1	100,000	-50	-50
American Cncl Of Learned Societies 8042	4	142,320	4	249,300	0	75
American Heart Assn-Western States Affiliate (Frmly Cal Affiliate) 8058	0	0	1	70,000	100	100
American Sociological Association 9969	0	0	1	2,000	100	100
Andrew W. Mellon Foundation (The) 8107	3	653,300	0	0	-100	-100
Arcadia Fund FE18	2	45,465	2	43,806	0	-4
Argonne National Laboratory F6CB	2	30,050	2	40,000	0	33
Arnold And Mabel Beckman Foundation 1015	1	57,900	1	38,600	0	-33
Assn for Asian Studies, Inc. 0355	1	5,000	0	0	-100	-100
Autism Speaks (Frmly Nat'l Alliance For Autism Research) 0736	1	29,500	3	154,105	200	422
Burroughs Wellcome Fund (The) (Fdn Of Glaxo Wellcome Inc) (Gr Britain) 8185	1	200,000	0	0	-100	-100
Cal Department Of Water Resources 6550	1	49,960	0	0	-100	-100
California Sea Urchin Commission FF3D	1	7,000	1	8,400	0	20
Camille & Henry Dreyfus Foundation 8236	1	75,000	1	75,000	0	0
Centro de Investigación en Materiales Avanzados (Mexico) F3A3	1	62,500	0	0	-100	-100
Chicago Community Trust (Includes Searle Scholars Program) 8276	0	0	1	300,000	100	100
Cold Spring Harbor Laboratory 6808	0	0	1	107,717	100	100
Columbia University 9564	0	0	1	30,424	100	100
Community Action Commission of Santa Barbara County VBLK	0	0	2	100,000	100	100

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	Amount	% Count	% Amount
NOT PROFIT			

Conservation International Foundation 7907	2	966,737	1	200,000	-50	-79
Consortium for Ocean Leadership 0458	0	0	1	86,349	100	100
Curepsp V9GK	1	75,000	0	0	-100	-100
Curtiss T. Brennan & Mary G. Brennan Foundation, Inc. 2794	1	5,000	0	0	-100	-100
Dauphin Island Sea Lab V8SX	0	0	1	36,219	100	100
David And Lucile Packard Foundation (The) 9072	1	875,000	1	65,000	0	-93
Doheny Eye Institute F733	1	100,000	0	0	-100	-100
Electric Power Research Institute 8427	2	46,291	2	29,995	0	-35
Elsevier Foundation FBFF	0	0	1	67,000	100	100
Fermi Research Alliance, Llc (Fra) (Incl Fermilab-Doe Goco) FEB7	3	129,125	1	45,854	-67	-64
Fight For Sight, Inc. (Prevent Blindness America) 8461	0	0	2	4,200	100	100
Foundational Questions In Physics And Cosmology Institute FF5D	1	11,500	0	0	-100	-100
Freeman Foundation, (The) 2167	1	76,950	1	76,950	0	0
GE Fund (Frmly General Electric Foundation) 8500	1	8,000	0	0	-100	-100
Gila Watershed Partnership V9ZY	1	83,500	0	0	-100	-100
Good Samaritan Shelter V9D2	1	50,650	1	50,650	0	0
Gordon And Betty Moore Foundation 3954	3	3,423,783	3	2,615,606	0	-24
Greater Cincinnati Foundation V9ZO	1	5,800	0	0	-100	-100
Howard Hughes Medical Institute 9953	1	250,000	1	250,000	0	0
Human Frontier Science Program Organization (Intl) 7823	2	204,970	0	0	-100	-100
Industrial Technology Research Institute (Taiwan) 3714	1	50,000	1	50,000	0	0
Institute for Advanced Study of Paris VALH	0	0	1	44,999	100	100
Intl Fdn for Research in Experimental Economics (IFREE) FC09	1	6,975	0	0	-100	-100

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NOT PROFIT

Intl Potato Center (Cip)(Lima, Peru) 3208	2	300,000	1	150,000	-50	-50
Intl Retinal Research Foundation, Inc. FB01	1	10,000	0	0	-100	-100
J. Paul Getty Trust, The (Incl. The Getty Foundation) 9570	1	125,000	1	20,633	0	-83
Jack Kent Cooke Foundation FF72	1	301,862	0	0	-100	-100
James Irvine Foundation 1526	1	150,000	0	0	-100	-100
James S. Bower Foundation FB6A	0	0	1	15,420	100	100
Japan Foundation (The) 8666	1	118,976	1	39,234	0	-67
Jet Propulsion Laboratory-JPL 8668	13	851,138	9	633,289	-31	-26
Juvenile Diabetes Research Foundation International 8700	0	0	1	465,037	100	100
King Abdulaziz City for Science and Technology F790	0	0	2	4,868,848	100	100
King Abdullah University of Science and Technology FAFC	0	0	1	4,150,000	100	100
Larry L. Hillblom Foundation, The 4160	2	367,566	0	0	-100	-100
Lincoln Institute Of Land Policy 9483	0	0	1	25,000	100	100
Los Alamos National Security, LLC FFDD	2	170,000	2	70,000	0	-59
Marisla Foundation (Frmly Homeland Foundation) 7849	1	175,000	1	175,000	0	0
Mathematical Association Of America, Inc. 7258	1	3,000	0	0	-100	-100
Morgridge Institute For Research V8Z7	0	0	1	125,357	100	100
Morris Animal Foundation 8854	0	0	1	107,954	100	100
National Geographic Society 8902	1	14,172	0	0	-100	-100
National Philanthropic Trust V9HL	1	200,000	0	0	-100	-100
Natl Cncl Of Teachers Of English Researc 8887	1	9,970	0	0	-100	-100
Natl Collegiate Inventors And Innovators Alliance, The (Nciia) 4568	0	0	1	24,000	100	100
Natl Research Council 8913	1	12,000	1	12,000	0	0

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NOT PROFIT						

Natl Writing Project Corporation-Nwp 1126	2	40,000	1	10,000	-50	-75
Nature Conservancy 7262	1	83,120	2	51,306	100	-38
Netherlands Organization For Appl Scientific Rsrch(Tno)(Netherlands) 2047	0	0	2	41,771	100	100
Oak Ridge Natl Lab 5080	3	285,705	2	162,758	-33	-43
Organization for Autism Research, Inc. 6130	0	0	1	29,759	100	100
Outhwaite Foundation VASC	0	0	1	10,000	100	100
Pacific Institute For Research & Eval (Incl Prevention Resch Ctr) 1372	1	16,124	1	16,145	0	0
Packard Humanities Institute (The) 7395	0	0	1	500,000	100	100
Prince William Sound Science Center (Incl Oil Spill Recovery Inst) 2331	1	426,339	0	0	-100	-100
Rare V9LG	1	35,000	2	158,146	100	352
Research Corporation for Science Advancement 9028	1	25,000	0	0	-100	-100
Robert W. Deutsch Foundation F159	1	248,949	2	751,051	100	202
Russell Sage Foundation 9060	0	0	1	4,065	100	100
Sanford-Burnham Medical Research Institute 2168	2	100,000	2	100,000	0	0
Sansum Diabetes Research Institute FE67	5	260,445	1	84,792	-80	-67
Santa Barbara Cottage Hospital 7841	4	57,500	5	72,500	25	26
Santa Barbara Foundation 0751	3	201,842	1	93,334	-67	-54
Semiconductor Research Corporation 9102	7	1,296,596	11	1,609,467	57	24
Sierra Business Council V9A2	1	56,000	0	0	-100	-100
Sigma XI, The Scientific Research Society 1214	1	2,500	2	3,000	100	20
Silicon Valley Community Foundation 9007	0	0	2	81,165	100	100

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			FY 2013: Count	Amount	FY 2014: Count	Amount	% Count	% Amount
NOT PROFIT								

Simons Foundation FE2E	0	0	2	1,783,780	100	100
Spencer Foundation 9154	2	59,600	0	0	-100	-100
Sri International (Incl Sarnoff Corporation) 9159	2	53,320	1	31,507	-50	-41
Swiss Federal Inst Of Technology-DbA Eth (Switzerland) 7257	1	29,325	0	0	-100	-100
The Allergan Foundation VACB	1	1,000	0	0	-100	-100
The Coral Reef Alliance (CORAL) V9F8	1	173,652	0	0	-100	-100
U.S.-Israel Binat'l Science Foundation (Bsf) (Intl) 7350	3	54,015	3	76,515	0	42
UC Lawrence Berkeley Laboratory 6760	3	337,674	1	33,642	-67	-90
United Nations Educational, Scientific, & Cultural Org (Unesco)(Intl) 9232	0	0	2	160,133	100	100
United Soybean Board/Smith Bucklin & Assoc., L.L.C. 0566	2	159,165	0	0	-100	-100
United Water Conservation District VBVN	0	0	1	32,400	100	100
Univeristy Industry Research Corporation V9BA	2	124,000	2	124,000	0	0
Waitt Family Foundation 4187	1	500,000	1	600,000	0	20
Walton Family Foundation, Inc. FF5A	0	0	1	65,000	100	100
William M. Keck Foundation 9323	0	0	2	2,000,000	100	100
Woodrow Wilson National Fellowship Foundation (The) 7591	1	600	0	0	-100	-100

Total: NOT PROFIT	131	15,453,431	121	24,510,182	-8	59
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HIGHER ED						

Assn Of Univ For Res Astronomy (Aka Space Teles Sci Inst) 9896	8	323,630	6	501,790	-25	55
Baylor College Of Medicine (Houston,tx) 7275	1	17,274	0	0	-100	-100
Boston University 8166	1	29,317	0	0	-100	-100
Calif Institute Of Technology-Cal Tech 8218	2	286,000	4	531,967	100	86
California State University, East Bay Foundation 2789	0	0	1	67,608	100	100
Carnegie Institution 9430	3	33,000	2	26,000	-33	-21
Carnegie Mellon University 8351	1	396,000	1	150,000	0	-62
Colorado School Of Mines 7516	1	70,664	0	0	-100	-100
Colorado State University 8304	2	589,126	0	0	-100	-100
Copenhagen University (Incl Copenhagen University Hospital) (Denmark) 3742	1	250,000	2	198,325	100	-21
Cornell University 8329	7	749,030	4	1,841,456	-43	146
CSU Channel Islands/California State University Channel Islands F3DB	3	12,000	1	4,000	-67	-67
Duke University (Incl Duke Clinical Research Institute) 8394	1	118,925	3	254,126	200	114
East Carolina University 9535	0	0	1	7,662	100	100
Georgia Institute Of Technology 8513	7	645,133	6	598,509	-14	-7
Jackson State University 2652	1	97,464	1	99,489	0	2
Johns Hopkins University 8688	4	328,500	3	172,920	-25	-47
Kent State University 0922	0	0	1	9,500	100	100
Massachusetts Institute Of Technology-MIT 8794	1	50,000	0	0	-100	-100
McNeese State University V98Y	1	41,398	0	0	-100	-100
Northeastern University 0984	4	195,697	2	91,635	-50	-53
Oregon State University 8956	3	323,944	2	285,400	-33	-12

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HIGHER ED						

Penn State University (Incl Penn State Research Foundation) 8979	1	97,256	1	133,031	0	37
Princeton University 9003	1	83,293	1	83,553	0	0
Purdue University 9010	4	466,076	6	830,642	50	78
San Diego State University Research Foundation 9076	0	0	1	3,608	100	100
San Francisco State University Foundation, Inc. 1150	1	51,917	0	0	-100	-100
Stanford University 9162	2	343,529	3	279,376	50	-19
Univeristy of St. Thomas V8MX	1	77,674	0	0	-100	-100
Universitat ULM (Germany) 6182	1	177,908	1	108,548	0	-39
Universities Research Association (Operates Fermilab) 9463	3	431,039	1	226,346	-67	-47
University Of Arizona 9256	5	246,964	5	577,387	0	134
University Of Illinois 9264	4	428,659	4	346,979	0	-19
University of Kansas (Incl KU Medical Center) 9266	1	224,160	1	227,870	0	2
University Of Maryland 9273	2	227,748	0	0	-100	-100
University Of Miami 9184	1	122,863	1	125,187	0	2
University Of Michigan (Incl William Davidson Institute) 9268	2	174,704	4	453,627	100	160
University Of Minnesota 9281	4	598,557	8	823,039	100	38
University Of Mississippi 9404	1	228,649	1	245,599	0	7
University Of New Hampshire 7790	0	0	1	65,823	100	100
University Of New Mexico 9598	1	602,694	1	735,267	0	22
University Of Notre Dame (Incl Gem) 9363	2	431,448	2	430,248	0	0
University Of Pennsylvania 9293	2	81,905	1	42,547	-50	-48
University Of Pittsburgh 9270	2	575,000	4	871,875	100	52
University Of South Carolina 0136	2	55,000	1	50,000	-50	-9

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HIGHER ED						

University of Southern California (Incl Kenneth Norris, Jr Cancer Ctr) 9272	17	593,565	10	974,767	-41	64
University Of Texas-Austin 9278	1	91,539	1	95,736	0	5
University Of Texas-El Paso 3925	1	80,650	1	82,101	0	2
University Of Utah 9299	0	0	1	16,469	100	100
University Of Virginia 9274	1	209,813	1	181,061	0	-14
University Of Washington 9276	4	249,900	3	243,961	-25	-2
University Of Wisconsin 9277	0	0	2	286,424	100	100
Virginia Polytechnic Institute 9297	0	0	1	171,137	100	100
Washington University, (St. Louis, Mo) 9419	3	514,211	3	452,608	0	-12
Wichita State University 1910	1	20,000	0	0	-100	-100
Woods Hole Oceanographic Institution 9334	1	53,514	4	242,857	300	354

Total: HIGHER ED	124	12,097,337	115	14,248,060	-7	18
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						% Count	% Amount