

UNIVERSITY OF CALIFORNIA, SANTA BARBARA
OFFICE OF RESEARCH
AWARDED BY SPONSOR

REPORT DATE: 7/1/2021

Parameters:		Report Totals:	Count:	Amount:
Fiscal Year:	2021	FY 2021:	953	306,578,692
Dates: (Award Date)	7/1/2020 To: 6/30/2021	FY 2020:	976	234,298,766
		Percent Change:	-2	31

	FY 2020: Count	Amount	FY 2021: Count	Amount	% Count	% Amount
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FEDERAL

Dept of Agriculture

USDA Forest Service-0300	2	127,649	5	194,154	150	52
USDA National Institute for Food and Agriculture-0450	1	66,771	0	0	-100	-100
USDA Natural Resources Conservation Service-0550	0	0	1	123,931	100	100
USDA Pacific Southwest Forest And Range Experiment Station-0325	0	0	1	94,901	100	100

Total: Dept of Agriculture	3	194,420	7	412,986	133	112
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Dept of Commerce

National Oceanic and Atmospheric Administration (NOAA)-0770	3	618,720	1	18,000	-67	-97
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Total: Dept of Commerce	3	618,720	1	18,000	-67	-97
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Dept of Defense

Dept of Air Force

DAF Air Force Misc Bases & Agencies-2499	4	3,369,616	2	1,669,775	-50	-50
DAF Air Force Research Laboratory-2544	4	441,154	3	848,502	-25	92
DAF Office Of Scientific Research-2416	6	4,570,705	9	3,016,357	50	-34

Total: Dept of Air Force	14	8,381,475	14	5,534,634	0	-34
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Dept of Army

DA Army Engineers-Vicksburg District, Corps Of-FE3B	1	26,662	0	0	-100	-100
DA Army Materiel Command-Hq-2650	8	1,509,372	4	1,396,278	-50	-7
DA Army Misc Bases And Agencies-2799	9	1,436,029	17	5,793,665	89	303
DA Army Research Laboratory-FE39	0	0	1	150,000	100	100
DA Army Research, Durham, N.C. Office (AROD)-2775	18	7,667,679	20	5,972,764	11	-22

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Dept of Defense

Dept of Army

DA Army Research, Wash D.C. Office-2610	4	2,025,000	3	1,541,689	-25	-24
U.S. ARMY ENGINEER RESEARCH AND DEVELOPMENT CENTER-VCJC	0	0	1	124,976	100	100
US Army Medial Research Acquisition Activity-VJAH	1	738,769	0	0	-100	-100
Total: Dept of Army	41	13,403,511	46	14,979,372	12	12

Dept of Navy

DN Naval Air Systems Command (incl Naval Air Warfare)-2856	0	0	1	59,014	100	100
DN Naval Oceanographic Office-2920	0	0	2	475,465	100	100
DN Naval Surface Warfare Center-2857	1	150,057	1	148,870	0	-1
DN Navy Misc Bases & Agencies-2999	0	0	1	59,516	100	100
DN Office Of Naval Research (ONR)-2888	38	10,922,389	32	8,350,062	-16	-24
Total: Dept of Navy	39	11,072,446	37	9,092,927	-5	-18

Separate Agencies

DOD Advanced Research Projects Agency (DARPA)-2025	9	6,221,580	3	1,541,447	-67	-75
DOD Defense Research And Engineering-2225	0	0	2	1,320,000	100	100
DOD Defense Threat Reduction Agency-2226	1	279,992	1	279,997	0	0
DOD Separate Misc Agencies-2399	0	0	1	417,709	100	100
DOD Strategic Environmental Research Development-V8CJ	2	482,300	2	428,867	0	-11
Total: Separate Agencies	12	6,983,872	9	3,988,020	-25	-43

Total: Dept of Defense

106 39,841,304 106 33,594,953 0 -16

Dept of Education

ED Elementary & Secondary Education, Assistant Secretary For-3006	1	524,913	0	0	-100	-100
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FEDERAL

Dept of Education

ED Institute of Education Sciences (IES)-F70F	4	837,613	0	0	-100	-100
ED Postsecondary Education (Incl Workstudy, Pell, Seog & Perkins, Etc)-3080	1	147,886	3	1,159,443	200	684
ED U.S. Department of Education Miscellaneous Agencies-3099	4	13,131,513	5	63,587,630	25	384

Total: Dept of Education	10	14,641,925	8	64,747,073	-20	342
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Dept of Energy

Department of Energy Office of Science/Advanced Scientific Computing Research-VKHT	0	0	3	567,121	100	100
DEPARTMENT OF ENERGY OFFICE OF SCIENCE/OFFICE OF BASIC ENERGY SCIENCES-VBFL	0	0	1	182,682	100	100
DOE Advanced Research Projects Agency-Energy (ARPA-E)-F47D	2	1,861,750	2	6,850,000	0	268
DOE Chicago Field Office-5063	3	871,170	4	1,141,992	33	31
DOE Miscellaneous Offices and Programs-5099	18	5,077,287	14	3,673,745	-22	-28
DOE Washington, D.C.-5090	5	1,284,000	6	5,854,796	20	356

Total: Dept of Energy	28	9,094,207	30	18,270,336	7	101
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Health & Human svcs

PHS/Natl Inst Health

NIH Alcohol Abuse And Alcoholism, National Institute Of-3171	1	333,483	0	0	-100	-100
NIH Allergy & Infectious Diseases, National Institute Of-3445	2	1,256,336	6	1,835,713	200	46
NIH Biomedical Imaging And Bioengineering, Natl Institute Of (NIBIB)-4319	4	1,848,822	4	1,825,862	0	-1

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Health & Human svcs

PHS/Natl Inst Health

NIH Child Health & Human Development, National Institute Of-3465	5	1,419,114	3	820,457	-40	-42
NIH Deafness & Other Communication Disorders, Natl Institute On-3470	2	763,134	2	889,097	0	17
NIH Diabetes, Digestive & Kidney Diseases, National Institute Of-3457	3	738,552	2	1,045,701	-33	42
NIH Drug Abuse, National Institute Of-3172	0	0	6	2,160,515	100	100
NIH General Medical Sciences, National Institute Of-3485	21	5,691,592	17	5,589,818	-19	-2
NIH Heart, Lung & Blood, National Institute Of-3435	1	370,767	1	66,390	0	-82
NIH Mental Health, National Institute Of-3170	0	0	1	149,718	100	100
NIH Natl Eye Institute-3490	4	1,391,917	8	3,935,658	100	183
NIH Natl Human Genome Research Institute-3102	1	374,801	1	374,817	0	0
NIH Natl Institute On Aging-3440	5	1,316,307	4	3,672,636	-20	179
NIH Neurological Disorders & Stroke, Natl Institute Of-3498	5	1,795,582	6	2,529,308	20	41
NIH Office Of The Director-F977	0	0	2	2,909,120	100	100

Total: PHS/Natl Inst Health **54** **17,300,407** **63** **27,804,810** **17** **61**

Total: Health & Human svcs **54** **17,300,407** **63** **27,804,810** **17** **61**

Dept of Interior

USDI Bureau of Land Management-4130	1	74,590	0	0	-100	-100
USDI Bureau of Ocean Energy Management (BOEM)-4120	2	800,000	1	70,000	-50	-91
USDI Fish And Wildlife Service-4132	3	108,314	3	284,330	0	163
USDI Geological Survey (Incl Natl Biological Service)-4190	3	340,072	1	345,227	-67	2
USDI National Park Service-4180	5	318,946	3	178,558	-40	-44

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Total: Dept of Interior	14	1,641,922	8	878,115	-43	-47
Dept of State						
U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT-4415	1	1,902,024	1	2,014,919	0	6
Total: Dept of State	1	1,902,024	1	2,014,919	0	6
Natl Arnatc & Spc Ad						
NASA Miscellaneous Centers-5101	36	3,952,431	42	5,894,566	17	49
NASA Shared Services Center (NSSC)-5105	1	168,025	2	605,389	100	260
NASA Washington, D.C. HQ-5136	3	194,755	2	1,125,814	-33	478
Total: Natl Arnatc & Spc Ad	40	4,315,211	46	7,625,769	15	77
Natl Fnd Arts & Hum						
NFAH Arts, National Endowment For The-5260	0	0	1	15,000	100	100
NFAH National Endowment for the Humanities-NEH-5280	1	350,000	2	499,402	100	43
Total: Natl Fnd Arts & Hum	1	350,000	3	514,402	200	47
OTHER AGENCIES						
Institute Of Museum And Library Services-3004	0	0	1	111,847	100	100
Total: OTHER AGENCIES	1	1,281,984	3	319,347	200	-75

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FEDERAL						
Natl Science Fnd						
GEOSCIENCES						
National Science Foundation (NSF)-5400	22	7,895,791	28	10,765,469	27	36
Total: GEOSCIENCES	22	7,895,791	28	10,765,469	27	36
BIOLOGICAL SCIENCES						
National Science Foundation (NSF)-5400	17	6,748,155	8	5,091,982	-53	-25
Total: BIOLOGICAL SCIENCES	17	6,748,155	8	5,091,982	-53	-25
ENGINEERING						
National Science Foundation (NSF)-5400	16	5,721,259	15	3,864,559	-6	-32
Total: ENGINEERING	16	5,721,259	15	3,864,559	-6	-32
MATH & PHYSICAL SCI						
National Science Foundation (NSF)-5400	48	25,687,125	35	28,474,799	-27	11
Total: MATH & PHYSICAL SCI	48	25,687,125	35	28,474,799	-27	11
EDUC & HUMAN RESOURCES						
National Science Foundation (NSF)-5400	6	4,707,752	3	4,762,041	-50	1
Total: EDUC & HUMAN RESOURCES	6	4,707,752	3	4,762,041	-50	1
SOCIAL, BEHAV & ECON SCI						
National Science Foundation (NSF)-5400	10	1,048,186	14	1,833,537	40	75
Total: SOCIAL, BEHAV & ECON SCI	10	1,048,186	14	1,833,537	40	75
COMP & INFO SCI & ENG						
National Science Foundation (NSF)-5400	32	7,531,714	28	9,232,064	-13	23
Total: COMP & INFO SCI & ENG	32	7,531,714	28	9,232,064	-13	23

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FEDERAL							
Natl Science Fnd							
OFC OF INTEGRATIVE ACTIV							
	National Science Foundation (NSF)-5400	2	1,090,205	1	2,744,794	-50	152
Total: OFC OF INTEGRATIVE ACTIV		2	1,090,205	1	2,744,794	-50	152
OFC OF POLAR PROGRAMS							
	National Science Foundation (NSF)-5400	0	0	2	335,327	100	100
Total: OFC OF POLAR PROGRAMS		0	0	2	335,327	100	100
Total: Natl Science Fnd		153	60,430,187	134	67,104,572	-12	11
Total: FEDERAL		413	150,330,327	408	223,097,782	-1	48

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INDUSTRY	FY 2020: Count	Amount	FY 2021: Count	Amount	% Count	% Amount
Agnitron Technology, Inc.-VF3T	0	0	1	150,000	100	100
Alliance for Sustainable Energy, LLC-F7FD	0	0	1	20,000	100	100
Amethyst Research, Inc.-VLRX	0	0	1	56,219	100	100
Amgen-9841	1	100,007	0	0	-100	-100
AMPAC Inc.-VH53	1	41,709	0	0	-100	-100
Apple Computer, Inc.-8179	0	0	1	490,000	100	100
Applied Materials, Inc.-7845	1	90,000	1	150,000	0	67
Areté Associates-VIFE	0	0	1	0	100	100
Bae Systems-4288	1	80,000	9	400,000	800	400
Barron Associates, Inc.-VD2N	1	38,000	0	0	-100	-100
Bayer Ag (Incl Miles Lab, Cropscience, Healthcare, Etc) (Germany)-6834	1	363,775	0	0	-100	-100
BlueLight Therapeutics-VAGU	2	188,900	0	0	-100	-100
Bridge12 Technologies, Inc.-VA9K	0	0	1	385,979	100	100
CALICO LIFE SCIENCES LLC-VDAI	0	0	1	469,542	100	100
Chevron Corporation-8281	1	160,001	0	0	-100	-100
Chevron Phillips Chemical Company LLC-VEGH	1	90,000	0	0	-100	-100
Chinook Therapeutics-VLRZ	1	388,746	0	0	-100	-100
CZero-VJZQ	1	188,097	2	133,158	100	-29
DCS Corporation-F4E4	3	175,000	1	125,000	-67	-29
DOW Inc.-VLJ0	1	304,358	3	826,273	200	171
Electro Scientific Industries, Inc.-VKFT	1	290,290	0	0	-100	-100
Endogena, Inc.-VHCO	1	457,469	1	149,089	0	-67

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INDUSTRY

Establishment Labs-VMUO	0	0	1	20,000	100	100
Exxon Mobil Corporation-8492	1	30,000	0	0	-100	-100
Faegre Drinker Biddle & Reath LLP-VM08	0	0	1	270,000	100	100
Freedom Photonics Llc-FD2A	3	316,875	3	155,000	0	-51
General Dynamics Corporation (Incl Aerospace,marine,combat,&Info Syst)-8496	1	240,979	0	0	-100	-100
Geosyntec Consultants-6143	2	104,456	0	0	-100	-100
Google Inc.-FE36	1	344,902	2	349,407	100	1
Hamilton Sundstrand Corporation-VM16	0	0	1	175,000	100	100
Hewlett-Packard-8565	1	1,039,949	0	0	-100	-100
Honeywell, Inc. (Includes Sperry Flight & Tetrattech Data Systems, Inc)-8572	1	861,500	1	127,000	0	-85
HRL Laboratories-2436	1	40,000	3	210,000	200	425
IHI Corporation-VB3D	1	500,000	1	450,000	0	-10
Intel Corporation-8635	2	250,000	4	495,385	100	98
Ionis Pharmaceuticals-1102	0	0	1	1,134,994	100	100
Japan Science And Technology Corporation (Japan)-4230	0	0	2	262,624	100	100
JEFFERSON SCIENCE ASSOCIATES, LLC-F548	0	0	1	90,999	100	100
John Wood Group PLC-VJ68	2	54,029	1	96,293	-50	78
Kimetrica-VKY0	1	447,372	0	0	-100	-100
Lawrence Livermore National Security, Llc-FC57	2	311,192	0	0	-100	-100
Luna Innovations Incorporated-5447	1	290,000	2	75,000	100	-74
Mirios, Inc.-VK02	2	80,000	1	195,000	-50	144

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Mission Support and Test Services-VJ9A	3	75,000	1	85,000	-67	13
Mitsubishi Group (Japan)-7752	11	1,500,000	12	1,500,000	9	0
Mitsui & Co. (U.S.A.), Inc.-0207	0	0	1	219,892	100	100
MixComm, Inc.-VLAG	1	50,001	0	0	-100	-100
MONDE Wireless-VNDL	0	0	1	10,000	100	100
Nexus Photonics-VIEK	1	300,000	4	1,298,000	300	333
Nike Inc.-V9XY	1	200,000	0	0	-100	-100
Nimbus Services-VABO	1	75,000	0	0	-100	-100
Northrop Grumman Corporation (Incl Logicon Inc, Xetron Corporation)-8941	1	100,000	0	0	-100	-100
Norwegian Research Centre AS (NORCE)-VMHS	0	0	1	135,500	100	100
Novartis Pharmaceutical (Switzerland) (Merger Of Ciba-Geigy & Sandoz)-9077	0	0	1	200,000	100	100
OCEANIT LABORATORIES, INC.-6518	0	0	1	50,000	100	100
Oxford Policy Management-VE6W	1	125,871	0	0	-100	-100
Padre Associates, Inc.-VN4S	0	0	1	65,000	100	100
PERSPECTA LABS INC.-VLP6	0	0	3	184,023	100	100
Pfizer Inc. (Incl Warner-Lamber And Parke-Davis)-8983	1	140,001	1	150,000	0	7
Pratt & Whitney-F688	3	360,000	2	540,000	-33	50
Raytheon Applied Signal Technology, Inc. (Sunnyvale, Ca)-7440	1	167,626	0	0	-100	-100
Raytheon BBN Technologies Corp.-FFE7	4	450,000	1	150,000	-75	-67
Raytheon Company-9020	0	0	2	180,000	100	100
Rendever-VI3E	1	69,107	0	0	-100	-100

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Rilee Systems Technologies-VI9T	2	140,258	0	0	-100	-100
Rockley Photonics Inc.-VCH3	1	150,924	1	287,907	0	91
Samsung-0053	1	100,000	0	0	-100	-100
SAMSUNG RESEARCH AMERICA, INC.-VEXO	0	0	1	150,000	100	100
SAMSUNG SEMICONDUCTOR, INC.-VAO1	1	120,000	0	0	-100	-100
Santa Barbara Actuaries-VN4Q	0	0	3	23,940	100	100
Siemens (Incl U.S. Subsidiaries) (Germany)-7076	1	49,873	0	0	-100	-100
Simpson And Simpson Business And Personnel Services, Inc.-1811	1	6,393,613	0	0	-100	-100
SNAP, INC.-VMZU	0	0	1	250,000	100	100
Sony Corporation (Japan)-1382	1	100,000	0	0	-100	-100
Synoptic Engineering-VL5F	4	45,073	3	111,667	-25	148
Takeda Chemical Industries, Ltd. (Incl Takeda Pharm.No America) (Japan)-7098	1	135,285	0	0	-100	-100
Teledyne (Incl Electronic Tech, Microwave, Adv Materials, Relay, Etc)-9201	2	120,897	0	0	-100	-100
The Schmidt Family Foundation-F2BD	1	400,230	1	27,500	0	-93
Tokyo Electron Limited (Tel)(Incl Tokyo Electron America, Inc) (Japan)-5513	0	0	1	160,000	100	100
Toyon Research Corporation-2884	0	0	1	311,880	100	100
Triad National Security LLC-VJD2	1	49,999	5	660,558	400	1,221
Ultra Low Loss Technologies-VK9N	1	37,500	2	1,448,926	100	3,764
Verizon Innovation, LLC-VKMN	1	200,000	0	0	-100	-100

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INDUSTRY					
Total: INDUSTRY		89	19,523,864	100	15,661,755
				12	-20

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STATE AND LOCAL

Cal Arts Council-6672	0	0	1	7,500	100	100
Cal Department of Boating and Waterways-6525	1	29,500	1	39,125	0	33
Cal Department Of Education-6376	10	883,064	8	1,035,690	-20	17
Cal Department Of Fish and Wildlife-6530	2	280,721	0	0	-100	-100
Cal Department of Parks and Recreation-6540	2	13,834	2	845,208	0	6,010
Cal EPA Air Resources Board-6533	1	1,281,984	1	200,000	0	-84
Cal Ocean Protection Council-AEBE	1	1,693,582	3	1,271,269	200	-25
Cal Ra Water Resources, Department Of(Incl Interagency Ecological Prg)-6550	1	108,000	1	104,180	0	-4
Cal State University Chico-FC8C	1	345,313	1	32,742	0	-91
Cal Wildlife Conservation Board-F972	1	32,300	3	1,536,129	200	4,656
First 5 Santa Barbara County-AF51	1	215,097	2	893,903	100	316
Goleta Union School District-VG70	1	151,810	1	30,815	0	-80
Malibu, City Of-0908	1	199,988	0	0	-100	-100
Santa Barbara County Office of Arts and Culture-VLGD	1	8,000	0	0	-100	-100
Santa Barbara County Special Education Local Plan Area Office-VCXO	1	63,429	0	0	-100	-100
Santa Barbara Unified School District-3708	2	224,072	0	0	-100	-100
Santa Barbara, City Of-6992	1	34,992	0	0	-100	-100
Santa Barbara, County of-6994	4	219,448	1	36,000	-75	-84

Total: STATE AND LOCAL	32	5,785,134	25	6,032,561	-22	4
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Dates: (Award Date)	7/1/2020 To: 6/30/2021	FY 2020:	976	234,298,766
		Percent Change:	-2	31

	FY 2020: Count	Amount	FY 2021: Count	Amount	% Count	% Amount
UC						

UC Agriculture And Natural Resources-6774	1	10,917	1	8,904	0	-18
UC Berkeley-UCB-6761	7	878,879	7	1,014,138	0	15
UC California Math Project-6724	2	36,635	0	0	-100	-100
UC California Science Project-6740	2	57,000	3	145,388	50	155
UC California Subject Matter Project-6727	3	242,276	8	278,452	167	15
UC Cancer Research Coordinating Committee-6728	1	75,000	0	0	-100	-100
UC Davis-6763	5	353,873	3	399,785	-40	13
UC Humanities Research Institute-3955	0	0	1	1,600	100	100
UC Irvine-6772	1	10,000	5	440,149	400	4,301
UC Lab Fees Research Program-F8F4	2	2,758,361	0	0	-100	-100
UC Links (University-Community Links)-6755	2	50,000	2	50,000	0	0
UC Los Angeles-6764	11	1,487,695	7	1,072,797	-36	-28
UC Merced-6777	1	23,416	1	15,304	0	-35
UC Mesa Program-6703	2	190,000	0	0	-100	-100
UC Mexus-6732	3	87,168	4	66,630	33	-24
UC Multicampus Research Programs and Initiatives-F4A9	2	413,413	3	2,189,694	50	430
UC Office Of The President-6773	6	1,225,839	3	439,852	-50	-64
UC Riverside-6765	9	898,003	5	732,435	-44	-18
UC San Diego-6778	10	1,121,035	16	1,736,132	60	55
UC San Francisco (UCSF)-6762	4	288,988	2	186,786	-50	-35
UC Santa Cruz-6769	4	230,247	2	217,837	-50	-5
UC Sea Grant College Program-6735	5	158,362	3	401,831	-40	154
UC Tobacco-Related Disease Research Program-Trdrp-6739	2	531,982	1	190,115	-50	-64

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	FY 2020: Count	Amount	FY 2021: Count	Amount	% Count
				% Amount	
Total: UC	85	11,129,089	77	9,587,829	-9
				-14	

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	Amount	% Count	% Amount
NOT PROFIT			

Alfred P. Sloan Foundation-8020	2	185,115	4	398,170	100	115
Alzheimer's Assn (Incl Alzheimer's Disease & Rel Disorders Assn, Inc.)-8033	0	0	1	210,000	100	100
American Chemical Society-8036	4	440,000	0	0	-100	-100
American Cncl Of Learned Societies-8042	1	50,000	4	374,226	300	648
American Diabetes Assn-8048	5	386,518	4	536,249	-20	39
American Public Health Association-9840	1	15,000	0	0	-100	-100
Ames Laboratory-V8DH	2	270,000	2	325,000	0	20
Andrew W. Mellon Foundation (The)-8107	0	0	1	225,000	100	100
Arab Community Center for Economic and Social Services-VLFD	1	1,250	0	0	-100	-100
Argonne National Laboratory-F6CB	1	152,490	1	70,000	0	-54
Arnold And Mabel Beckman Foundation-1015	0	0	1	90,000	100	100
Assn for Asian Studies, Inc.-0355	1	5,000	0	0	-100	-100
Association for Psychological Science-VEYR	0	0	1	1,000	100	100
BEACH EROSION AUTHORITY FOR CLEAN OCEANS AND NOURISHMENT-6251	0	0	1	75,000	100	100
Bill & Melinda Gates Foundation-3243	1	300,000	1	250,656	0	-16
Bioversity International-6989	1	41,937	1	99,074	0	136
Boston Medical Center Corporation-3327	0	0	1	64,938	100	100
Brain and Behavior Research Foundation-7298	4	87,320	4	52,500	0	-40
Breakthrough Starshot Foundation LLC-VJWX	1	116,600	0	0	-100	-100
California Clean Energy Fund-FF2B	0	0	2	393,503	100	100
California Native Plant Society-VLD1	1	15,000	0	0	-100	-100

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NOT PROFIT						

California Sea Urchin Commission-FF3D	1	6,155	0	0	-100	-100
Carnegie Corporation-8248	0	0	1	175,000	100	100
Catena Foundation-VIYC	1	359,323	0	0	-100	-100
Cedars-Sinai Medical Center-8258	0	0	1	138,865	100	100
Central Research Institute Of Electric Power Industry (Japan)-2134	1	100,000	0	0	-100	-100
Centro De Investigacion Cientifica De Ensenada (Cicese)(Mexico)-0778	1	157,452	0	0	-100	-100
Charles Koch Foundation-F3E9	1	45,000	0	0	-100	-100
CLIMATEWORKS FOUNDATION-F632	0	0	1	397,716	100	100
CODE FOR SCIENCE AND SOCIETY INC-VHM1	0	0	1	18,227	100	100
College Advising Corps-VCRJ	1	166,221	1	157,250	0	-5
Columbia University (Incl Columbia-Presbyterian Medical Center)-9564	2	215,867	5	441,615	150	105
Conservation International Foundation-7907	2	449,136	1	258,051	-50	-43
Council On Library Resources-8346	1	9,200	0	0	-100	-100
CULTURAL SERVICES OF THE FRENCH EMBASSY IN THE UNITED STATES-VJ3H	0	0	1	15,000	100	100
David And Lucile Packard Foundation (The)-9072	1	236,500	1	875,000	0	270
DEMOCRACY FUND INC-VHZO	0	0	1	50,015	100	100
Dr. Miriam And Sheldon G. Adelson Medical Research Foundation-FE7C	2	350,000	1	350,000	-50	0
Environmental Defense Fund-8454	2	134,164	0	0	-100	-100
European Union (Incl European Commission, Parliament, Bank, Etc)-7104	1	5,657	0	0	-100	-100
Family Service Agency of Santa Barbara-VE0X	0	0	1	13,455	100	100

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NOT PROFIT					

Fermi Research Alliance, LLC (Fra) (Incl Fermilab-Doe Goco)-FEB7	5	619,845	3	721,207	-40	16
Fight For Sight, Inc. (Prevent Blindness America)-8461	1	2,500	1	22,500	0	800
Fighting Back Santa Maria Valley-VJM4	0	0	1	172,854	100	100
Ford Foundation-8464	0	0	1	50,000	100	100
Freeman Foundation, (The)-2167	1	136,311	0	0	-100	-100
French American Culture Exchange (FACE) (France)-6848	1	10,000	0	0	-100	-100
Friends of the Dunes-VNI9	0	0	1	50,527	100	100
GERDA HENKEL FOUNDATION-VAEW	0	0	1	52,151	100	100
Global Canopy-VJAB	0	0	2	59,395	100	100
Global Fishing Watch-VIOD	1	14,579	1	155,555	0	967
Global Integrity-VJQX	2	165,736	1	157,408	-50	-5
Gordon And Betty Moore Foundation-3954	3	1,428,057	5	2,053,069	67	44
Haskins Laboratories, Inc.-VBPO	1	1,709	0	0	-100	-100
Heising-Simons Foundation-F1C7	5	905,761	2	632,596	-60	-30
Hopewell Fund-VIOM	0	0	1	9,258	100	100
Human Frontier Science Program Organization (Intl)-VDTV	2	22,297	0	0	-100	-100
Huntington Medical Research Institutes-FDE5	2	242,513	1	115,340	-50	-52
Intel Foundation-2219	1	93,333	1	93,333	0	0
Jack Kent Cooke Foundation-FF72	1	0	0	0	-100	-100
Japan Foundation (The)-8666	1	30,499	2	119,458	100	292
John Randolph Haynes And Dora Haynes Foundation (The)-8679	1	48,001	0	0	-100	-100
John Templeton Foundation-0644	1	234,735	0	0	-100	-100
Kinship Foundation-XXZO	1	100,000	1	100,000	0	0

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NOT PROFIT						

Korea Foundation (Seoul, Korea)-1194	0	0	1	427,475	100	100
Larry L. Hillblom Foundation, The-4160	1	300,000	1	300,000	0	0
Leona M. And Harry B. Helmsley Charitable Trust-F6E9	2	270,000	1	180,000	-50	-33
Life Sciences Research Foundation-8751	4	62,000	4	47,000	0	-24
Link Foundation (Edwin A)-8747	0	0	1	61,000	100	100
Los Alamos National Laboratory-FFDD	2	210,000	1	150,000	-50	-29
Marine Biological Laboratory (Woods Hole, Massachusetts)-0737	0	0	1	32,370	100	100
Marisla Foundation (Frmly Homeland Foundation)-7849	0	0	2	300,000	100	100
Mcknight Foundation (The)-8813	0	0	2	33,000	100	100
Moorfields Eye Charity-VHY5	2	180,886	1	77,435	-50	-57
National Geographic Society-8902	4	472,047	2	540,264	-50	14
National Latinx Psychological Association-VMFX	0	0	1	23,714	100	100
National Organization of Research Development Professionals-VK5B	1	1,500	0	0	-100	-100
National Philanthropic Trust-V9HL	3	404,214	0	0	-100	-100
Natl Film Preservation Foundation-2729	0	0	1	19,890	100	100
Natl Fish And Wildlife Foundation-7834	2	578,823	1	61,608	-50	-89
Natl Writing Project Corporation-Nwp-1126	0	0	1	5,000	100	100
Nature Conservancy-7262	15	1,789,488	20	1,562,329	33	-13
New Venture Fund-F6ED	0	0	1	62,270	100	100
No Kid Hungry-VIS4	1	15,000	0	0	-100	-100
Norwegian Institute for Nature Research-VIBT	1	38,500	1	38,500	0	0
Oak Ridge Natl Lab-5080	6	426,270	7	1,090,770	17	156
Ocean Visions, Inc.-VN5B	0	0	1	0	100	100

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NOT PROFIT						

Pacific Northwest National Laboratories-8149	2	170,422	3	526,000	50	209
Pacific States Marine Fisheries Commission-4348	0	0	1	787,221	100	100
Paul M. Angell Family Foundation-VJ7X	1	32,000	0	0	-100	-100
Pew Charitable Trusts (The)(Incl Pew Center On Global Climate Change)-9448	0	0	1	17,894	100	100
Rainwater Charitable Foundation-VA56	2	300,000	1	200,000	-50	-33
Research Institute Of Innovative Technology For The Earth(Rite)(Japan)-3903	0	0	2	91,909	100	100
Richard Lounsbery Foundation-0621	0	0	1	58,374	100	100
Robert Wood Johnson Foundation (The)-8692	0	0	1	124,000	100	100
Rockefeller Family Fund-VKB5	1	20,000	0	0	-100	-100
Rockefeller Philanthropy Advisors-F93B	1	25,000	0	0	-100	-100
Russell Sage Foundation-9060	1	20,540	0	0	-100	-100
Sandia National Laboratories-9070	2	125,000	0	0	-100	-100
Sanford-Burnham Medical Research Institute-2168	1	38,750	1	399,960	0	932
Santa Barbara Alliance for Community Transformation-VIX0	1	30,703	0	0	-100	-100
Santa Barbara Cottage Hospital-7841	2	44,250	4	105,460	100	138
Santa Barbara Neighborhood Clinics-VMG1	0	0	1	75,600	100	100
Santa Clara River Conservancy-VJLJ	1	159,275	0	0	-100	-100
Schlumberger Foundation-F164	1	43,748	0	0	-100	-100
Semiconductor Research Corporation-9102	7	7,403,905	3	7,290,752	-57	-2
Simons Foundation-FE2E	11	1,458,000	14	2,339,374	27	60
Social Science Research Council-9126	0	0	1	5,000	100	100

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NOT PROFIT						

Society of Architectural Historians-VMIJ	0	0	1	24,700	100	100
Society of Family Planning-FB3F	2	52,529	0	0	-100	-100
Spencer Foundation-9154	1	49,626	2	99,947	100	101
Stichting Vrije Universiteit-VM1H	0	0	1	32,034	100	100
Stockholm Environment Institute-FA87	1	65,041	1	50,000	0	-23
Tahoe Regional Planning Agency (Trpa)-7389	1	5,000	3	14,500	200	190
Terra Foundation for American Art-FDD9	1	14,000	0	0	-100	-100
The Brain Research Foundation-V8M0	1	40,000	1	40,000	0	0
The Builders Initiative-VKVP	1	250,533	1	232,696	0	-7
The Helen Hay Whitney Foundation-8546	1	55,500	0	0	-100	-100
U.S.-Israel Binat'I Science Foundation (Bsf) (Intl)-7350	4	87,505	4	117,505	0	34
UC Lawrence Berkeley Laboratory (LBNL)-6760	15	1,399,009	11	1,207,771	-27	-14
UKIAH, CITY OF-FF4C	0	0	1	19,887	100	100
Waitt Family Foundation-4187	2	1,361,919	2	1,266,622	0	-7
Walton Family Foundation, Inc.-FF5A	2	186,210	0	0	-100	-100
William M. Keck Foundation-9323	2	2,000,000	0	0	-100	-100
World Resources Institute-9376	1	65,000	0	0	-100	-100
Zegar Family Foundation-VGBY	5	891,995	5	735,447	0	-18

Total: NOT PROFIT	189	29,285,102	184	31,212,289	-3	7
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HIGHER ED						

Arizona State University-Tempe-8123	8	1,263,728	8	1,310,146	0	4
Assn Of Univ For Res Astronomy (Aka Space Teles Sci Inst)-9896	9	294,951	10	283,851	11	-4
Bermuda Institute of Ocean Sciences-V8RT	1	234,000	1	289,863	0	24
Boise State University-5997	1	45,229	0	0	-100	-100
Boston University-8166	2	155,557	0	0	-100	-100
Brandeis University-9522	0	0	1	44,097	100	100
Brookhaven Science Associates, Llc (Brookhaven National Laboratory)-3907	0	0	2	454,000	100	100
Brown University-9961	1	37,800	0	0	-100	-100
California Institute Of Technology-Cal Tech-8218	1	249,999	6	1,644,075	500	558
California Polytechnic State University, San Luis Obispo-VC2S	1	36,110	1	37,762	0	5
California State University, Fresno-VB76	1	97,358	0	0	-100	-100
Carnegie Mellon University-8351	1	30,326	1	28,032	0	-8
Chapman University-F229	1	178,601	1	182,318	0	2
Columbia University (Incl Columbia-Presbyterian Medical Center)-9564	2	215,867	5	441,615	150	105
Cornell University-8329	7	1,137,211	5	511,920	-29	-55
Council Of Graduate Schools-5893	4	49,333	0	0	-100	-100
Coventry University-VIHN	2	92,965	2	94,354	0	1
CSU Fullerton-7356	1	10,017	0	0	-100	-100
CSU Northridge; The University Corporation-VAV1	1	220,000	1	220,000	0	0
CSU San Marcos-0103	1	13,293	1	13,787	0	4
Duke University-8394	1	34,932	2	213,121	100	510
École Pratique des Hautes Études-VL6T	0	0	2	265,848	100	100

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HIGHER ED

George Washington University-8527	1	7,840	0	0	-100	-100
Georgia Institute Of Technology-8513	3	430,198	2	115,270	-33	-73
Georgia State University-8529	0	0	2	103,286	100	100
Harvard University-8537	1	117,991	5	381,529	400	223
Jackson State University-2652	1	100,000	1	100,000	0	0
Jet Propulsion Laboratory-JPL-8668	5	406,662	2	171,700	-60	-58
Johns Hopkins University-8688	1	125,000	4	277,907	300	122
Kansas State University-1420	0	0	1	136,843	100	100
Katholieke Universiteit Leuven (Belgium)-3306	1	94,052	1	196,714	0	109
Leuphana Universitaet Lueneburg-VLRW	0	0	1	54,000	100	100
Massachusetts Institute Of Technology-MIT-8794	0	0	2	120,271	100	100
New York University-8911	2	12,410	2	56,400	0	354
Northeastern University-0984	2	41,891	1	60,000	-50	43
Oak Ridge Associated Universities-7839	0	0	1	17,000	100	100
Ohio State University (Includes Research Foundation)-7200	3	256,448	0	0	-100	-100
Oregon State University-8956	3	301,491	1	127,487	-67	-58
Princeton University-9003	2	49,747	2	209,390	0	321
Purdue University-9010	0	0	1	169,370	100	100
Rice University-7565	0	0	1	317,863	100	100
Royal College of Surgeons in Ireland-VHIN	1	20,345	0	0	-100	-100
Rutgers University-9062	1	15,350	1	15,350	0	0
San Jose State University Research Foundation-9996	4	610,747	2	196,131	-50	-68
SLAC National Accelerator Lab (SLAC)-FF33	2	447,882	1	20,264	-50	-95

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HIGHER ED

Soc For The Psychological Studies Issue-9135	0	0	1	1,000	100	100
Stanford University-9162	4	496,845	7	622,166	75	25
State University Of New York-9165	7	1,661,033	5	2,802,724	-29	69
Stockholm University-7549	0	0	1	111,204	100	100
Syracuse University-9616	0	0	1	767,907	100	100
Texas A&M University-9196	2	991,678	3	1,519,224	50	53
TEXAS AGRILIFE RESEARCH-F6B6	0	0	1	90,329	100	100
Tufts University-9228	0	0	2	34,953	100	100
Universities Research Association (Operates Fermilab)-9463	2	8,500	0	0	-100	-100
University Of Alaska-9374	1	47,627	0	0	-100	-100
University Of Arizona-9256	2	59,837	1	72,362	-50	21
University Of Cambridge (Great Britain)-7761	0	0	1	706,250	100	100
University Of Chicago-9255	0	0	1	142,500	100	100
University of Colorado Boulder-V8JD	2	448,451	1	35,000	-50	-92
University Of Colorado System-9259	1	22,580	1	22,580	0	0
University Of Delaware-9261	2	238,469	1	244,820	-50	3
University Of Durham (Great Britain)-4879	0	0	1	10,206	100	100
University Of Georgia (Incl Skidaway Institute Of Oceanography)-9746	1	61,392	1	223,923	0	265
University Of Hawaii (Incl Research Corporation Of The Univ Of Hawaii)-9263	1	44,983	1	46,452	0	3
University of Illinois-9264	3	251,997	0	0	-100	-100
University of Iowa-9279	1	137,001	1	135,000	0	-1
University Of London-0181	2	12,460	0	0	-100	-100

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REPORT DATE: 7/1/2021

Parameters:		Report Totals:	Count:	Amount:
Fiscal Year:	2021	FY 2021:	953	306,578,692
Dates: (Award Date)	7/1/2020 To: 6/30/2021	FY 2020:	976	234,298,766
		Percent Change:	-2	31

	FY 2020: Count	Amount	FY 2021: Count	Amount	% Count	% Amount
HIGHER ED						

University Of Maryland-9273	4	432,094	4	346,062	0	-20
University Of Massachusetts-7900	1	240,000	0	0	-100	-100
University of Massachusetts Lowell-VBTQ	1	44,491	0	0	-100	-100
University Of Miami-9184	0	0	1	130,547	100	100
University Of Michigan (Incl William Davidson Institute)-9268	2	273,082	3	144,975	50	-47
University Of Minnesota-9281	3	401,103	3	459,915	0	15
University Of Missouri System (Columbia/Kansas City/Rolla/St.Louis)-7673	2	440,725	0	0	-100	-100
University Of Nevada-9439	1	89,500	0	0	-100	-100
UNIVERSITY OF NEVADA, RENO-VA3H	0	0	1	49,015	100	100
University Of New Mexico-9598	1	225,000	0	0	-100	-100
University Of Notre Dame (Incl Gem)-9363	4	377,321	1	250,000	-75	-34
University Of Oklahoma-9289	0	0	1	15,000	100	100
University Of Ottawa (Canada)-7409	2	27,462	1	14,893	-50	-46
University Of Pennsylvania-9293	1	9,586	1	100,000	0	943
University Of Pittsburgh-9270	2	248,577	3	375,497	50	51
University of Southern California-9272	10	408,755	6	416,500	-40	2
University Of Tennessee-9275	2	500,000	1	55,069	-50	-89
University of Texas-Arlington-FD36	1	28,001	0	0	-100	-100
University Of Texas-Austin-9278	1	979,286	2	1,079,186	100	10
University of Texas-Dallas-9356	5	327,794	2	169,500	-60	-48
University Of Texas-El Paso-3925	1	85,000	1	85,000	0	0
University Of Virginia-9274	1	94,853	1	116,454	0	23

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HIGHER ED						

University Of Washington-9276	3	344,223	1	96,000	-67	-72
University Of Wisconsin-9277	4	525,650	3	345,918	-25	-34
Uppsala Universitet (Sweden)-1234	1	56,430	2	109,766	100	95
Vanderbilt University (Tennessee)-7666	0	0	1	56,006	100	100
Washington University, (St. Louis, MO)-9419	2	149,866	1	73,672	-50	-51
Wilfred Laurier University-F6B5	2	5,225	3	2,417	50	-54
Woods Hole Oceanographic Institution-9334	0	0	2	168,986	100	100
Yale University-9340	1	13,042	1	14,399	0	10

Total: HIGHER ED	168	18,245,250	159	20,986,476	-5	15
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Dates: (Award Date)	7/1/2020	To:	6/30/2021	FY 2020:	976	234,298,766	
				Percent Change:	-2	31	
				FY 2020: Count	Amount	FY 2021: Count	Amount
						% Count	% Amount