

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 11/22/2020

Parameters:		Report Totals:	Count:	Amount:
Fiscal Year:	2020	FY 2020:	976	234,791,354
Dates: (Award Date)	7/1/2019 To: 6/30/2020	FY 2019:	967	216,318,726
		Percent Change:	1	9

	FY 2019: Count	Amount	FY 2020: Count	Amount	% Count	% Amount
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FEDERAL

Dept of Agriculture

USDA Agricultural Research Service-0350	1	84,268	0	0	-100	-100
USDA Forest Service-0300	5	947,523	2	127,649	-60	-87
USDA National Institute for Food and Agriculture-0450	1	164,977	1	66,771	0	-60

Total: Dept of Agriculture	7	1,196,768	3	194,420	-57	-84
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Dept of Commerce

National Oceanic and Atmospheric Administration (NOAA)-0770	3	636,774	3	618,720	0	-3
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Total: Dept of Commerce	3	636,774	3	618,720	0	-3
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Dept of Defense

Dept of Air Force

DAF Air Force Misc Bases & Agencies-2499	3	2,319,673	4	3,369,616	33	45
DAF Air Force Research Laboratory-2544	2	500,000	4	441,154	100	-12
DAF Office Of Scientific Research-2416	4	615,000	6	4,570,705	50	643

Total: Dept of Air Force	9	3,434,673	14	8,381,475	56	144
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Dept of Army

DA Army Cold Regions Research And Engineering Laboratory-2684	1	58,779	0	0	-100	-100
DA Army Engineers-Vicksburg District, Corps Of-FE3B	1	45,415	1	26,662	0	-41
DA Army Materiel Command-Hq-2650	4	727,692	8	1,509,372	100	107
DA Army Misc Bases And Agencies-2799	13	3,316,346	9	1,436,029	-31	-57
DA Army Research Laboratory-FE39	1	60,000	0	0	-100	-100
DA Army Research, Durham, N.C. Office (AROD)-2775	30	15,486,937	18	7,667,679	-40	-50

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FEDERAL						
Dept of Defense						
Dept of Army						
DA Army Research, Wash D.C. Office-2610	7	1,143,426	4	2,025,000	-43	77
US Army Medial Research Acquisition Activity-VJAH	0	0	1	738,769	100	100
Total: Dept of Army	57	20,838,595	41	13,403,511	-28	-36
Dept of Navy						
DN Naval Surface Warfare Center-2857	1	159,402	1	150,057	0	-6
DN Navy Misc Bases & Agencies-2999	1	40,194	0	0	-100	-100
DN Office Of Naval Research (ONR)-2888	24	6,443,773	38	10,922,389	58	70
Total: Dept of Navy	26	6,643,369	39	11,072,446	50	67
Separate Agencies						
DOD Advanced Research Projects Agency (DARPA)-2025	10	5,518,924	9	6,221,580	-10	13
DOD Defense Threat Reduction Agency-2226	1	140,000	1	279,992	0	100
DOD National Geospatial Intelligence Agency,nga(Frmly Imagery&Mapping)-2250	1	148,921	0	0	-100	-100
DOD Strategic Environmental Research Development-V8CJ	3	793,069	2	482,300	-33	-39
Total: Separate Agencies	15	6,600,914	12	6,983,872	-20	6
Total: Dept of Defense	107	37,517,551	106	39,841,304	-1	6
Dept of Education						
ED Elementary & Secondary Education, Assistant Secretary For-3006	1	524,834	1	524,913	0	0
ED Institute of Education Sciences (IES)-F70F	1	462,804	4	987,422	300	113
ED Postsecondary Education (Incl Workstudy, Pell, Seog & Perkins, Etc)-3080	0	0	1	147,886	100	100
ED U.S. Department of Education Miscellaneous Agencies-3099	2	242,135	4	13,131,513	100	5,323

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 FY 2019: Count: 967 Amount: 216,318,726
 Percent Change: Count: 1 Amount: 9

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Total: Dept of Education	4	1,229,773	10	14,791,734	150	1103

Dept of Energy

DOE Advanced Research Projects Agency-Energy (ARPA-E)-F47D	3	4,393,245	2	1,861,750	-33	-58
DOE Chicago Field Office-5063	6	1,265,118	3	871,170	-50	-31
DOE Idaho Operations Office-5065	1	0	0	0	-100	-100
DOE Miscellaneous Offices and Programs-5099	19	5,032,764	18	5,077,287	-5	1
DOE Washington, D.C.-5090	7	4,044,000	5	1,284,000	-29	-68

Total: Dept of Energy	36	14,735,127	28	9,094,207	-22	-38
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Health & Human svcs

PHS/Natl Inst Health

NIH Alcohol Abuse And Alcoholism, National Institute Of-3171	1	333,483	1	333,483	0	0
NIH Allergy & Infectious Diseases, National Institute Of-3445	2	1,264,089	2	1,256,336	0	-1
NIH Biomedical Imaging And Bioengineering, Natl Institute Of (NIBIB)-4319	4	1,768,319	4	1,848,822	0	5
NIH Child Health & Human Development, National Institute Of-3465	10	2,281,584	5	1,419,114	-50	-38
NIH Deafness & Other Communication Disorders, Natl Institute On-3470	3	852,244	2	763,134	-33	-10
NIH Diabetes, Digestive & Kidney Diseases, National Institute Of-3457	1	345,375	3	738,552	200	114
NIH Drug Abuse, National Institute Of-3172	3	324,148	0	0	-100	-100
NIH General Medical Sciences, National Institute Of-3485	16	5,186,322	21	5,691,592	31	10
NIH Heart, Lung & Blood, National Institute Of-3435	3	552,306	1	370,767	-67	-33
NIH Mental Health, National Institute Of-3170	4	601,605	0	0	-100	-100
NIH Natl Cancer Institute-3425	1	767,500	0	0	-100	-100
NIH Natl Eye Institute-3490	6	1,319,137	4	1,391,917	-33	6

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FEDERAL

Health & Human svcs

PHS/Natl Inst Health

NIH Natl Human Genome Research Institute-3102	0	0	1	374,801	100	100
NIH Natl Institute On Aging-3440	3	1,557,730	5	1,316,307	67	-15
NIH Neurological Disorders & Stroke, Natl Institute Of-3498	4	1,399,415	5	1,795,582	25	28
Total: PHS/Natl Inst Health	61	18,553,257	54	17,300,407	-11	-7
Total: Health & Human svcs	61	18,553,257	54	17,300,407	-11	-7

Dept of Interior

USDI Bureau of Land Management-4130	0	0	1	74,590	100	100
USDI Bureau of Ocean Energy Management (BOEM)-4120	3	650,000	2	800,000	-33	23
USDI Fish And Wildlife Service-4132	0	0	3	108,314	100	100
USDI Geological Survey (Incl Natl Biological Service)-4190	0	0	3	340,072	100	100
USDI National Park Service-4180	1	50,913	5	318,946	400	526
Total: Dept of Interior	4	700,913	14	1,641,922	250	134

Dept of Justice

USDJ Office Of Justice Programs-4260	2	299,000	0	0	-100	-100
Total: Dept of Justice	2	299,000	0	0	-100	-100

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FEDERAL

Dept of State

U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT-4415	1	1,808,990	1	1,902,024	0	5
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Total: Dept of State	1	1,808,990	1	1,902,024	0	5
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Natl Arnatc & Spc Ad

NASA Miscellaneous Centers-5101	36	4,544,889	36	4,200,420	0	-8
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NASA Shared Services Center (NSSC)-5105	4	1,355,403	1	168,025	-75	-88
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NASA Washington, D.C. HQ-5136	4	245,167	3	194,755	-25	-21
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Total: Natl Arnatc & Spc Ad	44	6,145,459	40	4,563,200	-9	-26
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Natl Fnd Arts & Hum

NFAH National Endowment for the Humanities-NEH-5280	0	0	1	350,000	100	100
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Total: Natl Fnd Arts & Hum	0	0	1	350,000	100	100
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Homeland Security

DHS Department of Homeland Security-5854	1	250,000	0	0	-100	-100
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Total: Homeland Security	1	250,000	0	0	-100	-100
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FEDERAL						
Natl Science Fnd						
GEOSCIENCES						
National Science Foundation (NSF)-5400	34	10,597,874	22	7,895,791	-35	-25
Total: GEOSCIENCES	34	10,597,874	22	7,895,791	-35	-25
BIOLOGICAL SCIENCES						
National Science Foundation (NSF)-5400	13	2,698,770	17	6,748,155	31	150
Total: BIOLOGICAL SCIENCES	13	2,698,770	17	6,748,155	31	150
ENGINEERING						
National Science Foundation (NSF)-5400	15	3,739,521	16	5,721,259	7	53
Total: ENGINEERING	15	3,739,521	16	5,721,259	7	53
MATH & PHYSICAL SCI						
National Science Foundation (NSF)-5400	50	20,889,187	48	25,687,125	-4	23
Total: MATH & PHYSICAL SCI	50	20,889,187	48	25,687,125	-4	23
EDUC & HUMAN RESOURCES						
National Science Foundation (NSF)-5400	7	6,665,348	6	4,707,752	-14	-29
Total: EDUC & HUMAN RESOURCES	7	6,665,348	6	4,707,752	-14	-29
SOCIAL, BEHAV & ECON SCI						
National Science Foundation (NSF)-5400	6	1,150,368	10	1,048,186	67	-9
Total: SOCIAL, BEHAV & ECON SCI	6	1,150,368	10	1,048,186	67	-9
COMP & INFO SCI & ENG						
National Science Foundation (NSF)-5400	22	6,833,887	32	7,531,715	45	10
Total: COMP & INFO SCI & ENG	22	6,833,887	32	7,531,715	45	10

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FEDERAL								
Natl Science Fnd								
OFC OF INTEGRATIVE ACTIV								
National Science Foundation (NSF)-5400			0	0	2	1,090,205	100	100
Total: OFC OF INTEGRATIVE ACTIV			0	0	2	1,090,205	100	100
OFC OF INTL SCI & ENG								
National Science Foundation (NSF)-5400			1	300,000	0	0	-100	-100
Total: OFC OF INTL SCI & ENG			1	300,000	0	0	-100	-100
OFC OF POLAR PROGRAMS								
National Science Foundation (NSF)-5400			1	21,112	0	0	-100	-100
Total: OFC OF POLAR PROGRAMS			1	21,112	0	0	-100	-100
Total: Natl Science Fnd			149	52,896,067	153	60,430,188	3	14
Total: FEDERAL			419	135,969,679	413	150,728,126	-1	11

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INDUSTRY

Agnitron Technology, Inc.-VF3T	1	247,000	0	0	-100	-100
Akzo Nobel-8008	1	200,000	0	0	-100	-100
Alliance for Sustainable Energy, LLC-F7FD	1	17,157	0	0	-100	-100
Amgen-9841	0	0	1	100,007	100	100
AMPAC Inc.-VH53	0	0	1	41,709	100	100
Applied Materials, Inc.-7845	0	0	1	90,000	100	100
Areté Associates-VIFE	1	57,873	0	0	-100	-100
Bae Systems-4288	4	180,000	1	80,000	-75	-56
Barron Associates, Inc.-VD2N	0	0	1	38,000	100	100
Bayer Ag (Incl Miles Lab, Cropscience, Healthcare, Etc) (Germany)-6834	0	0	1	363,775	100	100
BlueLight Therapeutics-VAGU	0	0	2	188,900	100	100
Charles Stark Draper Laboratory, Inc.-7371	1	82,500	0	0	-100	-100
Chevron Corporation-8281	0	0	1	160,001	100	100
Chevron Phillips Chemical Company LLC-VEGH	2	120,000	1	90,000	-50	-25
Chinook Therapeutics-VLRZ	0	0	1	388,746	100	100
CZero-VJZQ	1	31,626	1	188,097	0	495
DCS Corporation-F4E4	0	0	3	175,000	100	100
Denso Corporation (Japan)-3366	2	194,250	0	0	-100	-100
DOW Chemical Company-8386	2	488,834	0	0	-100	-100
DOW Inc.-VLJ0	0	0	1	304,358	100	100
Effector Therapeutics, Inc.-VD09	1	11,359	0	0	-100	-100
Electro Scientific Industries, Inc.-VKFT	1	146,353	1	290,290	0	98

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Endogena, Inc.-VHC0	0	0	1	457,469	100	100
Exxon Mobil Corporation-8492	1	197,926	1	30,000	0	-85
Exxon Mobil Upstream Research Company-VA33	1	497,948	0	0	-100	-100
Filmtec Corporation-VK42	1	411,689	0	0	-100	-100
Freedom Photonics Llc-FD2A	4	334,232	3	316,875	-25	-5
Freudenberg SE-VJQL	1	22,669	0	0	-100	-100
General Dynamics Corporation (Incl Aerospace,marine,combat,&Info Syst)-8496	0	0	1	240,979	100	100
GenXComm Inc.-VHC7	1	100,000	0	0	-100	-100
Geosyntec Consultants-6143	0	0	2	104,456	100	100
Google Inc.-FE36	2	504,595	1	344,902	-50	-32
Hewlett-Packard-8565	1	0	1	1,039,949	0	100
Honeywell, Inc. (Includes Sperry Flight & Tetrach Data Systems, Inc)-8572	2	392,468	1	861,500	-50	120
HRL Laboratories-2436	0	0	1	40,000	100	100
IHI Corporation-VB3D	2	480,000	1	500,000	-50	4
Intel Corporation-8635	1	150,000	2	250,000	100	67
Japan Science And Technology Corporation (Japan)-4230	2	146,077	0	0	-100	-100
John Wood Group PLC-VJ68	1	47,129	2	54,029	100	15
Kimetrica-VKY0	0	0	1	447,372	100	100
Lawrence Livermore National Security, Llc-FC57	0	0	2	311,192	100	100
Lockheed Martin Corporation-8752	1	94,743	0	0	-100	-100
Luna Innovations Incorporated-5447	1	300,000	1	290,000	0	-3

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Manufacturing Techniques, Inc.-VIRJ	1	65,000	0	0	-100	-100
Maxim Integrated Products, Inc.-3916	1	125,000	0	0	-100	-100
Mellanox Technologies, Ltd.-VJKD	1	103,000	0	0	-100	-100
Mirios, Inc.-VK02	0	0	2	80,000	100	100
Mission Support and Test Services-VJ9A	3	115,000	3	75,000	0	-35
Mitsubishi Group (Japan)-7752	9	1,500,000	11	1,500,000	22	0
MixComm, Inc.-VLAG	0	0	1	50,001	100	100
Morton Photonics-VBY0	6	1,425,000	0	0	-100	-100
Nexus Photonics-VIEK	1	50,000	1	300,000	0	500
Nike Inc.-V9XY	0	0	1	200,000	100	100
Nimbus Services-VABO	2	200,000	1	75,000	-50	-63
Northrop Grumman Corporation (Incl Logicon Inc, Xetron Corporation)-8941	0	0	1	100,000	100	100
Novartis Pharmaceutical (Switzerland) (Merger Of Ciba-Geigy & Sandoz)-9077	1	108,571	0	0	-100	-100
Oculus VR, LLC-VDCG	1	359,077	0	0	-100	-100
Oxford Policy Management-VE6W	1	199,605	1	125,871	0	-37
Pfizer Inc. (Incl Warner-Lamber And Parke-Davis)-8983	0	0	1	140,001	100	100
Pratt & Whitney-F688	1	175,000	3	360,000	200	106
Questek Innovations-V8WN	1	220,000	0	0	-100	-100
Raytheon Applied Signal Technology, Inc. (Sunnyvale, Ca)-7440	1	139,956	1	167,626	0	20
Raytheon BBN Technologies Corp.-FFE7	4	866,061	4	450,000	0	-48
Rendever-VI3E	0	0	1	69,107	100	100

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Rilee Systems Technologies-VI9T	2	233,470	2	140,258	0	-40
Rockley Photonics Inc.-VCH3	0	0	1	150,924	100	100
Samsung-0053	2	219,997	1	100,000	-50	-55
SAMSUNG SEMICONDUCTOR, INC.-VAO1	0	0	1	120,000	100	100
Saudi Arabia Basic Industries Corporation-VBWS	1	125,000	0	0	-100	-100
Siemens (Incl U.S. Subsidiaries) (Germany)-7076	1	300,000	1	49,873	0	-83
Simpson And Simpson Business And Personnel Services, Inc.-1811	0	0	1	6,393,613	100	100
Sony Corporation (Japan)-1382	0	0	1	100,000	100	100
Synoptic Engineering-VL5F	0	0	4	45,073	100	100
Systemiq-VJNW	1	140,000	0	0	-100	-100
Takeda Chemical Industries, Ltd. (Incl Takeda Pharm.No America) (Japan)-7098	0	0	1	135,285	100	100
Teledyne (Incl Electronic Tech, Microwave, Adv Materials, Relay, Etc)-9201	6	381,604	2	120,897	-67	-68
The Schmidt Family Foundation-F2BD	2	662,115	1	400,230	-50	-40
The Sherwin-Williams Company-VEGZ	1	140,000	0	0	-100	-100
Toyon Research Corporation-2884	4	129,577	0	0	-100	-100
Transphorm, Inc.-FC9A	1	32,037	0	0	-100	-100
Triad National Security LLC-VJD2	4	330,680	1	49,999	-75	-85
Ultra Low Loss Technologies-VK9N	0	0	1	37,500	100	100
United Technologies Corp(Intl Fuel Cells&United Tech Res Ctr,sikorsky)-9251	1	100,000	0	0	-100	-100
Verizon Innovation, LLC-VKMN	0	0	1	200,000	100	100

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<hr/> INDUSTRY <hr/>						
W.L. Gore & Associates, Inc.-9421	1	20,000	0	0	-100	-100
Total: INDUSTRY	101	13,922,178	89	19,523,864	-12	40

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STATE AND LOCAL

Cal Arts Council-6672	2	53,903	0	0	-100	-100
Cal Department of Boating and Waterways-6525	1	40,000	1	29,500	0	-26
Cal Department Of Education-6376	12	765,172	10	883,064	-17	15
Cal Department Of Fish and Wildlife-6530	0	0	2	280,721	100	100
Cal Department Of Forestry-6522	1	506,800	0	0	-100	-100
Cal Department of Industrail Relations-6391	1	1,000	0	0	-100	-100
Cal Department of Parks and Recreation-6540	3	505,250	2	13,834	-33	-97
Cal EPA Air Resources Board-6533	0	0	1	1,500,000	100	100
Cal Ocean Protection Council-AEBE	0	0	1	1,693,582	100	100
Cal Ra Water Resources, Department Of(Incl Interagency Ecological Prg)-6550	0	0	1	108,000	100	100
Cal State Lands Commission-6504	1	657,563	0	0	-100	-100
Cal State University Chico-FC8C	0	0	1	345,313	100	100
Cal Wildlife Conservation Board-F972	2	3,403,828	1	32,300	-50	-99
California State University-6536	1	12,000	0	0	-100	-100
First 5 Santa Barbara County-AF51	0	0	1	215,097	100	100
Goleta Union School District-VG70	1	25,000	1	151,810	0	507
Malibu, City Of-0908	0	0	1	199,988	100	100
Santa Barbara County Office of Arts and Culture-VLGD	0	0	1	8,000	100	100
Santa Barbara County Special Education Local Plan Area Office-VCXO	0	0	1	63,429	100	100
Santa Barbara Unified School District-3708	0	0	2	224,072	100	100
Santa Barbara, City Of-6992	0	0	1	34,992	100	100
Santa Barbara, County of-6994	2	97,743	4	219,448	100	125

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Dates: (Award Date)	7/1/2019	To: 6/30/2020	FY 2019:	967	216,318,726	
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	FY 2019: Count	Amount	FY 2020: Count	Amount	% Count	% Amount
Total: STATE AND LOCAL	27	6,068,259	32	6,003,150	19	-1

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UC						

UC Agriculture And Natural Resources-6774	2	16,800	1	10,917	-50	-35
UC Berkeley-UCB-6761	10	1,279,729	7	878,879	-30	-31
UC California Math Project-6724	2	36,635	2	36,635	0	0
UC California Science Project-6740	2	56,859	2	57,000	0	0
UC California Subject Matter Project-6727	3	242,276	3	242,276	0	0
UC Cancer Research Coordinating Committee-6728	5	366,941	1	75,000	-80	-80
UC Davis-6763	4	167,135	5	353,873	25	112
UC Global Health Insitute-V800	2	0	0	0	-100	-100
UC Humanities Research Institute-3955	1	5,000	0	0	-100	-100
UC Irvine-6772	1	10,071	1	10,000	0	-1
UC Lab Fees Research Program-F8F4	1	20,000	2	2,758,361	100	13,692
UC Links (University-Community Links)-6755	5	65,500	2	50,000	-60	-24
UC Los Angeles-6764	6	1,003,816	11	1,487,695	83	48
UC Merced-6777	2	67,021	1	23,416	-50	-65
UC Mesa Program-6703	2	190,000	2	190,000	0	0
UC Mexus-6732	11	114,633	3	87,168	-73	-24
UC Multicampus Research Programs and Initiatives-F4A9	5	1,357,547	2	413,413	-60	-70
UC Office Of The President-6773	2	1,099,544	6	1,225,839	200	11
UC Riverside-6765	11	1,394,853	9	898,003	-18	-36
UC San Diego-6778	7	1,523,442	10	1,121,035	43	-26
UC San Francisco (UCSF)-6762	2	240,888	4	288,988	100	20
UC Santa Cruz-6769	7	894,125	4	230,247	-43	-74
UC Sea Grant College Program-6735	6	275,636	5	158,362	-17	-43

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UC						
UC Tobacco-Related Disease Research Program-Trdrp-6739	0	0	2	531,982	100	100
Total: UC	99	10,428,451	85	11,129,089	-14	7

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			FY 2019: Count	Amount	FY 2020: Count
			Amount	% Count	% Amount
NOT PROFIT					

Aerospace Corporation-8007	1	100,000	0	0	-100	-100
Alfred P. Sloan Foundation-8020	1	70,000	2	185,115	100	164
American Chemical Society-8036	0	0	4	440,000	100	100
American Cncl Of Learned Societies-8042	3	250,000	1	50,000	-67	-80
American Diabetes Assn-8048	1	81,250	5	386,518	400	376
American Genetic Association-F876	1	9,387	0	0	-100	-100
American Heart Assn-8056	1	110,456	0	0	-100	-100
American Physical Society-8085	2	19,608	0	0	-100	-100
American Public Health Association-9840	0	0	1	15,000	100	100
Ames Laboratory-V8DH	1	305,000	2	270,000	100	-11
Andrew W. Mellon Foundation (The)-8107	1	1,674,000	0	0	-100	-100
Arab Community Center for Economic and Social Services-VLFD	0	0	1	1,250	100	100
Argonne National Laboratory-F6CB	2	290,491	1	152,490	-50	-48
Arnold And Mabel Beckman Foundation-1015	2	158,467	0	0	-100	-100
Assn for Asian Studies, Inc.-0355	0	0	1	5,000	100	100
Battelle Memorial Inst (Incl Battelle Energy Alliance, Idaho Natl Lab)-8143	1	71,080	0	0	-100	-100
Bill & Melinda Gates Foundation-3243	0	0	1	300,000	100	100
Bioversity International-6989	0	0	1	41,937	100	100
Brain and Behavior Research Foundation-7298	1	17,500	4	87,320	300	399
Breakthrough Starshot Foundation LLC-VJWX	1	150,000	1	116,600	0	-22
California Native Plant Society-VLD1	0	0	1	15,000	100	100
California Sea Urchin Commission-FF3D	0	0	1	6,155	100	100

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NOT PROFIT

Camille & Henry Dreyfus Foundation-8236	1	75,000	0	0	-100	-100
Cary Institute of Ecosystem Studies-5494	1	7,827	0	0	-100	-100
Catena Foundation-VIYC	0	0	1	359,323	100	100
Center for Community Change-VE90	1	78,835	0	0	-100	-100
Central Research Institute Of Electric Power Industry (Japan)-2134	0	0	1	100,000	100	100
Centro De Investigacion Cientifica De Ensenada (Cicese)(Mexico)-0778	0	0	1	157,452	100	100
Charles Koch Foundation-F3E9	0	0	1	45,000	100	100
College Advising Corps-VCRJ	0	0	1	166,221	100	100
Columbia University (Incl Columbia-Presbyterian Medical Center)-9564	3	562,156	2	215,867	-33	-62
Community Action Commission of Santa Barbara County-VBLK	1	35,000	0	0	-100	-100
Community Environmental Council-VIUU	1	59,400	0	0	-100	-100
Conservation International Foundation-7907	3	198,773	2	449,136	-33	126
Council On Library Resources-8346	1	20,000	1	9,200	0	-54
Cpm Educational Program-0602	1	249,990	0	0	-100	-100
Curepsp-V9GK	1	3,000	0	0	-100	-100
David And Lucile Packard Foundation (The)-9072	1	875,000	1	236,500	0	-73
Dr. Miriam And Sheldon G. Adelson Medical Research Foundation-FE7C	1	350,000	2	350,000	100	0
Edward N. & Della L. Thome Memorial Foundation-AF46	1	250,000	0	0	-100	-100
Environmental Defense Fund-8454	1	50,000	2	134,164	100	168
European Union (Incl European Commission, Parliament, Bank, Etc)-7104	1	5,844	1	5,657	0	-3
Fermi Research Alliance, LLC (Fra) (Incl Fermilab-Doe Goco)-FEB7	5	374,322	5	619,845	0	66

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NOT PROFIT						

Fight For Sight, Inc. (Prevent Blindness America)-8461	0	0	1	2,500	100	100
Fighting Back Santa Maria Valley-VJM4	1	44,956	0	0	-100	-100
Freeman Foundation, (The)-2167	1	120,000	1	136,311	0	14
French American Culture Exchange (FACE) (France)-6848	0	0	1	10,000	100	100
Fund For Santa Barbara, Inc.-8477	1	3,000	0	0	-100	-100
Global Canopy-VJAB	4	73,615	0	0	-100	-100
Global Fishing Watch-VIOD	1	125,000	1	14,579	0	-88
Global Integrity-VJQX	1	110,560	2	165,736	100	50
Gordon And Betty Moore Foundation-3954	0	0	3	1,428,057	100	100
Haskins Laboratories, Inc.-VBPO	1	40,544	1	1,709	0	-96
Heising-Simons Foundation-F1C7	3	677,448	5	905,761	67	34
Howard Hughes Medical Institute-9953	1	300,000	0	0	-100	-100
Human Frontier Science Program Organization (Intl)-VDTV	1	298,669	2	22,297	100	-93
Huntington Medical Research Institutes-FDE5	0	0	2	242,513	100	100
Intel Foundation-2219	0	0	1	93,333	100	100
Jack Kent Cooke Foundation-FF72	1	123,634	1	0	0	-100
James S. McDonnell Foundation (The)-9979	1	200,000	0	0	-100	-100
Japan Foundation (The)-8666	0	0	1	30,499	100	100
John E. Fetzer Memorial Trust-VBQF	2	176,049	0	0	-100	-100
John Randolph Haynes And Dora Haynes Foundation (The)-8679	0	0	1	48,001	100	100
John Templeton Foundation-0644	2	468,740	1	234,735	-50	-50
Kinship Foundation-XXZO	0	0	1	100,000	100	100
Larry L. Hillblom Foundation, The-4160	1	300,000	1	300,000	0	0

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NOT PROFIT

Leona M. And Harry B. Helmsley Charitable Trust-F6E9	1	250,000	2	270,000	100	8
Life Sciences Research Foundation-8751	0	0	4	62,000	100	100
Los Alamos National Laboratory-FFDD	1	150,000	2	210,000	100	40
Max Planck Institute for Evolutionary Anthropology-VAKG	1	278,641	0	0	-100	-100
Mizutani Foundation For Glycoscience (Japan)-1597	1	50,000	0	0	-100	-100
Moorfields Eye Charity-VHY5	1	126,177	2	180,886	100	43
National Council of Teachers of English-8887	1	10,000	0	0	-100	-100
National Geographic Society-8902	3	296,144	4	472,047	33	59
National Organization of Research Development Professionals-VK5B	0	0	1	1,500	100	100
National Philanthropic Trust-V9HL	4	2,123,998	3	404,214	-25	-81
Natl Fish And Wildlife Foundation-7834	2	317,034	2	578,823	0	83
Natural Resources Defense Council-7495	1	79,715	0	0	-100	-100
Nature Conservancy-7262	17	2,166,338	15	1,789,488	-12	-17
Netherlands Organisation for Scientific Research-FC76	1	35,941	0	0	-100	-100
New Venture Fund-F6ED	1	272,275	0	0	-100	-100
No Kid Hungry-VIS4	1	20,000	1	15,000	0	-25
Norwegian Institute for Nature Research-VIBT	1	38,500	1	38,500	0	0
Oak Ridge Natl Lab-5080	12	748,667	6	426,270	-50	-43
Pacific Northwest National Laboratories-8149	2	793,256	2	170,422	0	-79
Paul M. Angell Family Foundation-VJ7X	1	68,000	1	32,000	0	-53
Pew Charitable Trusts (The)(Incl Pew Center On Global Climate Change)-9448	4	336,854	0	0	-100	-100
Philanthropic Ventures Foundation-VJPI	1	9,960	0	0	-100	-100

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NOT PROFIT						

Rainwater Charitable Foundation-VA56	3	1,000,000	2	300,000	-33	-70
Rare-V9LG	1	100,000	0	0	-100	-100
Robert Wood Johnson Foundation (The)-8692	1	199,229	0	0	-100	-100
Rockefeller Family Fund-VKB5	1	8,500	1	20,000	0	135
Rockefeller Philanthropy Advisors-F93B	0	0	1	25,000	100	100
Russell Sage Foundation-9060	2	160,913	1	20,540	-50	-87
Sandia National Laboratories-9070	0	0	2	125,000	100	100
Sanford-Burnham Medical Research Institute-2168	2	457,577	1	38,750	-50	-92
Santa Barbara Alliance for Community Transformation-VIX0	2	26,880	1	30,703	-50	14
Santa Barbara Cottage Hospital-7841	2	66,532	2	44,250	0	-33
Santa Clara River Conservancy-VJLJ	0	0	1	159,275	100	100
Schlumberger Foundation-F164	1	2,699	1	43,748	0	1,521
Semiconductor Research Corporation-9102	9	7,608,646	7	7,403,905	-22	-3
Simons Foundation-FE2E	4	294,387	11	1,258,000	175	327
Smith Richardson Foundation, Inc.-9122	1	11,000	0	0	-100	-100
Society For Conservation Biology-7207	2	117,171	0	0	-100	-100
Society of Family Planning-FB3F	0	0	2	52,529	100	100
Spencer Foundation-9154	0	0	1	49,626	100	100
Sri International (Incl Sarnoff Corporation)-9159	1	90,367	0	0	-100	-100
Stockholm Environment Institute-FA87	0	0	1	65,041	100	100
Tahoe Regional Planning Agency (Trpa)-7389	1	15,130	1	5,000	0	-67
Terra Foundation for American Art-FDD9	0	0	1	14,000	100	100
The Brain Research Foundation-V8M0	0	0	1	40,000	100	100

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NOT PROFIT							

The Builders Initiative-VKVP	0	0	1	250,533	100	100
The Grantham Foundation for the Protection of the Environment-VK4M	1	188,075	0	0	-100	-100
The Helen Hay Whitney Foundation-8546	0	0	1	55,500	100	100
The Huntington Library-FC4C	2	100,000	0	0	-100	-100
U.S.-Israel Binat'I Science Foundation (Bsf) (Intl)-7350	3	80,000	4	87,505	33	9
UC Lawrence Berkeley Laboratory-6760	11	1,384,126	15	1,399,009	36	1
W.K. Kellogg Foundation-9296	2	327,449	0	0	-100	-100
Waitt Family Foundation-4187	1	600,000	2	1,361,919	100	127
Walton Family Foundation, Inc.-FF5A	1	20,000	2	186,210	100	831
WGBH Educational Foundation-VFE1	1	385,848	0	0	-100	-100
Whitehall Foundation-9328	1	225,000	0	0	-100	-100
William M. Keck Foundation-9323	1	1,000,000	2	2,000,000	100	100
William T. Grant Foundation-8514	1	49,956	0	0	-100	-100
World Resources Institute-9376	0	0	1	65,000	100	100
Zegar Family Foundation-VGBY	3	484,518	5	891,995	67	84

Total: NOT PROFIT	181	32,549,417	189	29,085,102	4	-11
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HIGHER ED						

Arizona State University-Tempe-8123	6	992,557	8	1,263,728	33	27
Assn Of Univ For Res Astronomy (Aka Space Teles Sci Inst)-9896	12	383,846	9	311,445	-25	-19
Bermuda Institute of Ocean Sciences-V8RT	1	234,000	1	234,000	0	0
Boise State University-5997	1	80,960	1	45,229	0	-44
Boston University-8166	2	297,341	2	155,557	0	-48
Brown University-9961	0	0	1	37,800	100	100
California Institute Of Technology-Cal Tech-8218	3	1,042,499	1	249,999	-67	-76
California Polytechnic State University, San Luis Obispo-VC2S	0	0	1	36,110	100	100
California State University, Fresno-VB76	0	0	1	97,358	100	100
Carnegie Mellon University-8351	0	0	1	30,326	100	100
Chapman University-F229	1	238,562	1	178,601	0	-25
Colorado State University-8304	1	450,000	0	0	-100	-100
Columbia University (Incl Columbia-Presbyterian Medical Center)-9564	3	562,156	2	215,867	-33	-62
Cornell University-8329	4	726,126	7	1,137,211	75	57
Council Of Graduate Schools-5893	2	26,667	4	49,333	100	85
Coventry University-VIHN	0	0	2	92,965	100	100
CSU Fullerton-7356	1	10,094	1	10,017	0	-1
CSU Northridge; The University Corporation-VAV1	0	0	1	220,000	100	100
CSU San Diego State University-9076	1	99,850	0	0	-100	-100
CSU San Marcos-0103	1	12,819	1	13,293	0	4
Drexel University (Incl College Of Medicine, Mcp Hahnemann)-3721	1	54,646	0	0	-100	-100
Duke University-8394	0	0	1	34,932	100	100
George Mason University-7497	2	138,057	0	0	-100	-100

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HIGHER ED

George Washington University-8527	0	0	1	7,840	100	100
Georgia Institute Of Technology-8513	2	593,118	3	430,198	50	-27
Harvard University-8537	1	157,563	1	117,991	0	-25
Hong Kong University Of Science And Technology, (Hong Kong)-1667	1	68,644	0	0	-100	-100
Jackson State University-2652	1	100,000	1	100,000	0	0
Jet Propulsion Laboratory-JPL-8668	4	324,457	5	406,662	25	25
Johns Hopkins University-8688	1	125,000	1	125,000	0	0
Katholieke Universiteit Leuven (Belgium)-3306	2	34,714	1	94,052	-50	171
Montana State University-0141	1	11,632	0	0	-100	-100
New York University-8911	0	0	2	12,410	100	100
Northeastern University-0984	2	462,029	2	41,891	0	-91
Oak Ridge Associated Universities-7839	1	34,000	0	0	-100	-100
Ohio State University (Includes Research Foundation)-7200	2	294,750	3	256,448	50	-13
Oregon State University-8956	0	0	3	301,491	100	100
Penn State University (Incl Penn State Research Foundation)-8979	1	25,000	0	0	-100	-100
Princeton University-9003	0	0	2	93,624	100	100
Rice University-7565	1	150,000	0	0	-100	-100
Royal College of Surgeons in Ireland-VHIN	0	0	1	20,345	100	100
Rutgers University-9062	1	15,351	1	15,350	0	0
San Jose State University Research Foundation-9996	1	80,000	4	610,747	300	663
SLAC National Accelerator Lab (SLAC)-FF33	2	168,699	2	448,400	0	166
Society For The Study of School Psychology (SSSP)-V8V0	1	4,700	0	0	-100	-100
Stanford University-9162	4	252,542	4	496,845	0	97

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HIGHER ED						

State University of New York (SUNY)-9165	1	150,000	7	1,661,033	600	1,007
Stockholm University-7549	1	20,853	0	0	-100	-100
Texas A&M University-9196	3	965,837	2	991,678	-33	3
Universities Research Association (Operates Fermilab)-9463	2	191,281	2	8,500	0	-96
University Corp For Atmospheric Research-Ucar-9744	1	15,000	0	0	-100	-100
University Of Alabama (Includes Birmingham And Huntsville)-9254	1	94,763	0	0	-100	-100
University Of Alaska-9374	0	0	1	47,627	100	100
University Of Arizona-9256	2	98,650	2	75,721	0	-23
University of Colorado Boulder-V8JD	1	164,040	2	448,451	100	173
University Of Colorado System-9259	1	43,502	1	22,580	0	-48
University Of Delaware-9261	1	132,762	2	238,469	100	80
University Of Georgia (Incl Skidaway Institute Of Oceanography)-9746	1	39,892	1	61,392	0	54
University Of Hawaii (Incl Research Corporation Of The Univ Of Hawaii)-9263	1	47,579	1	44,983	0	-5
University of Illinois-9264	1	100,000	3	251,997	200	152
University of Iowa-9279	2	232,939	1	137,001	-50	-41
University Of London-0181	2	20,997	2	12,460	0	-41
University Of Manchester, The (Great Britain)-5339	2	23,174	0	0	-100	-100
University Of Maryland-9273	5	416,311	4	432,094	-20	4
University Of Massachusetts-7900	2	470,000	1	240,000	-50	-49
University of Massachusetts Lowell-VBTQ	3	348,862	1	44,491	-67	-87
University Of Miami-9184	2	315,401	0	0	-100	-100
University Of Michigan (Incl William Davidson Institute)-9268	1	202,118	2	273,082	100	35

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REPORT DATE: 11/22/2020

Parameters:		Report Totals:	Count:	Amount:
Fiscal Year:	2020	FY 2020:	976	234,791,354
Dates: (Award Date)	7/1/2019 To: 6/30/2020	FY 2019:	967	216,318,726
		Percent Change:	1	9

	FY 2019: Count	Amount	FY 2020: Count	Amount	% Count	% Amount
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HIGHER ED

University Of Minnesota-9281	5	1,086,135	3	401,103	-40	-63
University Of Missouri System (Columbia/Kansas City/Rolla/St.Louis)-7673	1	79,775	2	440,725	100	452
University Of Nevada-9439	1	35,102	1	89,500	0	155
University Of New Mexico-9598	1	359,572	1	225,000	0	-37
University Of North Carolina at Chapel Hill-9285	1	160,000	0	0	-100	-100
University Of Notre Dame (Incl Gem)-9363	1	250,000	4	377,321	300	51
University Of Ottawa (Canada)-7409	0	0	2	27,462	100	100
University Of Pennsylvania-9293	0	0	1	9,586	100	100
University Of Pittsburgh-9270	1	17,824	2	248,577	100	1,295
University Of San Diego-1478	1	1,000	0	0	-100	-100
University Of South Carolina-0136	1	59,870	0	0	-100	-100
University of Southern California-9272	5	190,000	10	408,755	100	115
University Of Tennessee-9275	2	321,629	2	500,000	0	55
University of Texas-Arlington-FD36	0	0	1	28,001	100	100
University Of Texas-Austin-9278	1	863,215	1	979,286	0	13
University of Texas-Dallas-9356	0	0	5	327,794	100	100
University Of Texas-El Paso-3925	1	85,000	1	85,000	0	0
University Of Virginia-9274	1	590,000	1	94,853	0	-84
University Of Washington-9276	3	313,422	3	344,223	0	10
University Of Wisconsin-9277	3	363,239	4	525,650	33	45
Uppsala Universitet (Sweden)-1234	0	0	1	56,430	100	100
Vanderbilt University (Tennessee)-7666	1	175,034	0	0	-100	-100

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	FY 2019: Count	Amount	FY 2020: Count	Amount	% Count	% Amount
HIGHER ED						

Virginia Polytechnic Institute-9297	1	250,011	0	0	-100	-100
Washington University, (St. Louis, MO)-9419	3	205,043	2	149,866	-33	-27
Wilfred Laurier University-F6B5	0	0	2	5,225	100	100
Yale University-9340	0	0	1	13,042	100	100

Total: HIGHER ED	140	17,380,742	168	18,322,023	20	5
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Dates: (Award Date)	7/1/2019	To:	6/30/2020	FY 2019:	967	216,318,726	
				Percent Change:	1	9	
				FY 2019: Count	Amount	FY 2020: Count	Amount
						% Count	% Amount