

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/5/2016

Parameters:		Report Totals:	Count:	Amount:
Fiscal Year:	2016	FY 2016:	854	184,056,705
Dates: (Award Date)	7/1/2015 To: 6/30/2016	FY 2015:	897	182,997,916
		Percent Change:	-5	1

	FY 2015: Count	Amount	FY 2016: Count	Amount	% Count	% Amount
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FEDERAL

DEPT OF AGRICULTURE

USDA Forest Service-0300	1	40,000	3	196,000	200	390
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Total: DEPT OF AGRICULTURE	1	40,000	3	196,000	200	390
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DEPT OF COMMERCE

Marine Fisheries Service-0790	1	50,000	0	0	-100	-100
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National Oceanic and Atmospheric Administration (NOAA)-0770	1	15,000	2	99,968	100	566
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Total: DEPT OF COMMERCE	2	65,000	2	99,968	0	54
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DEPT OF DEFENSE

DEPT OF AIR FORCE

DAF Air Force Misc Bases & Agencies-2499	5	607,101	8	902,102	60	49
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DAF Air Force Research Laboratory-2544	5	951,405	0	0	-100	-100
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DAF Office Of Scientific Research-2416	5	3,996,494	4	2,167,174	-20	-46
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Total: DEPT OF AIR FORCE	15	5,555,000	12	3,069,276	-20	-45
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DEPT OF ARMY

DA Army Cold Regions Research And Engineering Laboratory-2684	1	150,215	0	0	-100	-100
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DA Army Materiel Command/Hq-2650	0	0	1	69,940	100	100
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DA Army Misc Bases And Agencies-2799	7	1,652,803	11	2,580,038	57	56
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DA Army Research Laboratory-FE39	2	394,900	1	500,000	-50	27
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FEDERAL

DEPT OF DEFENSE

DEPT OF ARMY

DA Army Research, Durham, N.C. Office (AROD)-2775	40	13,422,371	37	10,998,650	-8	-18
DA Army Research, Wash D.C. Office-2610	1	261,390	4	261,371	300	0
DA Natick Contracting Division (Natick Laboratories)-2755	1	125,000	0	0	-100	-100
Total: DEPT OF ARMY	52	16,006,679	54	14,409,999	4	-10

DEPT OF NAVY

DN Naval Health Research Center-FE2D	1	1,004,320	1	1,471,919	0	47
DN Naval Postgraduate School-2944	2	210,000	1	47,000	-50	-78
DN Naval Surface Warfare Center-2857	0	0	2	351,510	100	100
DN Navy Misc Bases & Agencies-2999	3	437,354	0	0	-100	-100
DN Office Of Naval Research (ONR)-2888	46	7,448,014	37	7,239,823	-20	-3
DN Space & Naval Warfare Systems Command-2862	2	493,358	4	1,232,908	100	150
Total: DEPT OF NAVY	54	9,593,046	45	10,343,160	-17	8

SEPARATE AGENCIES

DOD Advanced Research Projects Agency (DARPA)-2025	8	3,709,514	8	3,862,808	0	4
DOD Defense Threat Reduction Agency-2226	2	499,268	2	349,999	0	-30
DOD National Geospatial Intelligence Agency,nga(Frmly Imagery&Mapping)-2250	0	0	1	119,190	100	100
Total: SEPARATE AGENCIES	10	4,208,782	11	4,331,997	10	3

Total: DEPT OF DEFENSE

131 35,363,507 122 32,154,432 -7 -9

DEPT OF EDUCATION

ED Elementary & Secondary Education, Assistant Secretary For-3006	1	569,725	1	524,847	0	-8
ED Institute of Education Sciences (IES)-F70F	1	531,471	1	243,476	0	-54

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FEDERAL

DEPT OF EDUCATION

ED Special Education & Rehabilitation Servs, Assistant Secretary For-3090	1	250,000	1	250,000	0	0
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Total: DEPT OF EDUCATION	3	1,351,196	3	1,018,323	0	-25
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DEPT OF ENERGY

DOE Advanced Research Projects Agency-Energy (ARPA-E)-F47D	0	0	2	4,307,423	100	100
DOE Chicago Field Office-5063	4	1,306,291	6	2,162,973	50	66
DOE Miscellaneous Offices And Programs-5099	17	3,011,090	12	2,515,392	-29	-16
DOE National Nuclear Security Administration-F846	0	0	1	150,000	100	100
DOE Washington, D.C.-5090	5	5,311,669	6	3,619,647	20	-32

Total: DEPT OF ENERGY	26	9,629,050	27	12,755,435	4	32
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HEALTH & HUMAN SVCS

PHS/NATL INST HEALTH

NIH Alcohol Abuse And Alcoholism, National Institute Of-3171	0	0	1	336,419	100	100
NIH Allergy & Infectious Diseases, National Institute Of-3445	4	678,250	4	2,109,949	0	211
NIH Biomedical Imaging And Bioengineering, Natl Institute Of (NIBIB)-4319	2	415,081	3	1,012,610	50	144
NIH Child Health & Human Development, National Institute Of-3465	9	1,696,338	8	1,863,350	-11	10
NIH Deafness & Other Communication Disorders, Natl Institute On-3470	1	322,926	2	479,688	100	49

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FEDERAL

HEALTH & HUMAN SVCS

PHS/NATL INST HEALTH

NIH Dental And NIH Research, National Institute Of-3475	1	412,887	1	374,123	0	-9
NIH Diabetes, Digestive & Kidney Diseases, National Institute Of-3457	2	414,355	2	647,666	0	56
NIH Drug Abuse, National Institute Of-3172	2	329,629	2	330,256	0	0
NIH Environmental Health Sciences, National Institute Of-3420	1	76,750	1	76,750	0	0
NIH General Medical Sciences, National Institute Of-3485	17	4,903,195	11	3,650,143	-35	-26
NIH Heart, Lung & Blood, National Institute Of-3435	7	2,197,091	1	712,652	-86	-68
NIH Mental Health, National Institute Of-3170	1	409,386	4	889,287	300	117
NIH National Institute On Aging-3440	5	1,783,425	4	1,570,319	-20	-12
NIH Natl Cancer Institute-3425	4	1,802,240	2	254,311	-50	-86
NIH Natl Eye Institute-3490	4	1,324,562	3	1,151,875	-25	-13
NIH Office Of The Director-F977	1	227,426	2	829,771	100	265
Total: PHS/NATL INST HEALTH	61	16,993,541	51	16,289,169	-16	-4
Total: HEALTH & HUMAN SVCS	61	16,993,541	51	16,289,169	-16	-4

DEPT OF INTERIOR

USDI Bureau of Land Management-4130	0	0	2	60,000	100	100
USDI Bureau of Ocean Energy Management (BOEM)-4120	4	502,237	6	774,557	50	54
USDI Fish And Wildlife Service-4132	1	101,000	5	351,864	400	248
USDI Geological Survey (Incl Natl Biological Service)-4190	1	19,508	9	431,894	800	2,114
USDI National Park Service-4180	3	91,260	3	416,087	0	356
USDI/Misc Agencies-4199	1	600,000	0	0	-100	-100
Total: DEPT OF INTERIOR	10	1,314,005	25	2,034,402	150	55

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FEDERAL

DEPT OF JUSTICE

USDJ Office Of Justice Programs-4260	2	299,004	0	0	-100	-100
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Total: DEPT OF JUSTICE

	2	299,004	0	0	-100	-100
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DEPT OF STATE

USDS Bureau Of Educational And Cultural Affairs-4293	1	279,998	1	279,047	0	0
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Total: DEPT OF STATE

	1	279,998	1	279,047	0	0
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NATL ARNATC & SPC AD

NASA George C. Marshall Space Flight Center-5112	1	50,000	1	32,000	0	-36
NASA Goddard Space Flight Center-5118	3	317,964	5	438,520	67	38
NASA Miscellaneous Centers-5101	5	666,854	12	1,540,475	140	131
NASA Office of the Chief Technologist-V8PU	0	0	1	72,000	100	100
NASA Shared Services Center (NSSC)-5105	12	2,591,968	9	1,529,294	-25	-41
NASA Washington, D.C. HQ-5136	3	309,942	4	927,550	33	199

Total: NATL ARNATC & SPC AD

	24	3,936,728	32	4,539,839	33	15
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FEDERAL						
NATL FND ARTS & HUM						
NFAH Arts, National Endowment For The-5260						
	1	25,000	1	25,000	0	0
NFAH National Endowment for the Humanities-NEH-5280						
	2	350,400	1	30,000	-50	-91
Total: NATL FND ARTS & HUM						
	3	375,400	2	55,000	-33	-85
SMITHSONIAN						
Smithsonian-5301						
	1	88,099	0	0	-100	-100
Total: SMITHSONIAN						
	1	88,099	0	0	-100	-100
OTHER AGENCIES						
Environmental Protection Agency-3900						
	2	34,000	2	593,751	0	1,646
Nuclear Regulatory Commission-5270						
	1	186,000	4	340,333	300	83
Total: OTHER AGENCIES						
	3	220,000	6	934,084	100	325
NATL SCIENCE FND						
GEOSCIENCES						
National Science Foundation-NSF-5400						
	28	6,044,929	26	8,673,432	-7	43
Total: GEOSCIENCES						
	28	6,044,929	26	8,673,432	-7	43
BIOLOGICAL SCIENCES						
National Science Foundation-NSF-5400						
	10	2,623,739	21	4,456,859	110	70
Total: BIOLOGICAL SCIENCES						
	10	2,623,739	21	4,456,859	110	70

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FEDERAL						
NATL SCIENCE FND						
ENGINEERING						
National Science Foundation-NSF-5400	18	3,963,170	16	1,908,887	-11	-52
Total: ENGINEERING	18	3,963,170	16	1,908,887	-11	-52
MATH & PHYSICAL SCI						
National Science Foundation-NSF-5400	63	21,279,346	49	16,321,175	-22	-23
Total: MATH & PHYSICAL SCI	63	21,279,346	49	16,321,175	-22	-23
EDUC & HUMAN RESOURCES						
National Science Foundation-NSF-5400	9	6,580,550	4	4,114,616	-56	-37
Total: EDUC & HUMAN RESOURCES	9	6,580,550	4	4,114,616	-56	-37
SOCIAL, BEHAV & ECON SCI						
National Science Foundation-NSF-5400	11	3,984,862	11	930,347	0	-77
Total: SOCIAL, BEHAV & ECON SCI	11	3,984,862	11	930,347	0	-77
COMP & INFO SCI & ENG						
National Science Foundation-NSF-5400	24	4,446,535	22	8,639,666	-8	94
Total: COMP & INFO SCI & ENG	24	4,446,535	22	8,639,666	-8	94
OFC OF DIRECTOR						
National Science Foundation-NSF-5400	2	174,641	0	0	-100	-100
Total: OFC OF DIRECTOR	2	174,641	0	0	-100	-100
OFC OF POLAR PROGRAMS						
National Science Foundation-NSF-5400	1	704,320	0	0	-100	-100
Total: OFC OF POLAR PROGRAMS	1	704,320	0	0	-100	-100

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FEDERAL							
Total: NATL SCIENCE FND		166	49,802,092	149	45,044,982	-10	-10
Total: FEDERAL		434	119,757,620	423	115,400,681	-3	-4

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INDUSTRY

Allergan Inc. (Pharmaceuticals & Ophthalmic Instruments)-8021	1	105,176	0	0	-100	-100
Alliance for Sustainable Energy, LLC-F7FD	1	78,032	2	70,358	100	-10
Amec (Great Britain)-4392	0	0	1	56,268	100	100
American Samoa Power Authority-VDQC	1	15,143	0	0	-100	-100
Angstrom Designs Engrg Servs-V9XZ	1	225,000	0	0	-100	-100
Aramco Services Company-8108	1	150,000	0	0	-100	-100
Aurrion, LLC.-F232	1	187,602	2	372,000	100	98
Bae Systems-4288	4	771,016	2	449,686	-50	-42
Basf Corporation (Germany) (Incl Knoll Pharmaceuticals, Nj.)-7051	1	99,831	0	0	-100	-100
Biosolar-VC08	1	156,832	2	247,215	100	58
Capacitor Sciences, Inc-VC0Q	0	0	1	120,000	100	100
Carbon Sciences, Inc.-VC88	0	0	1	65,497	100	100
Cellerant Therapeutics, Inc.-F1DA	1	112,204	0	0	-100	-100
Chevron Corporation-8281	0	0	1	50,000	100	100
DCS Corporation-F4E4	1	817,275	0	0	-100	-100
Denso Corporation (Japan)-3366	1	130,000	0	0	-100	-100
DOW Chemical Company-8386	6	1,857,387	5	1,641,016	-17	-12
Dupont (Incl Dupont Pharm,e.I. Du Pont De Nemours & Dupont Spec Grains-1097	1	498,000	0	0	-100	-100
Dynantis Corporation-VBRO	1	2,000	0	0	-100	-100
Eisai Research Institute-1131	2	634,472	0	0	-100	-100
Exxon Mobil Upstream Research Company-VA33	1	200,000	0	0	-100	-100
Fluency Lighting, Inc.-VE08	0	0	1	103,250	100	100

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INDUSTRY

Freedom Photonics Llc-FD2A	2	167,000	1	80,000	-50	-52
Genentech, Inc.-8485	0	0	1	326,264	100	100
General Dynamics Corporation (Incl Aerospace,marine,combat,&Info Syst)-8496	2	40,000	3	100,000	50	150
General Electric Corp.-8498	3	350,000	1	500,000	-67	43
Google Inc.-FE36	2	1,617,874	1	1,147,256	-50	-29
Halliburton-V9WP	1	180,040	1	150,000	0	-17
Honeywell, Inc. (Includes Sperry Flight & Tetrtech Data Systems, Inc)-8572	2	600,000	2	575,000	0	-4
Howmet Corporation-0764	0	0	1	53,427	100	100
Huawei Technologies Co. Ltd.-FC6A	0	0	1	559,478	100	100
Hypersolar-V8PM	1	60,007	0	0	-100	-100
IHI Corporation-VB3D	0	0	1	45,000	100	100
Illumina, Inc.-3990	1	200,000	0	0	-100	-100
Intel Corporation-8635	0	0	1	110,000	100	100
L&M Policy Research, LLC-VC5L	1	77,050	0	0	-100	-100
Las Cumbres Observatory Global Telescope Network-VCGK	1	5,000	0	0	-100	-100
Lg Industrial Systems Co., Ltd.(Korea)(Incl Lg Biomed & Lg Electronic)-0670	0	0	1	150,000	100	100
Mayachitra, Inc.-6229	1	28,000	2	137,000	100	389
Meat and Livestock Australia Limited-V8XZ	1	65,790	0	0	-100	-100
MedImmune, Inc.-1677	1	535,700	1	79,999	0	-85
Mitsubishi Group (Japan)-7752	8	1,195,000	8	1,140,771	0	-5
Morton Photonics-VBY0	5	715,000	1	350,000	-80	-51

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National Security Technologies, Llc-FF96	3	136,722	2	37,357	-33	-73
Nokia Research Center-VDPJ	0	0	1	357,000	100	100
Novartis Pharmaceutical (Switzerland) (Merger Of Ciba-Geigy & Sandoz)-9077	2	684,900	1	182,000	-50	-73
Olympus Corporation of America-VAQR	1	3,200	0	0	-100	-100
Panorama Education-VECZ	0	0	1	94,506	100	100
Physical Optics Corporation-1717	1	150,000	1	100,000	0	-33
Physical Sciences Inc.-3878	2	71,000	1	124,744	-50	76
Powwow Energy, Inc.-VD4N	1	416,448	0	0	-100	-100
Pratt & Whitney-F688	3	350,000	3	415,841	0	19
Procter & Gamble Company-9004	0	0	1	280,000	100	100
Questek Innovations-V8WN	2	277,778	0	0	-100	-100
QuinStar Technology, Inc.-F803	0	0	1	18,000	100	100
Raytheon BBN Technologies Corp.-FFE7	3	924,787	3	891,735	0	-4
Raytheon Company-9020	2	26,000	0	0	-100	-100
Rolls-Royce-F1AF	1	291,270	0	0	-100	-100
Roy F. Weston, Inc.-0590	1	197,475	2	99,036	100	-50
Safran S.A.-VAGB	1	26,901	0	0	-100	-100
Samsung-0053	1	210,000	1	125,000	0	-40
Siemens (Incl U.S. Subsidiaries) (Germany)-7076	0	0	1	138,000	100	100
Simpson And Simpson Business And Personnel Services, Inc.-1811	0	0	1	5,362,124	100	100
Societe nationale d detudes et de construction de moteurs d aviation (SNECMA)-VAOZ	0	0	1	65,190	100	100

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Solvay-7422	0	0	1	135,000	100	100
Technology Assessment and Transfer, Inc.-VBT4	1	50,000	0	0	-100	-100
Teledyne (Incl Electronic Tech, Microwave, Adv Materials, Relay, Etc)-9201	9	429,086	4	226,500	-56	-47
Third Wave Technologies, Inc.-4801	2	86,238	0	0	-100	-100
Total S.A. (Incl Total Exploration & Production Usa, Inc.)(France)-5547	1	54,630	0	0	-100	-100
Toyon Research Corporation-2884	3	77,000	3	202,375	0	163
Tyco Electronics Corporation-VAHF	1	100,000	0	0	-100	-100
United Technologies Corp(Intl Fuel Cells&United Tech Res Ctr,sikorsky)-9251	1	10,000	2	40,000	100	300
Vibrant-V9HX	1	59,756	1	129,842	0	117
X2 Biosystems, Inc.-VE6K	0	0	1	73,373	100	100
Total: INDUSTRY	101	16,509,622	78	17,777,108	-23	8

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		Percent Change:	-5	1

	FY 2015: Count	Amount	FY 2016: Count	Amount	% Count	% Amount
STATE AND LOCAL						

Cal Coastal Conservancy-6524	0	0	2	950,000	100	100
Cal Department Of Education-6376	10	372,254	18	559,934	80	50
Cal Department Of Fish and Wildlife-6530	0	0	1	999,989	100	100
Cal Department of Parks and Recreation-6540	0	0	1	182,584	100	100
Cal Department Of Water Resources-6550	1	1,987,869	0	0	-100	-100
Cal Employment Development Department-6390	0	0	1	149,471	100	100
Cal Epa Toxic Substances Control, Department Of-6325	1	93,500	0	0	-100	-100
Cal H&W Public Health, Department of (CDPH)-FD71	1	749,031	0	0	-100	-100
Cal Institute For Regenerative Medicine (CIRM)-8530	1	61,580	0	0	-100	-100
Cal Ra Coastal Commission-6006	1	28,000	0	0	-100	-100
Cal Resource Agency/Miscellaneous Agencies-6519	1	1,000,000	0	0	-100	-100
Cal State University Chico-FC8C	0	0	1	50,759	100	100
California Department of Boating and Waterways-6525	0	0	1	14,070	100	100
California Department of Rehabilitation-6480	1	300,000	0	0	-100	-100
California Wildlife Conservation Board-F972	1	505,000	0	0	-100	-100
Department of Resources Recycling and Recovery (Cal Recycle)-F454	0	0	1	20,725	100	100
Larimer County Department of Natural Resources-VDXF	1	2,000	0	0	-100	-100
Los Angeles, City Of-6897	0	0	2	102,637	100	100
Ministry of Science, Education and Sports of the Republic of Croatia-VC4V	2	61,592	3	61,400	50	0
Parks Canada-VCA9	3	78,447	1	96,451	-67	23
Santa Barbara Cnty Schools-6993	2	69,879	0	0	-100	-100
Santa Barbara County Education Office-V8Z8	1	31,958	0	0	-100	-100

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	FY 2015: Count	Amount	FY 2016: Count	Amount	% Count	% Amount
STATE AND LOCAL						

Santa Barbara County Special Education Local Plan Area Office-VCXO	2	116,156	0	0	-100	-100
Santa Barbara Unified School District-3708	2	16,862	1	9,585	-50	-43
Santa Barbara, City Of-6992	1	24,991	0	0	-100	-100
Santa Barbara, County of-6994	11	396,565	5	416,886	-55	5
Southern Calif Coastal Water Research Proj Auth, A Public Agency-7426	0	0	1	36,669	100	100
State of California-6391	2	69,317	1	9,000	-50	-87
Subaru Telescope-VBAJ	2	450,000	1	88,995	-50	-80
Ventura County-7016	1	1,962,430	1	1,349,008	0	-31

Total: STATE AND LOCAL	48	8,377,431	42	5,098,163	-13	-39
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UC						

UC Agriculture And Natural Resources-6774	1	13,166	1	12,866	0	-2
UC Berkeley-UCB-6761	6	1,066,780	9	2,062,544	50	93
UC California Math Project-6724	2	39,000	2	39,000	0	0
UC California Science Project-6740	2	57,000	2	57,000	0	0
UC California Writing Project-6727	2	60,500	2	60,807	0	1
UC Cancer Research Coordinating Committee-6728	1	50,000	2	110,000	100	120
UC Center For Collaborative Research For An Equitable California-V95K	1	19,992	0	0	-100	-100
UC Center For Water Resources-4803	1	1,839,288	3	1,788,208	200	-3
UC Davis-6763	4	229,891	5	628,220	25	173
UC Humanities Research Institute-3955	2	22,715	0	0	-100	-100
UC Irvine-6772	5	545,585	2	100,000	-60	-82
UC Links (University-Community Links)-6755	1	25,000	1	25,811	0	3
UC Los Angeles-6764	6	1,489,260	4	1,434,086	-33	-4
UC Merced-6777	3	215,647	2	144,450	-33	-33
UC Mesa Program-6703	2	210,000	2	190,000	0	-10
UC Mexus-6732	7	66,784	8	55,619	14	-17
UC Multicampus Research Prgorams and Initiatives-F4A9	2	433,778	1	149,728	-50	-65
UC Riverside-6765	2	169,155	2	25,000	0	-85
UC San Diego-6778	6	505,076	4	587,579	-33	16
UC Santa Cruz-6769	5	207,070	3	80,369	-40	-61
UC Sea Grant College Program-6735	8	339,068	6	281,150	-25	-17
UC Transportation Center (Berkeley)-6768	4	333,401	0	0	-100	-100

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		FY 2015: Count	Amount	FY 2016: Count	Amount
				% Count	% Amount
Total: UC		73	7,938,156	61	7,832,437
				-16	-1

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			FY 2015: Count	Amount	FY 2016: Count
			Amount	% Count	% Amount
NOT PROFIT					

Albert & Elaine Borchard Foundation, Inc.-7634	0	0	1	35,000	100	100
Alfred P. Sloan Foundation-8020	1	50,000	0	0	-100	-100
American Academy in Berlin-FE78	1	20,000	0	0	-100	-100
American Association of Physical Anthropologists-VFAW	0	0	1	5,000	100	100
American Chemical Society-8036	1	110,000	0	0	-100	-100
American Cncl Of Learned Societies-8042	1	5,000	5	188,505	400	3,670
American Federation-Aging Research-8201	0	0	1	19,000	100	100
American Heart Assn-Western States Affiliate (Frmly Cal Affiliate)-8058	1	70,000	0	0	-100	-100
American Iron And Steel Institute-8066	0	0	1	26,658	100	100
American Psychological Association (Incl Amer Psychological Foundation-7687	0	0	1	1,000	100	100
American Soc For Cell Biology-1622	1	1,500	0	0	-100	-100
American Society for Biochemistry and Molecular Biology-V8FP	1	2,000	0	0	-100	-100
Amgen Foundation-FF18	1	92,284	0	0	-100	-100
Arcadia Fund-FE18	2	55,952	1	2,973	-50	-95
Arnold And Mabel Beckman Foundation-1015	0	0	2	123,510	100	100
Autism Science Foundation-XXYS	1	3,000	0	0	-100	-100
Autism Speaks (Frmly Nat'l Alliance For Autism Research)-0736	2	48,138	0	0	-100	-100
BCAM – Basque Center for Applied Mathematics-VC5Q	1	64,993	0	0	-100	-100
Bill & Melinda Gates Foundation-3243	0	0	1	100,000	100	100
Bioversity International-6989	2	131,806	0	0	-100	-100
BrightFocus Foundation-8330	0	0	1	160,000	100	100

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NOT PROFIT						

Burroughs Wellcome Fund-8185	2	201,000	0	0	-100	-100
Calif Artificial Reef Enhancement (Care)-4119	0	0	1	150,000	100	100
California Sea Urchin Commission-FF3D	1	32,578	0	0	-100	-100
Camille & Henry Dreyfus Foundation-8236	1	38,000	0	0	-100	-100
Center for Community Change-VE90	0	0	1	38,526	100	100
Centro De Investigacion Cientifica De Ensenada (Cicese)(Mexico)-0778	0	0	1	798,220	100	100
Cold Spring Harbor Laboratory-6808	1	109,471	0	0	-100	-100
Collaborative Research in Engineering, Science & Technology (CREST)-VDLP	1	4,250,000	0	0	-100	-100
Community Action Commission of Santa Barbara County-VBLK	1	74,077	0	0	-100	-100
Conservation International Foundation-7907	4	555,400	4	423,498	0	-24
Consortium for Ocean Leadership-0458	1	13,429	0	0	-100	-100
David And Lucile Packard Foundation (The)-9072	0	0	2	300,000	100	100
Desert Botanical Garden-VBYZ	1	157,568	0	0	-100	-100
Donors Trust-VC6W	1	96,951	1	95,741	0	-1
Earth Island Institute-VDWY	0	0	1	1,000	100	100
Elsevier Foundation-FBFF	0	0	1	53,435	100	100
Engineering Information Foundation-2191	1	15,141	0	0	-100	-100
Ewing Marion Kauffman Fdn-1881	0	0	1	35,000	100	100
Family Service Agency of Santa Barbara-VE0X	0	0	1	0	100	100
Fermi Research Alliance, LLC (Fra) (Incl Fermilab-Doe Goco)-FEB7	0	0	1	74,436	100	100
Ford Foundation-8464	0	0	1	84,948	100	100

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	Amount	% Count	% Amount
NOT PROFIT			

Foundation For Child Development-8469	0	0	1	71,000	100	100
Foundation for Personality and Social Psychology-VDTA	0	0	1	1,000	100	100
Freeman Foundation, (The)-2167	1	134,409	1	80,000	0	-40
Good Samaritan Shelter-V9D2	1	35,009	0	0	-100	-100
Gordon And Betty Moore Foundation-3954	4	3,088,096	4	7,052,840	0	128
Howard Hughes Medical Institute-9953	1	300,000	2	350,600	100	17
Human Frontier Science Program Organization (Intl)-VDTV	1	28,200	2	153,200	100	443
Industrial Technology Research Institute (Taiwan)-3714	1	40,000	0	0	-100	-100
Inst Of Electrical And Electronics Engineers, Inc. (Ieee)-7904	1	1,000	0	0	-100	-100
IQM Research Institute-VCTC	0	0	1	50,000	100	100
Japan Foundation (The)-8666	0	0	1	5,000	100	100
Jet Propulsion Laboratory-JPL-8668	10	965,104	7	418,619	-30	-57
John D. And Catherine T. Macarthur Foundation-8677	1	25,000	0	0	-100	-100
John E. Fetzer Memorial Trust-VBQF	1	418,512	0	0	-100	-100
John Randolph Haynes And Dora Haynes Foundation (The)-8679	0	0	1	39,579	100	100
John Templeton Foundation-0644	1	915,989	0	0	-100	-100
Juvenile Diabetes Research Foundation International-8700	1	468,676	0	0	-100	-100
Land Trust For Santa Barbara County-0014	0	0	1	923,718	100	100
Los Alamos National Security, LLC-FFDD	0	0	2	90,539	100	100
Marisla Foundation (Frmly Homeland Foundation)-7849	0	0	1	175,000	100	100
Mind And Life Institute-6139	0	0	1	15,000	100	100
Morgridge Institute For Research-V8Z7	1	125,990	0	0	-100	-100
National Geographic Society-8902	4	65,788	0	0	-100	-100

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NOT PROFIT						

National Philanthropic Trust-V9HL	0	0	1	99,891	100	100
Natl Academy Of Education-8868	1	55,000	0	0	-100	-100
Natl Bureau Of Economic Research, Inc.-1224	0	0	1	12,500	100	100
Natl Park Foundation-2571	1	17,592	0	0	-100	-100
Natl Research Council-8913	1	10,000	1	4,000	0	-60
Natl Writing Project Corporation-Nwp-1126	1	10,000	0	0	-100	-100
Nature Conservancy-7262	11	1,180,574	11	1,610,568	0	36
Netherlands Organization For Appl Scientific Rsrch(Tno)(Netherlands)-2047	3	112,702	4	143,564	33	27
Oak Ridge Natl Lab-5080	4	136,845	16	923,210	300	575
Ocean Conservancy-V8JF	1	258,750	0	0	-100	-100
OCEANA, INC.-1031	0	0	1	187,270	100	100
Open Society Institute-0093	0	0	1	25,000	100	100
Organization for Autism Research, Inc.-6130	0	0	1	30,000	100	100
Outhwaite Foundation-VASC	1	6,500	0	0	-100	-100
Packard Humanities Institute (The)-7395	0	0	1	300,000	100	100
Phoenix Zoo-V8RW	0	0	1	3,000	100	100
Prince William Sound Science Center (Incl Oil Spill Recovery Inst)-2331	2	689,302	1	67,753	-50	-90
Rare-V9LG	3	450,000	1	50,000	-67	-89
Russell Sage Foundation-9060	0	0	1	34,258	100	100
Safari Club International-2547	0	0	1	40,000	100	100
Samuel H. Kress Foundation-9602	1	5,000	0	0	-100	-100

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NOT PROFIT						

Sansum Diabetes Research Institute-FE67	1	134,981	0	0	-100	-100
Santa Barbara Cottage Hospital-7841	2	27,500	4	57,500	100	109
Santa Barbara Education Foundation (What is LOVE project)-VCX2	1	19,952	1	19,987	0	0
Santa Barbara Foundation-0751	0	0	3	133,000	100	100
Semiconductor Research Corporation-9102	8	621,994	5	370,659	-38	-40
Sigma XI, The Scientific Research Society-1214	1	1,000	1	2,068	0	107
Silicon Valley Community Foundation-9007	1	3,697	1	101,899	0	2,656
Simons Foundation-FE2E	2	42,000	5	4,027,041	150	9,488
Soc Of Woman Geographers-5974	1	12,000	0	0	-100	-100
Society of Actuaries-FC5D	0	0	1	98,538	100	100
Spencer Foundation-9154	1	40,000	4	249,394	300	523
Sri International (Incl Sarnoff Corporation)-9159	1	40,000	0	0	-100	-100
Stuart Foundation (San Francisco, Ca)-9691	1	60,000	0	0	-100	-100
Swiss Federal Inst Of Technology-DbA Eth-7257	1	7,100	0	0	-100	-100
Terra Foundation for American Art-FDD9	1	136,479	0	0	-100	-100
The Coral Reef Alliance (CORAL) -V9F8	1	96,316	0	0	-100	-100
The HDF Group-VBXO	1	178,646	0	0	-100	-100
The Johansson Family Foundation-VD74	1	233,000	0	0	-100	-100
The Wolf Museum of Exploration and Innovation -VF8D	0	0	1	150,849	100	100
Toshiba International Foudation-AE5C	0	0	1	10,000	100	100
U.S.-Israel Binat'I Science Foundation (Bsf) (Intl)-7350	3	76,515	5	103,717	67	36
UC Lawrence Berkeley Laboratory-6760	3	106,154	4	298,047	33	181

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NOT PROFIT			

Union of Concerned Scientists-VE06	0	0	1	32,000	100	100
United Nations Educational, Scientific, & Cultural Org (Unesco)(Intl)-9232	1	10,586	0	0	-100	-100
Univeristy Industry Research Corporation-V9BA	2	124,000	0	0	-100	-100
Waitt Family Foundation-4187	2	561,324	1	600,000	-50	7
Weitz Family Foundation-VEJ7	0	0	1	900,000	100	100
Wenner-Gren Foundation For Anthropological Research-9306	1	19,995	0	0	-100	-100
Wildlife Conservation Society (New York Zoological Society)-7071	1	135,000	0	0	-100	-100
William M. Keck Foundation-9323	1	1,000,000	0	0	-100	-100
Woodrow Wilson National Fellowship Foundation (The)-7591	1	30,000	0	0	-100	-100
World Wildlife Fund-9345	0	0	2	19,491	100	100

Total: NOT PROFIT	130	19,460,565	141	22,847,749	8	17
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HIGHER ED						

Akron, University Of-0889	0	0	1	155,265	100	100
American Educational Research Association-Aera-0034	1	34,055	0	0	-100	-100
Arizona State University/Tempe-8123	0	0	1	21,532	100	100
Assn Of Univ For Res Astronomy (Aka Space Teles Sci Inst)-9896	9	233,594	1	88,642	-89	-62
Bermuda Institute of Ocean Sciences-V8RT	0	0	2	364,072	100	100
Boise State University-5997	0	0	1	59,982	100	100
Boston University-8166	0	0	1	80,557	100	100
Calif Assn For Research In Astronomy-9671	1	10,000	0	0	-100	-100
California Blueprint for Research to Advance Innovations in Neuroscience (Cal-BRAIN)-VD26	1	120,000	0	0	-100	-100
California Institute Of Technology-Cal Tech-8218	1	105,625	2	302,527	100	186
Carnegie Institution-9430	1	5,566	0	0	-100	-100
Carnegie Mellon University-8351	1	150,000	0	0	-100	-100
Case Western Reserve University-1479	0	0	1	144,284	100	100
City University Of New York (Cuny)-7575	1	466,517	0	0	-100	-100
College Of William And Mary-1755	0	0	1	35,000	100	100
Cornell University-8329	2	110,397	2	349,969	0	217
CSU Channel Islands -F3DB	0	0	1	3,300	100	100
CSU San Diego State University-9076	1	40,498	1	76,836	0	90
Fairleigh Dickinson University-7313	0	0	1	3,000	100	100
Georgia Institute Of Technology-8513	3	633,349	1	96,000	-67	-85
Harvard University (The President and Fellows of Harvard College)-8537	0	0	1	251,239	100	100

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HIGHER ED

Jackson State University-2652	1	98,240	1	100,000	0	2
Johns Hopkins University-8688	4	257,712	3	305,000	-25	18
Korea Advanced Institute of Science and Technology-V9L6	1	45,901	2	86,550	100	89
Korean Institute of Industrial Technology-V9C8	1	31,236	0	0	-100	-100
North Carolina State University-8937	1	325,003	1	27,261	0	-92
Northeastern University-0984	2	158,279	3	657,426	50	315
Northwestern University-8943	2	57,529	2	44,208	0	-23
Oregon State University-8956	2	318,474	2	308,554	0	-3
Penn State University (Incl Penn State Research Foundation)-8979	3	242,737	1	133,086	-67	-45
Pepperdine University-5662	1	7,475	1	5,126	0	-31
Princeton University-9003	1	83,154	0	0	-100	-100
Purdue University-9010	0	0	1	70,798	100	100
Rice University-7565	1	111,125	1	125,000	0	12
Rutgers University-9062	1	45,000	1	70,784	0	57
San Francisco State University Foundation, Inc.-1150	1	18,200	0	0	-100	-100
Stanford University-9162	2	200,000	0	0	-100	-100
State University Of New York-9165	1	5,000	8	3,181,791	700	63,536
Texas A&M University-9196	2	165,962	1	161,106	-50	-3
The Katholieke Universiteit Leuven (KULeuven)-3306	1	26,498	0	0	-100	-100
Universitat ULM (Germany)-6182	1	10,180	0	0	-100	-100
Universities Research Association (Operates Fermilab)-9463	5	256,346	3	574,177	-40	124
University College Dublin, National University of Ireland, Dublin-F338	1	25,878	0	0	-100	-100
University Corp For Atmospheric Research-Ucar-9744	1	26,856	0	0	-100	-100

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HIGHER ED						

University Of Arizona-9256	1	200,000	1	200,000	0	0
University Of Chicago-9255	1	50,000	0	0	-100	-100
University Of Colorado System-9259	0	0	3	49,224	100	100
University of Copenhagen (Incl Copenhagen University Hospital) (Denmark)-3742	1	208,333	2	456,282	100	119
University of Electronic Science and Technology of China-VEI2	0	0	1	127,617	100	100
University Of Georgia (Incl Skidaway Institute Of Oceanography)-9746	2	585,755	3	320,339	50	-45
University Of Hawaii (Incl Research Corporation Of The Univ Of Hawaii)-9263	0	0	2	137,718	100	100
University of Illinois-9264	3	299,056	3	583,841	0	95
University of Maryland-9273	0	0	2	74,366	100	100
University Of Massachusetts-7900	0	0	1	7,000	100	100
University Of Melbourne (The) (Australia)-0405	1	50,000	0	0	-100	-100
University Of Miami-9184	1	126,349	1	152,046	0	20
University Of Michigan (Incl William Davidson Institute)-9268	4	483,448	6	659,617	50	36
University Of Minnesota-9281	5	407,314	3	332,331	-40	-18
University Of Missouri System (Columbia/Kansas City/Rolla/St.Louis)-7673	2	224,125	1	190,000	-50	-15
University Of New Hampshire-7790	1	70,768	0	0	-100	-100
University Of New Mexico-9598	3	1,104,985	1	845,346	-67	-23
University Of Notre Dame (Incl Gem)-9363	4	662,796	4	811,233	0	22
University Of Nottingham (Great Britain)-4067	1	55,653	0	0	-100	-100
University of Pennsylvania-9293	0	0	1	39,704	100	100
University Of Pittsburgh-9270	0	0	1	375,000	100	100

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REPORT DATE: 7/5/2016

Parameters:		Report Totals:	Count:	Amount:
Fiscal Year:	2016	FY 2016:	854	184,056,705
Dates: (Award Date)	7/1/2015 To: 6/30/2016	FY 2015:	897	182,997,916
		Percent Change:	-5	1

	FY 2015: Count	Amount	FY 2016: Count	Amount	% Count	% Amount
HIGHER ED						

University Of Rochester-9294	1	231,724	0	0	-100	-100
University Of South Carolina-0136	0	0	1	61,826	100	100
University of Southern California-9272	11	662,015	12	681,163	9	3
University Of Texas-Austin-9278	1	98,445	0	0	-100	-100
University Of Texas-El Paso-3925	0	0	2	159,989	100	100
University Of Texas-M.D. Anderson Cancer Center-FDED	0	0	2	118,384	100	100
University Of Utah-9299	1	8,798	0	0	-100	-100
University Of Washington-9276	1	139,869	0	0	-100	-100
University Of Wisconsin-9277	1	33,820	1	18,431	0	-46
Vanderbilt University (Tennessee)-7666	0	0	3	533,889	100	100
Virginia Polytechnic Institute-9297	1	174,978	1	183,851	0	5
Washington State University (Pullman, Wa)-7314	0	0	1	7,500	100	100
Washington University, (St. Louis, MO)-9419	5	553,514	1	90,798	-80	-84
Woods Hole Oceanographic Institution-9334	1	96,391	0	0	-100	-100

Total: HIGHER ED	111	10,954,522	109	15,100,567	-2	38
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Fiscal Year:	2016			FY 2016:	854	184,056,705	
Dates: (Award Date)	7/1/2015	To:	6/30/2016	FY 2015:	897	182,997,916	
				Percent Change:	-5	1	
				FY 2015: Count	Amount	FY 2016: Count	Amount
						% Count	% Amount