

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| Parameters: | Report Totals: | Count: | Amount: |
|--|-----------------------|---------------|----------------|
| Fiscal Year: 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|--|----------------|--------|----------------|--------|---------|----------|
|--|----------------|--------|----------------|--------|---------|----------|

FEDERAL

DEPT OF AGRICULTURE

| | | | | | | |
|--------------------------|---|---------|---|---------|----|----|
| USDA Forest Service-0300 | 3 | 196,000 | 5 | 288,781 | 67 | 47 |
|--------------------------|---|---------|---|---------|----|----|

| | | | | | | |
|-----------------------------------|----------|----------------|----------|----------------|-----------|-----------|
| Total: DEPT OF AGRICULTURE | 3 | 196,000 | 5 | 288,781 | 67 | 47 |
|-----------------------------------|----------|----------------|----------|----------------|-----------|-----------|

DEPT OF COMMERCE

| | | | | | | |
|---|---|--------|---|---|------|------|
| National Oceanic and Atmospheric Administration (NOAA)-0770 | 2 | 99,968 | 0 | 0 | -100 | -100 |
|---|---|--------|---|---|------|------|

| | | | | | | |
|--------------------------------|----------|---------------|----------|----------|-------------|-------------|
| Total: DEPT OF COMMERCE | 2 | 99,968 | 0 | 0 | -100 | -100 |
|--------------------------------|----------|---------------|----------|----------|-------------|-------------|

DEPT OF DEFENSE

DEPT OF AIR FORCE

| | | | | | | |
|--|---|---------|---|-----------|-----|----|
| DAF Air Force Misc Bases & Agencies-2499 | 8 | 902,102 | 6 | 1,067,527 | -25 | 18 |
|--|---|---------|---|-----------|-----|----|

| | | | | | | |
|--|---|---|---|---------|-----|-----|
| DAF Air Force Research Laboratory-2544 | 0 | 0 | 2 | 605,000 | 100 | 100 |
|--|---|---|---|---------|-----|-----|

| | | | | | | |
|--|---|-----------|---|---------|-----|-----|
| DAF Office Of Scientific Research-2416 | 4 | 2,167,174 | 2 | 282,986 | -50 | -87 |
|--|---|-----------|---|---------|-----|-----|

| | | | | | | |
|---------------------------------|-----------|------------------|-----------|------------------|------------|------------|
| Total: DEPT OF AIR FORCE | 12 | 3,069,276 | 10 | 1,955,513 | -17 | -36 |
|---------------------------------|-----------|------------------|-----------|------------------|------------|------------|

DEPT OF ARMY

| | | | | | | |
|---|---|---|---|---------|-----|-----|
| DA Army Cold Regions Research And Engineering Laboratory-2684 | 0 | 0 | 1 | 148,489 | 100 | 100 |
|---|---|---|---|---------|-----|-----|

| | | | | | | |
|----------------------------------|---|--------|---|---------|-----|-------|
| DA Army Materiel Command/Hq-2650 | 1 | 69,940 | 5 | 950,655 | 400 | 1,259 |
|----------------------------------|---|--------|---|---------|-----|-------|

| | | | | | | |
|---|---|---|---|-----------|-----|-----|
| DA Army Medical Research and Materiel Command -2735 | 0 | 0 | 1 | 1,495,405 | 100 | 100 |
|---|---|---|---|-----------|-----|-----|

| | | | | | | |
|--------------------------------------|----|-----------|----|-----------|----|-----|
| DA Army Misc Bases And Agencies-2799 | 11 | 2,580,038 | 15 | 5,582,275 | 36 | 116 |
|--------------------------------------|----|-----------|----|-----------|----|-----|

| | | | | | | |
|----------------------------------|---|---------|---|--------|-----|-----|
| DA Army Research Laboratory-FE39 | 1 | 500,000 | 2 | 50,000 | 100 | -90 |
|----------------------------------|---|---------|---|--------|-----|-----|

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| Parameters: | Report Totals: | Count: | Amount: |
|--|-----------------------|---------------|----------------|
| Fiscal Year: 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|--|----------------|--------|----------------|--------|---------|----------|
|--|----------------|--------|----------------|--------|---------|----------|

FEDERAL

DEPT OF DEFENSE

DEPT OF ARMY

| | | | | | | |
|---|-----------|-------------------|-----------|-------------------|-----------|----------|
| DA Army Research, Durham, N.C. Office (AROD)-2775 | 37 | 10,998,650 | 23 | 5,634,173 | -38 | -49 |
| DA Army Research, Wash D.C. Office-2610 | 4 | 261,371 | 5 | 448,184 | 25 | 71 |
| DA Natick Contracting Division (Natick Laboratories)-2755 | 0 | 0 | 1 | 95,000 | 100 | 100 |
| Total: DEPT OF ARMY | 54 | 14,409,999 | 53 | 14,404,181 | -2 | 0 |

DEPT OF NAVY

| | | | | | | |
|---|-----------|-------------------|-----------|-------------------|-----------|-----------|
| DN Naval Health Research Center-FE2D | 1 | 1,471,919 | 1 | 34,559 | 0 | -98 |
| DN Naval Postgraduate School-2944 | 1 | 47,000 | 0 | 0 | -100 | -100 |
| DN Naval Surface Warfare Center-2857 | 2 | 351,510 | 0 | 0 | -100 | -100 |
| DN Navy Misc Bases & Agencies-2999 | 0 | 0 | 1 | 4,000,000 | 100 | 100 |
| DN Office Of Naval Research (ONR)-2888 | 38 | 7,249,823 | 41 | 8,402,402 | 8 | 16 |
| DN Space & Naval Warfare Systems Command-2862 | 4 | 1,232,908 | 2 | 962,175 | -50 | -22 |
| Total: DEPT OF NAVY | 46 | 10,353,160 | 45 | 13,399,136 | -2 | 29 |

SEPARATE AGENCIES

| | | | | | | |
|---|-----------|------------------|-----------|------------------|-----------|-----------|
| DOD Advanced Research Projects Agency (DARPA)-2025 | 8 | 3,862,808 | 10 | 3,719,489 | 25 | -4 |
| DOD Defense Threat Reduction Agency-2226 | 2 | 349,999 | 1 | 151,253 | -50 | -57 |
| DOD National Geospatial Intelligence Agency,nga(Frmly Imagery&Mapping)-2250 | 1 | 119,190 | 2 | 293,002 | 100 | 146 |
| Total: SEPARATE AGENCIES | 11 | 4,331,997 | 13 | 4,163,744 | 18 | -4 |

Total: DEPT OF DEFENSE

| | | | | | | |
|--|------------|-------------------|------------|-------------------|-----------|----------|
| | 123 | 32,164,432 | 121 | 33,922,574 | -2 | 5 |
|--|------------|-------------------|------------|-------------------|-----------|----------|

DEPT OF EDUCATION

| | | | | | | |
|---|---|---------|---|-----------|----|----|
| ED Elementary & Secondary Education, Assistant Secretary For-3006 | 1 | 524,847 | 1 | 524,978 | 0 | 0 |
| ED Institute of Education Sciences (IES)-F70F | 2 | 733,229 | 3 | 1,350,410 | 50 | 84 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | |
|---------------------|------------------------|-----------------------|---------------|----------------|
| Parameters: | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|--|----------------|--------|----------------|--------|---------|----------|
|--|----------------|--------|----------------|--------|---------|----------|

FEDERAL

DEPT OF EDUCATION

| | | | | | | |
|---|---|---------|---|--------|------|------|
| ED Special Education & Rehabilitation Servs, Assistant Secretary For-3090 | 1 | 250,000 | 0 | 0 | -100 | -100 |
| ED U.S. Department of Education Miscellaneous Agencies-3099 | 0 | 0 | 1 | 50,954 | 100 | 100 |

| | | | | | | |
|---------------------------------|----------|------------------|----------|------------------|-----------|-----------|
| Total: DEPT OF EDUCATION | 4 | 1,508,076 | 5 | 1,926,342 | 25 | 28 |
|---------------------------------|----------|------------------|----------|------------------|-----------|-----------|

DEPT OF ENERGY

| | | | | | | |
|--|----|-----------|----|-----------|------|------|
| DOE Advanced Research Projects Agency-Energy (ARPA-E)-F47D | 2 | 4,307,423 | 0 | 0 | -100 | -100 |
| DOE Chicago Field Office-5063 | 6 | 2,162,973 | 4 | 1,054,774 | -33 | -51 |
| DOE Miscellaneous Offices And Programs-5099 | 12 | 2,515,392 | 16 | 3,507,518 | 33 | 39 |
| DOE National Nuclear Security Administration-F846 | 1 | 150,000 | 1 | 150,000 | 0 | 0 |
| DOE Washington, D.C.-5090 | 6 | 3,619,647 | 1 | 365,000 | -83 | -90 |

| | | | | | | |
|------------------------------|-----------|-------------------|-----------|------------------|------------|------------|
| Total: DEPT OF ENERGY | 27 | 12,755,435 | 22 | 5,077,292 | -19 | -60 |
|------------------------------|-----------|-------------------|-----------|------------------|------------|------------|

HEALTH & HUMAN SVCS

PHS/NATL INST HEALTH

| | | | | | | |
|---|---|-----------|----|-----------|------|------|
| NIH Alcohol Abuse And Alcoholism, National Institute Of-3171 | 1 | 336,419 | 0 | 0 | -100 | -100 |
| NIH Allergy & Infectious Diseases, National Institute Of-3445 | 4 | 2,109,949 | 2 | 533,467 | -50 | -75 |
| NIH Biomedical Imaging And Bioengineering, Natl Institute Of (NIBIB)-4319 | 3 | 1,012,610 | 3 | 334,410 | 0 | -67 |
| NIH Center For Scientific Review-5013 | 0 | 0 | 1 | 301,967 | 100 | 100 |
| NIH Child Health & Human Development, National Institute Of-3465 | 8 | 1,863,350 | 12 | 1,921,465 | 50 | 3 |
| NIH Deafness & Other Communication Disorders, Natl Institute On-3470 | 2 | 479,688 | 4 | 898,098 | 100 | 87 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| Parameters: | Report Totals: | Count: | Amount: |
|--|-----------------------|---------------|----------------|
| Fiscal Year: 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|--|----------------|--------|----------------|--------|---------|----------|
|--|----------------|--------|----------------|--------|---------|----------|

FEDERAL

HEALTH & HUMAN SVCS

PHS/NATL INST HEALTH

| | | | | | | |
|---|----|-----------|----|-----------|------|------|
| NIH Dental And NIH Research, National Institute Of-3475 | 1 | 374,123 | 1 | 342,267 | 0 | -9 |
| NIH Diabetes, Digestive & Kidney Diseases, National Institute Of-3457 | 2 | 647,666 | 2 | 652,306 | 0 | 1 |
| NIH Drug Abuse, National Institute Of-3172 | 2 | 330,256 | 2 | 332,431 | 0 | 1 |
| NIH Environmental Health Sciences, National Institute Of-3420 | 1 | 76,750 | 0 | 0 | -100 | -100 |
| NIH General Medical Sciences, National Institute Of-3485 | 11 | 3,640,485 | 17 | 6,902,412 | 55 | 90 |
| NIH Heart, Lung & Blood, National Institute Of-3435 | 1 | 712,652 | 2 | 1,053,701 | 100 | 48 |
| NIH Mental Health, National Institute Of-3170 | 4 | 889,287 | 4 | 676,053 | 0 | -24 |
| NIH Natl Cancer Institute-3425 | 2 | 254,311 | 2 | 938,029 | 0 | 269 |
| NIH Natl Ctr Complementary & Alternative Medicine-3405 | 0 | 0 | 2 | 422,125 | 100 | 100 |
| NIH Natl Eye Institute-3490 | 3 | 1,151,875 | 4 | 957,133 | 33 | -17 |
| NIH Natl Institute On Aging-3440 | 4 | 1,570,319 | 2 | 529,479 | -50 | -66 |
| NIH Office Of The Director-F977 | 2 | 829,771 | 1 | 216,853 | -50 | -74 |

| | | | | | | |
|------------------------------------|-----------|-------------------|-----------|-------------------|-----------|----------|
| Total: PHS/NATL INST HEALTH | 51 | 16,279,511 | 61 | 17,012,196 | 20 | 5 |
|------------------------------------|-----------|-------------------|-----------|-------------------|-----------|----------|

| | | | | | | |
|---------------------------------------|-----------|-------------------|-----------|-------------------|-----------|----------|
| Total: HEALTH & HUMAN SVCS | 51 | 16,279,511 | 61 | 17,012,196 | 20 | 5 |
|---------------------------------------|-----------|-------------------|-----------|-------------------|-----------|----------|

DEPT OF INTERIOR

| | | | | | | |
|--|---|---------|---|---------|-----|-----|
| USDI Bureau of Land Management-4130 | 2 | 60,000 | 1 | 20,000 | -50 | -67 |
| USDI Bureau of Ocean Energy Management (BOEM)-4120 | 6 | 774,557 | 1 | 455,000 | -83 | -41 |
| USDI Bureau of Safety and Environmental Enforcement-VBRY | 0 | 0 | 1 | 499,949 | 100 | 100 |
| USDI Fish And Wildlife Service-4132 | 5 | 351,864 | 1 | 44,000 | -80 | -87 |
| USDI Geological Survey (Incl Natl Biological Service)-4190 | 9 | 431,673 | 3 | 82,549 | -67 | -81 |
| USDI National Park Service-4180 | 3 | 416,087 | 4 | 387,569 | 33 | -7 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | |
|---------------------|------------------------|-----------------------|---------------|----------------|
| Parameters: | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|--------------------------------|----------------|------------------|----------------|------------------|------------|------------|
| Total: DEPT OF INTERIOR | 25 | 2,034,181 | 11 | 1,489,067 | -56 | -27 |

DEPT OF STATE

| | | | | | | |
|--|---|---------|---|---|------|------|
| USDS Bureau Of Educational And Cultural Affairs-4293 | 1 | 279,047 | 0 | 0 | -100 | -100 |
|--|---|---------|---|---|------|------|

| | | | | | | |
|-----------------------------|----------|----------------|----------|----------|-------------|-------------|
| Total: DEPT OF STATE | 1 | 279,047 | 0 | 0 | -100 | -100 |
|-----------------------------|----------|----------------|----------|----------|-------------|-------------|

NATL ARNATC & SPC AD

| | | | | | | |
|--|----|-----------|----|-----------|-----|-----|
| NASA George C. Marshall Space Flight Center-5112 | 1 | 32,000 | 1 | 233,702 | 0 | 630 |
| NASA Goddard Space Flight Center-5118 | 5 | 438,520 | 2 | 105,332 | -60 | -76 |
| NASA Miscellaneous Centers-5101 | 12 | 1,540,475 | 18 | 1,897,561 | 50 | 23 |
| NASA Office of the Chief Technologist-V8PU | 1 | 72,000 | 2 | 71,000 | 100 | -1 |
| NASA Shared Services Center (NSSC)-5105 | 9 | 1,529,294 | 6 | 1,362,044 | -33 | -11 |
| NASA Washington, D.C. HQ-5136 | 4 | 927,550 | 5 | 849,545 | 25 | -8 |

| | | | | | | |
|--|-----------|------------------|-----------|------------------|----------|----------|
| Total: NATL ARNATC & SPC AD | 32 | 4,539,839 | 34 | 4,519,184 | 6 | 0 |
|--|-----------|------------------|-----------|------------------|----------|----------|

NATL FND ARTS & HUM

| | | | | | | |
|---|---|--------|---|---------|-----|-------|
| NFAH Arts, National Endowment For The-5260 | 1 | 25,000 | 1 | 25,000 | 0 | 0 |
| NFAH Institute Of Museum And Library Services-3004 | 0 | 0 | 2 | 212,749 | 100 | 100 |
| NFAH National Endowment for the Humanities-NEH-5280 | 1 | 30,000 | 4 | 515,000 | 300 | 1,617 |

| | | | | | | |
|---------------------------------------|----------|---------------|----------|----------------|------------|-------------|
| Total: NATL FND ARTS & HUM | 2 | 55,000 | 7 | 752,749 | 250 | 1269 |
|---------------------------------------|----------|---------------|----------|----------------|------------|-------------|

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| Parameters: | | Report Totals: | | Count: | Amount: | | |
|-----------------------------------|--|-----------------------|------------------|----------------|------------------|------------|------------|
| Fiscal Year: | 2017 | FY 2017: | 871 | 184,551,821 | | | |
| Dates: (Award Date) | 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 | | | |
| | | Percent Change: | 2 | 0 | | | |
| | | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
| FEDERAL | | | | | | | |
| SMITHSONIAN | | | | | | | |
| | Smithsonian Fellowships & Grants, Office Of-5305 | 0 | 0 | 1 | 102,616 | 100 | 100 |
| Total: SMITHSONIAN | | 0 | 0 | 1 | 102,616 | 100 | 100 |
| OTHER AGENCIES | | | | | | | |
| | Environmental Protection Agency-3900 | 2 | 593,751 | 1 | 222,972 | -50 | -62 |
| | Nuclear Regulatory Commission-5270 | 4 | 340,333 | 0 | 0 | -100 | -100 |
| Total: OTHER AGENCIES | | 6 | 934,084 | 1 | 222,972 | -83 | -76 |
| NATL SCIENCE FND | | | | | | | |
| GEOSCIENCES | | | | | | | |
| | National Science Foundation-NSF-5400 | 26 | 8,673,432 | 27 | 7,959,258 | 4 | -8 |
| Total: GEOSCIENCES | | 26 | 8,673,432 | 27 | 7,959,258 | 4 | -8 |
| BIOLOGICAL SCIENCES | | | | | | | |
| | National Science Foundation-NSF-5400 | 21 | 4,456,859 | 19 | 4,255,541 | -10 | -5 |
| Total: BIOLOGICAL SCIENCES | | 21 | 4,456,859 | 19 | 4,255,541 | -10 | -5 |
| ENGINEERING | | | | | | | |
| | National Science Foundation-NSF-5400 | 16 | 1,908,887 | 18 | 5,158,108 | 13 | 170 |
| Total: ENGINEERING | | 16 | 1,908,887 | 18 | 5,158,108 | 13 | 170 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| Parameters: | | | Report Totals: | | Count: | Amount: |
|---|--------------------------------------|---------------|-----------------------|--------------------|----------------|--------------------|
| Fiscal Year: | 2017 | | FY 2017: | | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 | To: 6/30/2017 | FY 2016: | | 857 | 183,874,648 |
| | | | Percent Change: | | 2 | 0 |
| | | | FY 2016: Count | Amount | FY 2017: Count | Amount |
| | | | | | % Count | % Amount |
| FEDERAL | | | | | | |
| NATL SCIENCE FND | | | | | | |
| MATH & PHYSICAL SCI | | | | | | |
| | National Science Foundation-NSF-5400 | | 49 | 16,321,175 | 42 | 15,282,204 |
| Total: MATH & PHYSICAL SCI | | | 49 | 16,321,175 | 42 | 15,282,204 |
| EDUC & HUMAN RESOURCES | | | | | | |
| | National Science Foundation-NSF-5400 | | 4 | 4,114,616 | 6 | 9,818,499 |
| Total: EDUC & HUMAN RESOURCES | | | 4 | 4,114,616 | 6 | 9,818,499 |
| SOCIAL, BEHAV & ECON SCI | | | | | | |
| | National Science Foundation-NSF-5400 | | 11 | 930,347 | 7 | 658,521 |
| Total: SOCIAL, BEHAV & ECON SCI | | | 11 | 930,347 | 7 | 658,521 |
| COMP & INFO SCI & ENG | | | | | | |
| | National Science Foundation-NSF-5400 | | 23 | 8,969,807 | 22 | 4,285,131 |
| Total: COMP & INFO SCI & ENG | | | 23 | 8,969,807 | 22 | 4,285,131 |
| OFC OF INTL SCI & ENG | | | | | | |
| | National Science Foundation-NSF-5400 | | 0 | 0 | 1 | 250,000 |
| Total: OFC OF INTL SCI & ENG | | | 0 | 0 | 1 | 250,000 |
| OFC OF POLAR PROGRAMS | | | | | | |
| | National Science Foundation-NSF-5400 | | 0 | 0 | 1 | 15,000 |
| Total: OFC OF POLAR PROGRAMS | | | 0 | 0 | 1 | 15,000 |
| Total: NATL SCIENCE FND | | | 150 | 45,375,123 | 143 | 47,682,262 |
| Total: FEDERAL | | | 426 | 116,220,696 | 411 | 112,996,035 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | | |
|---------------------|----------|---------------|-----------------------|---------------|----------------|
| Parameters: | | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 | To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|--|----------------|--------|----------------|--------|---------|----------|
|--|----------------|--------|----------------|--------|---------|----------|

INDUSTRY

| | | | | | | |
|--|---|-----------|---|---------|------|------|
| Advanced Oxide Devices, LLC-VFZA | 0 | 0 | 1 | 22,000 | 100 | 100 |
| Agnitron Technology, Inc.-VF3T | 0 | 0 | 1 | 29,333 | 100 | 100 |
| Alliance for Sustainable Energy, LLC-F7FD | 2 | 70,358 | 4 | 181,403 | 100 | 158 |
| Amec (Great Britain)-4392 | 1 | 56,268 | 1 | 68,868 | 0 | 22 |
| AMPAC Inc.-VH53 | 0 | 0 | 1 | 81,865 | 100 | 100 |
| Angstrom Designs Engrg Servs-V9XZ | 0 | 0 | 1 | 38,000 | 100 | 100 |
| Aptitude Medical-VE35 | 0 | 0 | 1 | 15,001 | 100 | 100 |
| Aurrion, LLC.-F232 | 2 | 372,000 | 0 | 0 | -100 | -100 |
| Bae Systems-4288 | 2 | 449,686 | 1 | 178,192 | -50 | -60 |
| Biosolar-VC08 | 2 | 78,953 | 0 | 0 | -100 | -100 |
| Capacitor Sciences, Inc-VC0Q | 1 | 0 | 0 | 0 | -100 | -100 |
| Carbon Sciences, Inc.-VC88 | 1 | 65,497 | 1 | 65,009 | 0 | -1 |
| Central Research Institute Of Electric Power Industry (Japan)-2134 | 0 | 0 | 1 | 150,000 | 100 | 100 |
| Chevron Corporation-8281 | 1 | 50,000 | 1 | 100,000 | 0 | 100 |
| Chevron Phillips Chemical Company LLC-VEGH | 0 | 0 | 1 | 60,000 | 100 | 100 |
| DCS Corporation-F4E4 | 0 | 0 | 1 | 544,851 | 100 | 100 |
| Denso Corporation (Japan)-3366 | 0 | 0 | 2 | 160,204 | 100 | 100 |
| DOW Chemical Company-8386 | 5 | 1,641,016 | 3 | 475,105 | -40 | -71 |
| Dr. Reddy's Laboratories Ltd.-VA04 | 0 | 0 | 2 | 504,716 | 100 | 100 |
| Fluency Lighting, Inc.-VE08 | 1 | 103,250 | 0 | 0 | -100 | -100 |
| Freedom Photonics Llc-FD2A | 1 | 72,400 | 4 | 207,383 | 300 | 186 |
| Genentech, Inc.-8485 | 1 | 326,264 | 0 | 0 | -100 | -100 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | | |
|---------------------|----------|---------------|-----------------------|---------------|----------------|
| Parameters: | | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 | To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|--|----------------|--------|----------------|--------|---------|----------|
|--|----------------|--------|----------------|--------|---------|----------|

INDUSTRY

| | | | | | | |
|---|---|-----------|---|-----------|------|------|
| General Dynamics Corporation (Incl Aerospace,marine,combat,&Info Syst)-8496 | 3 | 100,000 | 0 | 0 | -100 | -100 |
| General Electric Corp.-8498 | 1 | 500,000 | 0 | 0 | -100 | -100 |
| Google Inc.-FE36 | 1 | 1,147,256 | 3 | 1,368,635 | 200 | 19 |
| Great Lakes Fishery Commission-FFBC | 0 | 0 | 1 | 13,236 | 100 | 100 |
| Halliburton-V9WP | 1 | 150,000 | 0 | 0 | -100 | -100 |
| Honeywell, Inc. (Includes Sperry Flight & Tetrtech Data Systems, Inc)-8572 | 2 | 575,000 | 3 | 1,463,032 | 50 | 154 |
| Howmet Corporation-0764 | 1 | 53,427 | 0 | 0 | -100 | -100 |
| Huawei Technologies Co. Ltd.-FC6A | 1 | 559,478 | 3 | 1,026,498 | 200 | 83 |
| IHI Corporation-VB3D | 1 | 45,000 | 2 | 126,173 | 100 | 180 |
| Intel Corporation-8635 | 1 | 110,000 | 0 | 0 | -100 | -100 |
| Lawrence Livermore National Security, Llc-FC57 | 0 | 0 | 1 | 5,295 | 100 | 100 |
| Lg Industrial Systems Co., Ltd.(Korea)(Incl Lg Biomed & Lg Electronic)-0670 | 1 | 150,000 | 0 | 0 | -100 | -100 |
| Lockheed Martin Corporation-8752 | 0 | 0 | 2 | 2,207,327 | 100 | 100 |
| Mayachitra, Inc.-6229 | 2 | 137,000 | 2 | 194,378 | 0 | 42 |
| MedImmune, Inc.-1677 | 1 | 79,999 | 1 | 50,000 | 0 | -37 |
| Mitsubishi Group (Japan)-7752 | 8 | 1,140,771 | 9 | 1,219,266 | 13 | 7 |
| Morton Photonics-VBY0 | 1 | 350,000 | 1 | 250,000 | 0 | -29 |
| National Security Technologies, Llc-FF96 | 2 | 37,357 | 0 | 0 | -100 | -100 |
| Nokia Research Center-VDPJ | 1 | 357,000 | 0 | 0 | -100 | -100 |
| Northrop Grumman Corporation (Incl Logicon Inc, Xetron Corporation)-8941 | 0 | 0 | 2 | 228,794 | 100 | 100 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | |
|---------------------|------------------------|-----------------------|---------------|----------------|
| Parameters: | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | Percent Change: | 2 | 0 |

| INDUSTRY | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|---|----------------|-----------|----------------|---------|---------|----------|
| Novartis Pharmaceutical (Switzerland) (Merger Of Ciba-Geigy & Sandoz)-9077 | 1 | 182,000 | 1 | 455,000 | 0 | 150 |
| Panorama Education-VECZ | 1 | 94,506 | 0 | 0 | -100 | -100 |
| Physical Optics Corporation-1717 | 1 | 100,000 | 0 | 0 | -100 | -100 |
| Physical Sciences Inc.-3878 | 1 | 124,744 | 1 | 125,256 | 0 | 0 |
| Pratt & Whitney-F688 | 3 | 415,841 | 2 | 350,000 | -33 | -16 |
| Procter & Gamble Company-9004 | 1 | 280,000 | 0 | 0 | -100 | -100 |
| QuinStar Technology, Inc.-F803 | 1 | 18,000 | 0 | 0 | -100 | -100 |
| Raytheon BBN Technologies Corp.-FFE7 | 3 | 891,735 | 5 | 776,816 | 67 | -13 |
| Raytheon Company-9020 | 0 | 0 | 1 | 39,979 | 100 | 100 |
| Roy F. Weston, Inc.-0590 | 2 | 99,036 | 0 | 0 | -100 | -100 |
| Samsung-0053 | 1 | 125,000 | 2 | 310,000 | 100 | 148 |
| Siemens (Incl U.S. Subsidiaries) (Germany)-7076 | 1 | 138,000 | 0 | 0 | -100 | -100 |
| Simpson And Simpson Business And Personnel Services, Inc.-1811 | 1 | 5,362,124 | 0 | 0 | -100 | -100 |
| Societa National D Detudes Et De Construction De Moteurs D Aviation (SNECMA)-VAOZ | 1 | 65,190 | 0 | 0 | -100 | -100 |
| Solvay-7422 | 1 | 135,000 | 0 | 0 | -100 | -100 |
| Strobe, Inc.-VHC2 | 0 | 0 | 1 | 30,000 | 100 | 100 |
| Teledyne (Incl Electronic Tech, Microwave, Adv Materials, Relay, Etc)-9201 | 4 | 226,500 | 6 | 201,300 | 50 | -11 |
| Toyon Research Corporation-2884 | 3 | 202,375 | 4 | 301,125 | 33 | 49 |
| United Technologies Corp(Intl Fuel Cells&United Tech Res Ctr,sikorsky)-9251 | 2 | 40,000 | 0 | 0 | -100 | -100 |

**UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR**

REPORT DATE: 7/14/2017

| | | | | | |
|---------------------|----------|---------------|-----------------------|---------------|----------------|
| Parameters: | | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 | To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | | Percent Change: | 2 | 0 |

| | | | | | | |
|--|----------------|--------|----------------|--------|---------|----------|
| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|--|----------------|--------|----------------|--------|---------|----------|

INDUSTRY

| | | | | | | |
|--------------------------|---|---------|---|---------|------|------|
| Vibrant-V9HX | 1 | 129,842 | 1 | 100,000 | 0 | -23 |
| X2 Biosystems, Inc.-VE6K | 1 | 73,373 | 0 | 0 | -100 | -100 |

| | | | | | | |
|------------------------|-----------|-------------------|-----------|-------------------|----------|------------|
| Total: INDUSTRY | 78 | 17,481,246 | 81 | 13,724,040 | 4 | -21 |
|------------------------|-----------|-------------------|-----------|-------------------|----------|------------|

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | | |
|---------------------|----------|---------------|-----------------------|---------------|----------------|
| Parameters: | | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 | To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|-----------------|----------------|--------|----------------|--------|---------|----------|
| STATE AND LOCAL | | | | | | |

| | | | | | | |
|---|----|---------|----|-----------|------|------|
| Cal Coastal Conservancy-6524 | 2 | 950,000 | 5 | 2,810,589 | 150 | 196 |
| Cal Department of Boating and Waterways-6525 | 1 | 14,070 | 1 | 40,000 | 0 | 184 |
| Cal Department Of Education-6376 | 18 | 559,934 | 12 | 702,429 | -33 | 25 |
| Cal Department Of Fish and Wildlife-6530 | 1 | 999,989 | 3 | 1,199,595 | 200 | 20 |
| Cal Department of Parks and Recreation-6540 | 1 | 182,584 | 0 | 0 | -100 | -100 |
| Cal Department Of Transportation-6681 | 0 | 0 | 1 | 2,449,000 | 100 | 100 |
| Cal Employment Development Department-6390 | 1 | 149,471 | 0 | 0 | -100 | -100 |
| Cal Epa Pesticide Regulation-6320 | 0 | 0 | 1 | 188,043 | 100 | 100 |
| Cal EPA Water Control Board-6555 | 0 | 0 | 1 | 200,000 | 100 | 100 |
| Cal H&W Public Health, Department of (CDPH)-FD71 | 0 | 0 | 1 | 1,017,612 | 100 | 100 |
| Cal State University Chico-FC8C | 1 | 50,759 | 0 | 0 | -100 | -100 |
| California Ocean Protection Council-AEBE | 0 | 0 | 2 | 1,079,161 | 100 | 100 |
| California Wildlife Conservation Board-F972 | 0 | 0 | 1 | 3,820,000 | 100 | 100 |
| Department of Resources Recycling and Recovery (Cal Recycle)-F454 | 1 | 20,725 | 0 | 0 | -100 | -100 |
| First 5 Santa Barbara County-AF51 | 0 | 0 | 1 | 110,000 | 100 | 100 |
| Goleta Union School District-VG70 | 0 | 0 | 1 | 29,177 | 100 | 100 |
| Los Angeles, City Of-6897 | 2 | 102,637 | 0 | 0 | -100 | -100 |
| Ministry of Science, Education and Sports of the Republic of Croatia-VC4V | 3 | 61,400 | 0 | 0 | -100 | -100 |
| Parks Canada-VCA9 | 1 | 96,451 | 2 | 17,845 | 100 | -81 |
| Santa Barbara County Special Education Local Plan Area Office-VCXO | 0 | 0 | 2 | 73,564 | 100 | 100 |
| Santa Barbara Unified School District-3708 | 1 | 9,585 | 0 | 0 | -100 | -100 |
| Santa Barbara, City Of-6992 | 0 | 0 | 2 | 42,173 | 100 | 100 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | |
|---------------------|------------------------|-----------------------|---------------|----------------|
| Parameters: | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|--|----------------|--------|----------------|--------|---------|----------|
|--|----------------|--------|----------------|--------|---------|----------|

STATE AND LOCAL

| | | | | | | |
|---|---|-----------|----|---------|------|------|
| Santa Barbara, County of-6994 | 5 | 416,886 | 15 | 607,344 | 200 | 46 |
| Sonoma County Agricultural Preservation and Open Space District-F71B | 0 | 0 | 2 | 50,000 | 100 | 100 |
| Southern Calif Coastal Water Research Proj Auth, A Public Agency-7426 | 1 | 36,669 | 2 | 44,557 | 100 | 22 |
| State of California-6391 | 1 | 9,000 | 0 | 0 | -100 | -100 |
| Subaru Telescope-VBAJ | 1 | 88,995 | 1 | 29,785 | 0 | -67 |
| Ventura County-7016 | 1 | 1,349,008 | 0 | 0 | -100 | -100 |

| | | | | | | |
|-------------------------------|-----------|------------------|-----------|-------------------|-----------|------------|
| Total: STATE AND LOCAL | 42 | 5,098,163 | 56 | 14,510,874 | 33 | 185 |
|-------------------------------|-----------|------------------|-----------|-------------------|-----------|------------|

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | |
|---------------------|------------------------|-----------------------|---------------|----------------|
| Parameters: | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|----|----------------|--------|----------------|--------|---------|----------|
| UC | | | | | | |

| | | | | | | |
|---|---|-----------|----|-----------|------|-------|
| UC Global Health Insitute-V800 | 0 | 0 | 1 | 100,000 | 100 | 100 |
| UC Agriculture And Natural Resources-6774 | 1 | 12,866 | 4 | 65,749 | 300 | 411 |
| UC Berkeley-UCB-6761 | 9 | 2,062,544 | 10 | 674,850 | 11 | -67 |
| UC California Math Project-6724 | 2 | 39,000 | 2 | 39,000 | 0 | 0 |
| UC California Science Project-6740 | 2 | 57,000 | 2 | 57,000 | 0 | 0 |
| UC California Writing Project-6727 | 2 | 60,807 | 2 | 59,619 | 0 | -2 |
| UC Cancer Research Coordinating Committee-6728 | 2 | 110,000 | 2 | 110,000 | 0 | 0 |
| UC Center For Water Resources-4803 | 3 | 1,788,208 | 2 | 1,576,010 | -33 | -12 |
| UC Davis-6763 | 5 | 628,220 | 3 | 124,627 | -40 | -80 |
| UC Humanities Research Institute-3955 | 0 | 0 | 1 | 32,500 | 100 | 100 |
| UC Irvine-6772 | 2 | 100,000 | 3 | 1,395,532 | 50 | 1,296 |
| UC Links (University-Community Links)-6755 | 1 | 25,811 | 1 | 25,500 | 0 | -1 |
| UC Los Angeles-6764 | 4 | 1,434,086 | 5 | 1,495,811 | 25 | 4 |
| UC Merced-6777 | 2 | 144,450 | 3 | 182,187 | 50 | 26 |
| UC Mesa Program-6703 | 2 | 190,000 | 4 | 197,692 | 100 | 4 |
| UC Mexus-6732 | 9 | 57,119 | 9 | 95,944 | 0 | 68 |
| UC Multicampus Research Prgorams and Initiatives-F4A9 | 1 | 149,728 | 2 | 448,216 | 100 | 199 |
| UC Office Of The President-6773 | 0 | 0 | 1 | 142,000 | 100 | 100 |
| UC Riverside-6765 | 2 | 25,000 | 0 | 0 | -100 | -100 |
| UC San Diego-6778 | 4 | 587,579 | 7 | 737,534 | 75 | 26 |
| UC San Francisco (UCSF)-6762 | 0 | 0 | 1 | 142,487 | 100 | 100 |
| UC Santa Cruz-6769 | 3 | 80,369 | 7 | 432,364 | 133 | 438 |

**UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR**

REPORT DATE: 7/14/2017

| | | | | | |
|---------------------|----------|---------------|-----------------------|---------------|----------------|
| Parameters: | | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 | To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | | Percent Change: | 2 | 0 |

| | | | | | | |
|--|-----------------------|---------------|-----------------------|---------------|----------------|-----------------|
| | <u>FY 2016: Count</u> | <u>Amount</u> | <u>FY 2017: Count</u> | <u>Amount</u> | <u>% Count</u> | <u>% Amount</u> |
|--|-----------------------|---------------|-----------------------|---------------|----------------|-----------------|

| | | | | | | |
|------------------|-----------------------------------|-----------|------------------|-----------|------------------|-----------|
| UC | | | | | | |
| | UC Sea Grant College Program-6735 | 6 | 281,150 | 8 | 357,630 | 33 |
| Total: UC | | 62 | 7,833,937 | 80 | 8,492,252 | 29 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | | |
|---------------------|----------|---------------|-----------------------|---------------|----------------|
| Parameters: | | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 | To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|--|----------------|--------|----------------|--------|---------|----------|
|--|----------------|--------|----------------|--------|---------|----------|

NOT PROFIT

| | | | | | | |
|---|---|---------|---|---------|------|------|
| Albert & Elaine Borchard Foundation, Inc.-7634 | 1 | 35,000 | 0 | 0 | -100 | -100 |
| Alfred P. Sloan Foundation-8020 | 0 | 0 | 3 | 136,531 | 100 | 100 |
| Alzheimer's Assn (Incl Alzheimer's Disease & Rel Disorders Assn, Inc.)-8033 | 0 | 0 | 1 | 174,999 | 100 | 100 |
| American Association of Physical Anthropologists-VFAW | 1 | 5,000 | 0 | 0 | -100 | -100 |
| American Cancer Soc, Inc.-8032 | 0 | 0 | 1 | 163,500 | 100 | 100 |
| American Cncl Of Learned Societies-8042 | 5 | 188,505 | 1 | 5,000 | -80 | -97 |
| American Diabetes Assn-8048 | 0 | 0 | 1 | 325,000 | 100 | 100 |
| American Federation-Aging Research-8201 | 1 | 19,000 | 1 | 8,800 | 0 | -54 |
| American Iron And Steel Institute-8066 | 1 | 26,658 | 0 | 0 | -100 | -100 |
| American Psychological Association (Incl Amer Psychological Foundation-7687 | 1 | 1,000 | 0 | 0 | -100 | -100 |
| Arcadia Fund-FE18 | 1 | 0 | 0 | 0 | -100 | -100 |
| Arnold And Mabel Beckman Foundation-1015 | 2 | 123,510 | 0 | 0 | -100 | -100 |
| Assn for Asian Studies, Inc.-0355 | 0 | 0 | 1 | 5,000 | 100 | 100 |
| Bill & Melinda Gates Foundation-3243 | 1 | 100,000 | 0 | 0 | -100 | -100 |
| BrightFocus Foundation-8330 | 1 | 160,000 | 0 | 0 | -100 | -100 |
| Calif Artificial Reef Enhancement (Care)-4119 | 1 | 150,000 | 0 | 0 | -100 | -100 |
| Calif Trout, Inc.-0502 | 0 | 0 | 1 | 25,200 | 100 | 100 |
| California Sea Urchin Commission-FF3D | 0 | 0 | 1 | 10,000 | 100 | 100 |
| Camille & Henry Dreyfus Foundation-8236 | 0 | 0 | 2 | 195,000 | 100 | 100 |
| Cary Institute of Ecosystem Studies-5494 | 0 | 0 | 1 | 45,193 | 100 | 100 |
| Center for Community Change-VE90 | 1 | 38,526 | 1 | 63,800 | 0 | 66 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | |
|---------------------|------------------------|-----------------------|---------------|----------------|
| Parameters: | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|------------|----------------|--------|----------------|--------|---------|----------|
| NOT PROFIT | | | | | | |

| | | | | | | |
|--|---|-----------|---|-----------|------|------|
| Centro De Investigacion Cientifica De Ensenada (Cicese)(Mexico)-0778 | 1 | 798,220 | 0 | 0 | -100 | -100 |
| Chiang Ching-Kuo Fdn For Intl Scholarly Exchange (Taiwan)-7742 | 0 | 0 | 1 | 40,000 | 100 | 100 |
| Cold Spring Harbor Laboratory-6808 | 0 | 0 | 1 | 36,466 | 100 | 100 |
| Community Action Commission of Santa Barbara County-VBLK | 0 | 0 | 1 | 28,690 | 100 | 100 |
| Conservation International Foundation-7907 | 4 | 423,498 | 1 | 148,746 | -75 | -65 |
| David And Lucile Packard Foundation (The)-9072 | 2 | 300,000 | 3 | 1,605,000 | 50 | 435 |
| Donors Trust-VC6W | 1 | 95,741 | 0 | 0 | -100 | -100 |
| Earth Island Institute-VDWY | 1 | 1,000 | 0 | 0 | -100 | -100 |
| Electric Power Research Institute-8427 | 0 | 0 | 1 | 90,000 | 100 | 100 |
| Elsevier Foundation-FBFF | 1 | 53,435 | 0 | 0 | -100 | -100 |
| Evolving Earth Foundation-4967 | 0 | 0 | 1 | 2,840 | 100 | 100 |
| Ewing Marion Kauffman Fdn-1881 | 1 | 35,000 | 1 | 60,900 | 0 | 74 |
| Family Service Agency of Santa Barbara-VE0X | 1 | 0 | 0 | 0 | -100 | -100 |
| Fermi Research Alliance, LLC (Fra) (Incl Fermilab-Doe Goco)-FEB7 | 1 | 74,436 | 1 | 51,310 | 0 | -31 |
| Ford Foundation-8464 | 1 | 84,948 | 1 | 45,000 | 0 | -47 |
| Foundation For Child Development-8469 | 1 | 71,000 | 0 | 0 | -100 | -100 |
| Foundation for Personality and Social Psychology-VDTA | 1 | 1,000 | 0 | 0 | -100 | -100 |
| Freeman Foundation, (The)-2167 | 1 | 80,000 | 2 | 159,740 | 100 | 100 |
| G. Harold & Leila Y. Mathers Charitable Foundation-9429 | 0 | 0 | 1 | 660,000 | 100 | 100 |
| Get Focused, Stay Focused Inc-VFKD | 0 | 0 | 1 | 100,000 | 100 | 100 |
| Gordon And Betty Moore Foundation-3954 | 4 | 7,052,840 | 2 | 2,235,700 | -50 | -68 |
| H. Lee Moffitt Cancer Center & Research Institute, Inc.-7541 | 0 | 0 | 1 | 8,000 | 100 | 100 |

**UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR**

REPORT DATE: 7/14/2017

| Parameters: | | | Report Totals: | Count: | Amount: | | | |
|---------------------|----------|---------------|-----------------------|---------------|----------------|--------|---------|----------|
| Fiscal Year: | 2017 | | FY 2017: | 871 | 184,551,821 | | | |
| Dates: (Award Date) | 7/1/2016 | To: 6/30/2017 | FY 2016: | 857 | 183,874,648 | | | |
| | | | Percent Change: | 2 | 0 | | | |
| | | | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
| NOT PROFIT | | | | | | | | |

| | | | | | | |
|--|---|---------|---|---------|------|------|
| Haskins Laboratories, Inc.-VBPO | 0 | 0 | 1 | 27,830 | 100 | 100 |
| Howard Hughes Medical Institute-9953 | 2 | 350,600 | 2 | 350,000 | 0 | 0 |
| Human Frontier Science Program Organization (Intl)-VDTV | 2 | 153,200 | 4 | 218,280 | 100 | 42 |
| Inst For Advanced Study (The)-7363 | 0 | 0 | 1 | 45,000 | 100 | 100 |
| IQM Research Institute-VCTC | 1 | 155,178 | 0 | 0 | -100 | -100 |
| J. David Gladstone Institutes-1541 | 0 | 0 | 1 | 297,637 | 100 | 100 |
| Japan Foundation (The)-8666 | 1 | 5,000 | 1 | 3,200 | 0 | -36 |
| John Randolph Haynes And Dora Haynes Foundation (The)-8679 | 1 | 39,579 | 0 | 0 | -100 | -100 |
| Juvenile Diabetes Research Foundation International-8700 | 0 | 0 | 1 | 499,999 | 100 | 100 |
| Land Trust For Santa Barbara County-0014 | 1 | 923,718 | 0 | 0 | -100 | -100 |
| Laura and John Arnold Foundation-VAXE | 0 | 0 | 1 | 209,242 | 100 | 100 |
| Leo and Anne Charitable Trust-VB46 | 0 | 0 | 1 | 110,000 | 100 | 100 |
| Los Alamos National Security, LLC-FFDD | 2 | 90,539 | 2 | 160,000 | 0 | 77 |
| Marisla Foundation (Frmly Homeland Foundation)-7849 | 1 | 175,000 | 1 | 175,000 | 0 | 0 |
| Mind And Life Institute-6139 | 1 | 15,000 | 0 | 0 | -100 | -100 |
| National Academies Keck Futures Initiative-F7CD | 0 | 0 | 2 | 125,000 | 100 | 100 |
| National Geographic Society-8902 | 0 | 0 | 3 | 223,917 | 100 | 100 |
| National Philanthropic Trust-V9HL | 1 | 99,891 | 1 | 99,890 | 0 | 0 |
| Natl Academy Of Sciences-8870 | 0 | 0 | 1 | 319,700 | 100 | 100 |
| Natl Bureau Of Economic Research, Inc.-1224 | 1 | 12,500 | 2 | 29,219 | 100 | 134 |
| Natl Fish And Wildlife Foundation-7834 | 0 | 0 | 2 | 293,837 | 100 | 100 |
| Natl Research Council-8913 | 1 | 4,000 | 0 | 0 | -100 | -100 |
| Natl Writing Project Corporation-Nwp-1126 | 0 | 0 | 1 | 15,000 | 100 | 100 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | |
|---------------------|------------------------|-----------------------|---------------|----------------|
| Parameters: | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|------------|----------------|--------|----------------|--------|---------|----------|
| NOT PROFIT | | | | | | |

| | | | | | | |
|---|----|-----------|----|-----------|------|------|
| Nature Conservancy-7262 | 11 | 1,610,568 | 20 | 2,067,290 | 82 | 28 |
| Netherlands Organization For Appl Scientific Rsrch(Tno)(Netherlands)-2047 | 4 | 143,564 | 0 | 0 | -100 | -100 |
| New Venture Fund-F6ED | 0 | 0 | 2 | 289,979 | 100 | 100 |
| Oak Ridge Natl Lab-5080 | 16 | 923,210 | 7 | 337,211 | -56 | -63 |
| Oakland Zoo-VGWW | 0 | 0 | 1 | 10,815 | 100 | 100 |
| Ocean Conservancy-V8JF | 0 | 0 | 1 | 10,000 | 100 | 100 |
| OCEANA, INC.-1031 | 1 | 187,270 | 0 | 0 | -100 | -100 |
| Open Society Institute-0093 | 1 | 25,000 | 0 | 0 | -100 | -100 |
| Organization for Autism Research, Inc.-6130 | 1 | 30,000 | 0 | 0 | -100 | -100 |
| Packard Humanities Institute (The)-7395 | 1 | 300,000 | 1 | 500,000 | 0 | 67 |
| Phoenix Zoo-V8RW | 1 | 3,000 | 0 | 0 | -100 | -100 |
| Prince William Sound Science Center (Incl Oil Spill Recovery Inst)-2331 | 1 | 67,753 | 0 | 0 | -100 | -100 |
| Rainwater Charitable Foundation-VA56 | 1 | 195,318 | 1 | 510,000 | 0 | 161 |
| Rare-V9LG | 1 | 50,000 | 1 | 100,000 | 0 | 100 |
| Russell Sage Foundation-9060 | 1 | 34,258 | 0 | 0 | -100 | -100 |
| Safari Club International-2547 | 1 | 40,000 | 0 | 0 | -100 | -100 |
| San Francisco Zoo-VGRT | 0 | 0 | 1 | 17,850 | 100 | 100 |
| Sandia National Laboratories-9070 | 0 | 0 | 1 | 65,000 | 100 | 100 |
| Sanford-Burnham Medical Research Institute-2168 | 0 | 0 | 2 | 830,526 | 100 | 100 |
| Santa Barbara Cottage Hospital-7841 | 4 | 57,500 | 1 | 15,000 | -75 | -74 |
| Santa Barbara Education Foundation (What is LOVE project)-VCX2 | 1 | 19,987 | 0 | 0 | -100 | -100 |

**UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR**

REPORT DATE: 7/14/2017

| Parameters: | | | | Report Totals: | Count: | Amount: |
|---------------------|----------|-----|-----------|-----------------------|----------------|-----------------------|
| Fiscal Year: | 2017 | | | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 | To: | 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | | | Percent Change: | 2 | 0 |
| | | | | <u>FY 2016: Count</u> | <u>Amount</u> | <u>FY 2017: Count</u> |
| | | | | <u>Amount</u> | <u>% Count</u> | <u>% Amount</u> |
| NOT PROFIT | | | | | | |

| | | | | | | |
|--|---|-----------|---|-----------|------|------|
| Santa Barbara Foundation-0751 | 3 | 98,051 | 1 | 16,000 | -67 | -84 |
| Semiconductor Research Corporation-9102 | 5 | 370,659 | 4 | 449,372 | -20 | 21 |
| Sigma XI, The Scientific Research Society-1214 | 1 | 2,068 | 0 | 0 | -100 | -100 |
| Silicon Valley Community Foundation-9007 | 1 | 101,899 | 0 | 0 | -100 | -100 |
| Simons Foundation-FE2E | 4 | 3,084,018 | 8 | 2,029,748 | 100 | -34 |
| Society of Actuaries-FC5D | 1 | 98,538 | 1 | 98,538 | 0 | 0 |
| Spencer Foundation-9154 | 4 | 249,394 | 0 | 0 | -100 | -100 |
| Stuart Foundation (San Francisco, Ca)-9691 | 0 | 0 | 1 | 35,000 | 100 | 100 |
| The HDF Group-VBXO | 0 | 0 | 1 | 365,893 | 100 | 100 |
| The Wolf Museum of Exploration and Innovation -VF8D | 1 | 150,849 | 1 | 100,000 | 0 | -34 |
| Toshiba International Foudation-AE5C | 1 | 10,000 | 0 | 0 | -100 | -100 |
| U.S.-Israel Binat'I Science Foundation (Bsf) (Intl)-7350 | 5 | 103,717 | 3 | 63,415 | -40 | -39 |
| UC Lawrence Berkeley Laboratory-6760 | 4 | 298,047 | 5 | 637,237 | 25 | 114 |
| Union of Concerned Scientists-VEO6 | 1 | 32,000 | 0 | 0 | -100 | -100 |
| W.K. Kellogg Foundation-9296 | 0 | 0 | 1 | 146,314 | 100 | 100 |
| Waitt Family Foundation-4187 | 1 | 600,000 | 1 | 500,000 | 0 | -17 |
| Weitz Family Foundation-VEJ7 | 1 | 900,000 | 0 | 0 | -100 | -100 |
| WGBH Educational Foundation-VFE1 | 0 | 0 | 1 | 232,753 | 100 | 100 |
| World Wildlife Fund-9345 | 2 | 19,491 | 0 | 0 | -100 | -100 |

| | | | | | | |
|--------------------------|------------|-------------------|------------|-------------------|-----------|------------|
| Total: NOT PROFIT | 134 | 21,748,681 | 129 | 19,061,107 | -4 | -12 |
|--------------------------|------------|-------------------|------------|-------------------|-----------|------------|

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | |
|---------------------|------------------------|-----------------------|---------------|----------------|
| Parameters: | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|-----------|----------------|--------|----------------|--------|---------|----------|
| HIGHER ED | | | | | | |

| | | | | | | |
|--|---|---------|---|---------|------|------|
| Akron, University Of-0889 | 1 | 155,265 | 0 | 0 | -100 | -100 |
| American Educational Research Association-Aera-0034 | 0 | 0 | 1 | 34,838 | 100 | 100 |
| Arizona State University/Tempe-8123 | 1 | 21,532 | 2 | 209,626 | 100 | 874 |
| Assn Of Univ For Res Astronomy (Aka Space Teles Sci Inst)-9896 | 1 | 88,642 | 4 | 219,128 | 300 | 147 |
| Bermuda Institute of Ocean Sciences-V8RT | 2 | 364,072 | 1 | 234,000 | -50 | -36 |
| Boise State University-5997 | 1 | 59,982 | 1 | 74,452 | 0 | 24 |
| Boston University-8166 | 1 | 80,557 | 1 | 79,751 | 0 | -1 |
| California Institute Of Technology-Cal Tech-8218 | 2 | 302,527 | 3 | 440,000 | 50 | 45 |
| Case Western Reserve University-1479 | 1 | 144,284 | 1 | 143,377 | 0 | -1 |
| College Of William And Mary-1755 | 1 | 35,000 | 1 | 125,000 | 0 | 257 |
| Cornell University-8329 | 2 | 349,969 | 1 | 284,757 | -50 | -19 |
| CSU Channel Islands -F3DB | 1 | 3,300 | 0 | 0 | -100 | -100 |
| CSU Fullerton-7356 | 0 | 0 | 1 | 9,873 | 100 | 100 |
| CSU San Diego State University-9076 | 1 | 76,836 | 1 | 13,943 | 0 | -82 |
| Fairleigh Dickinson University-7313 | 1 | 3,000 | 0 | 0 | -100 | -100 |
| Georgia Institute Of Technology-8513 | 1 | 96,000 | 3 | 882,187 | 200 | 819 |
| Harvard University-8537 | 1 | 251,239 | 0 | 0 | -100 | -100 |
| Jackson State University-2652 | 1 | 100,000 | 1 | 102,104 | 0 | 2 |
| Jet Propulsion Laboratory-JPL-8668 | 7 | 418,619 | 5 | 315,558 | -29 | -25 |
| Johns Hopkins University-8688 | 3 | 305,000 | 4 | 593,518 | 33 | 95 |
| Korea Advanced Institute of Science and Technology-V9L6 | 2 | 86,550 | 1 | 42,680 | -50 | -51 |
| North Carolina State University-8937 | 0 | 0 | 2 | 445,165 | 100 | 100 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | |
|---------------------|------------------------|-----------------------|---------------|----------------|
| Parameters: | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|-----------|----------------|--------|----------------|--------|---------|----------|
| HIGHER ED | | | | | | |

| | | | | | | |
|---|---|-----------|----|-----------|------|------|
| Northeastern University-0984 | 3 | 657,426 | 2 | 323,000 | -33 | -51 |
| Northwestern University-8943 | 2 | 44,208 | 0 | 0 | -100 | -100 |
| Occidental College-0149 | 0 | 0 | 1 | 12,741 | 100 | 100 |
| Oregon State University-8956 | 2 | 308,554 | 2 | 143,202 | 0 | -54 |
| Penn State University (Incl Penn State Research Foundation)-8979 | 1 | 133,086 | 0 | 0 | -100 | -100 |
| Pepperdine University-5662 | 1 | 5,126 | 0 | 0 | -100 | -100 |
| Princeton University-9003 | 0 | 0 | 1 | 27,518 | 100 | 100 |
| Purdue University-9010 | 1 | 70,798 | 3 | 267,814 | 200 | 278 |
| Rice University-7565 | 1 | 125,000 | 1 | 125,000 | 0 | 0 |
| Rutgers University-9062 | 1 | 70,784 | 1 | 15,350 | 0 | -78 |
| State University Of New York-9165 | 8 | 3,181,791 | 11 | 3,648,624 | 38 | 15 |
| Texas A&M University-9196 | 1 | 161,106 | 1 | 162,988 | 0 | 1 |
| The Katholieke Universiteit Leuven (KULeuven)-3306 | 0 | 0 | 1 | 25,367 | 100 | 100 |
| Tufts University-9228 | 0 | 0 | 1 | 220,067 | 100 | 100 |
| Universities Research Association (Operates Fermilab)-9463 | 3 | 574,177 | 4 | 355,344 | 33 | -38 |
| University Corp For Atmospheric Research-Ucar-9744 | 0 | 0 | 1 | 24,770 | 100 | 100 |
| University Of Arizona-9256 | 1 | 200,000 | 1 | 150,580 | 0 | -25 |
| University Of Colorado System-9259 | 3 | 49,224 | 1 | 11,170 | -67 | -77 |
| University Of Connecticut-9260 | 0 | 0 | 1 | 69,233 | 100 | 100 |
| University of Copenhagen (Incl Copenhagen University Hospital) (Denmark)-3742 | 2 | 456,282 | 0 | 0 | -100 | -100 |
| University of Electronic Science and Technology of China-VEI2 | 1 | 127,617 | 0 | 0 | -100 | -100 |
| University Of Exeter (Great Britain)-4245 | 0 | 0 | 1 | 370,840 | 100 | 100 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | |
|---------------------|------------------------|-----------------------|---------------|----------------|
| Parameters: | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|-----------|----------------|--------|----------------|--------|---------|----------|
| HIGHER ED | | | | | | |

| | | | | | | |
|---|----|---------|---|---------|------|------|
| University Of Georgia (Incl Skidaway Institute Of Oceanography)-9746 | 3 | 320,339 | 2 | 314,243 | -33 | -2 |
| University Of Hawaii (Incl Research Corporation Of The Univ Of Hawaii)-9263 | 2 | 137,718 | 2 | 81,176 | 0 | -41 |
| University of Illinois-9264 | 3 | 583,841 | 3 | 581,796 | 0 | 0 |
| University Of London-0181 | 0 | 0 | 3 | 46,206 | 100 | 100 |
| University Of Maryland-9273 | 2 | 74,366 | 4 | 156,893 | 100 | 111 |
| University Of Massachusetts-7900 | 1 | 7,000 | 0 | 0 | -100 | -100 |
| University of Massachusetts Lowell-9996 | 0 | 0 | 1 | 363,619 | 100 | 100 |
| University Of Miami-9184 | 1 | 152,046 | 1 | 147,074 | 0 | -3 |
| University Of Michigan (Incl William Davidson Institute)-9268 | 6 | 659,617 | 7 | 611,093 | 17 | -7 |
| University Of Minnesota-9281 | 3 | 332,331 | 2 | 306,116 | -33 | -8 |
| University Of Missouri System (Columbia/Kansas City/Rolla/St.Louis)-7673 | 1 | 190,000 | 1 | 119,210 | 0 | -37 |
| University Of New Hampshire-7790 | 0 | 0 | 1 | 73,513 | 100 | 100 |
| University Of New Mexico-9598 | 1 | 845,346 | 1 | 337,620 | 0 | -60 |
| University Of Notre Dame (Incl Gem)-9363 | 4 | 811,233 | 4 | 878,797 | 0 | 8 |
| University of Pennsylvania-9293 | 1 | 39,704 | 0 | 0 | -100 | -100 |
| University Of Pittsburgh-9270 | 1 | 375,000 | 1 | 17,251 | 0 | -95 |
| University Of South Carolina-0136 | 1 | 61,826 | 1 | 89,611 | 0 | 45 |
| University of Southern California-9272 | 12 | 681,163 | 4 | 473,232 | -67 | -31 |
| University Of Texas-El Paso-3925 | 2 | 159,989 | 0 | 0 | -100 | -100 |
| University Of Texas-M.D. Anderson Cancer Center-FDED | 2 | 118,384 | 0 | 0 | -100 | -100 |
| University Of Washington-9276 | 0 | 0 | 2 | 361,045 | 100 | 100 |

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| | | | | |
|---------------------|------------------------|-----------------------|---------------|----------------|
| Parameters: | | Report Totals: | Count: | Amount: |
| Fiscal Year: | 2017 | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | Percent Change: | 2 | 0 |

| | FY 2016: Count | Amount | FY 2017: Count | Amount | % Count | % Amount |
|------------------|----------------|--------|----------------|--------|---------|----------|
| HIGHER ED | | | | | | |

| | | | | | | |
|--|---|---------|---|---------|------|------|
| University Of Wisconsin-9277 | 1 | 18,431 | 1 | 98,223 | 0 | 433 |
| Uppsala Universitet (Sweden)-1234 | 0 | 0 | 2 | 130,729 | 100 | 100 |
| Vanderbilt University (Tennessee)-7666 | 3 | 533,889 | 2 | 280,742 | -33 | -47 |
| Virginia Polytechnic Institute-9297 | 1 | 183,851 | 0 | 0 | -100 | -100 |
| Washington State University (Pullman, Wa)-7314 | 1 | 7,500 | 0 | 0 | -100 | -100 |
| Washington University, (St. Louis, MO)-9419 | 1 | 90,798 | 1 | 95,759 | 0 | 5 |

| | | | | | | |
|-------------------------|------------|-------------------|------------|-------------------|-----------|----------|
| Total: HIGHER ED | 115 | 15,491,925 | 114 | 15,767,513 | -1 | 2 |
|-------------------------|------------|-------------------|------------|-------------------|-----------|----------|

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/14/2017

| Parameters: | | | Report Totals: | Count: | Amount: |
|---------------------|----------|---------------|-----------------------|---------------|----------------|
| Fiscal Year: | 2017 | | FY 2017: | 871 | 184,551,821 |
| Dates: (Award Date) | 7/1/2016 | To: 6/30/2017 | FY 2016: | 857 | 183,874,648 |
| | | | Percent Change: | 2 | 0 |
| | | | FY 2016: Count | Amount | FY 2017: Count |
| | | | Amount | % Count | % Amount |